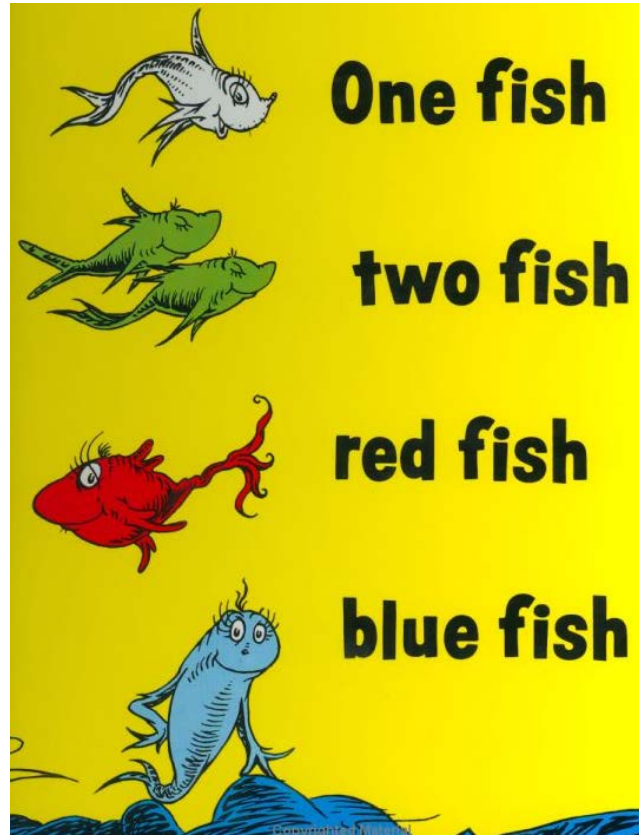


# Acoustic Signal Processing

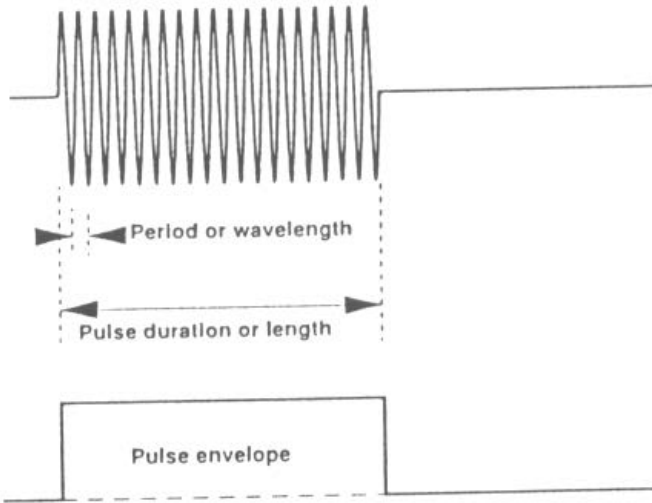


By **Dr. Seuss**

LO: Apply acoustic signal processing techniques to estimate abundances of pelagic fish species.

John K. Horne

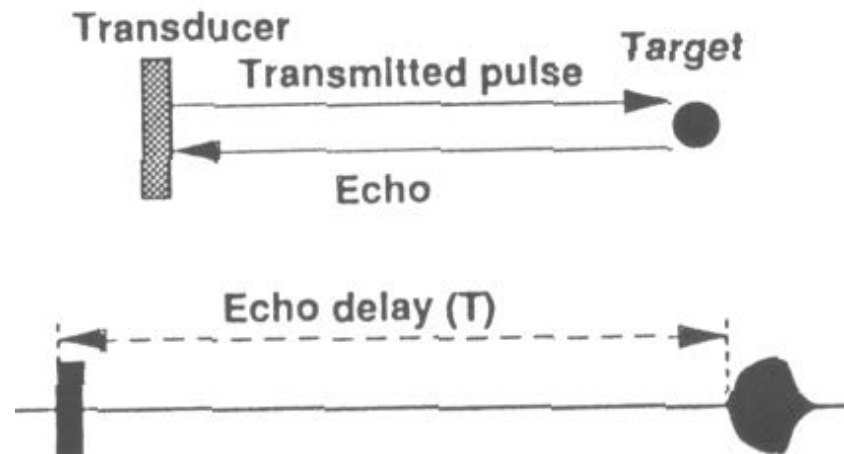
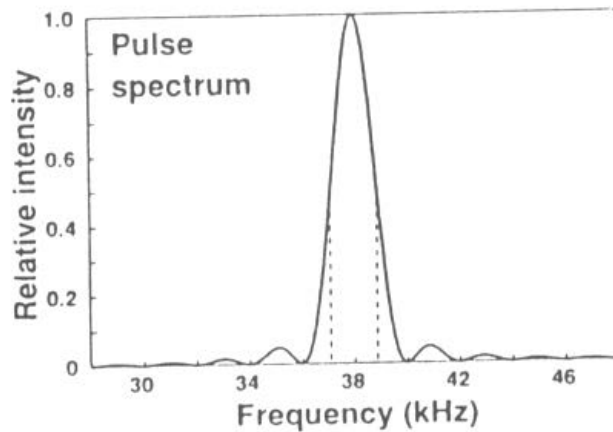
# Echo Envelope



- time dependent amplitude of returned echo

- elapsed time  $T$  for an echo to return

$$T = 2r/c \quad r = cT/2$$



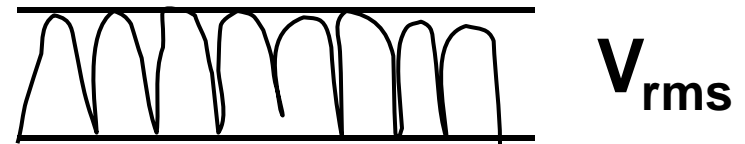
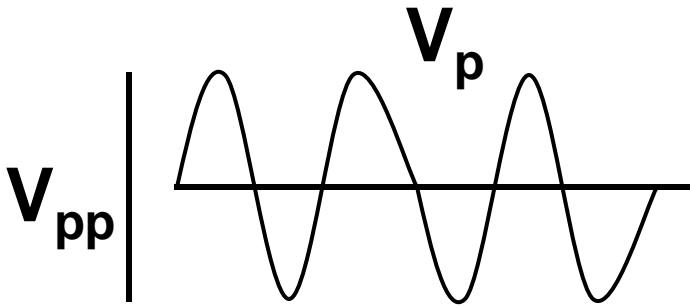
# Measuring Voltage

Volts peak  $V_p =$  peak value

Volts peak to peak  $V_{pp} = 2 V_p$

**Volts root mean squared  $V_{rms} = V_{pp}/2\sqrt{2}$**

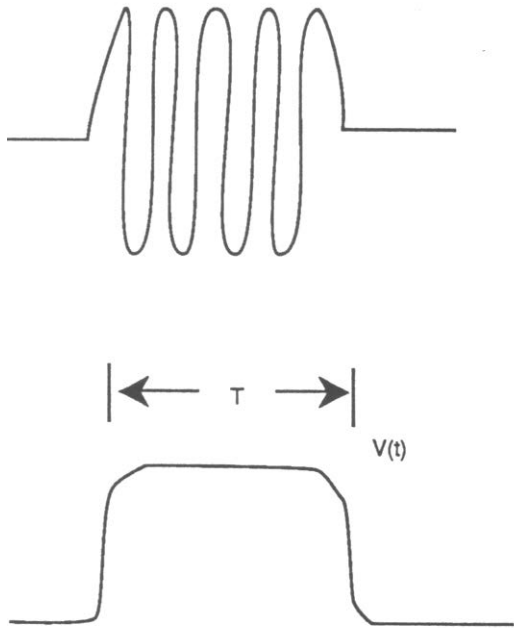
Volts detected = just positive



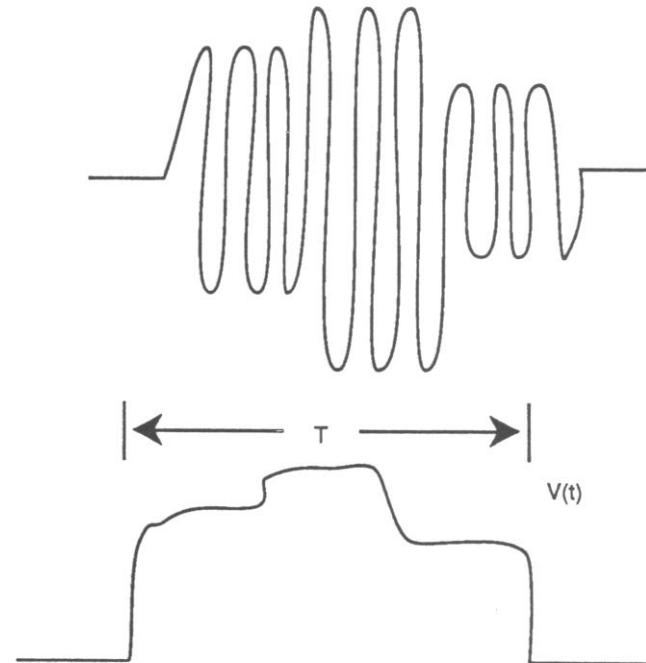
$$20\log(V_{rms}) = \text{dBv} \parallel 1 V_{rms}$$

# Echo Shapes

Single



Overlapping



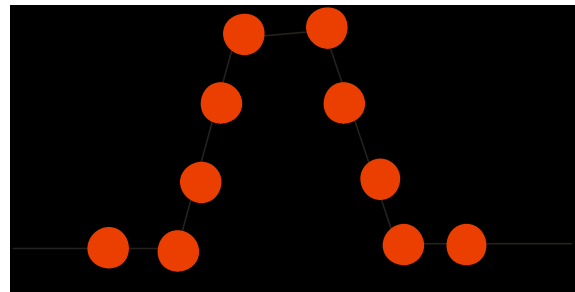
# Digitizing Echoes

Traditional Approach:

- echosounder 'samples' received echo at a fixed rate (e.g. 25 kHz)

Example: if pulse width is 0.4 ms then how many samples?

$$25,000 \text{ samples/s} \times 0.0004 \text{ s} = 10 \text{ samples}$$



time →

# Digitizing Echoes II

Sampling Theory Approach:

- sample rate dependent on Nyquist sampling criterion of pulse length

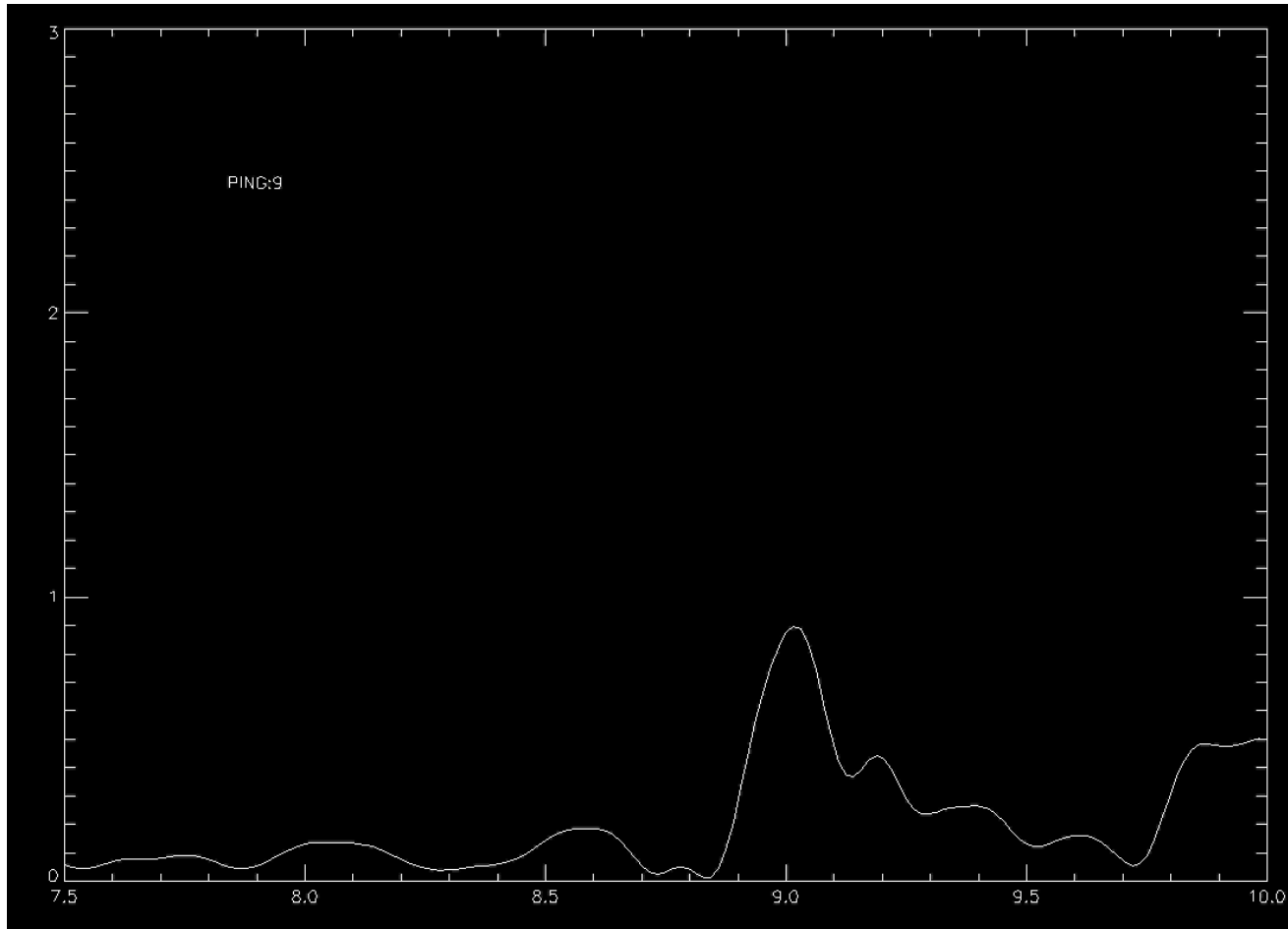
Nyquist-Shannon sampling theorem frequency:

“If a function  $x(t)$  contains no frequencies higher than  $B$  Hz, it is completely determined by giving its ordinates at a series of points spaced  $1/(2B)$  seconds apart.”

=> You can recreate the signal by sampling it at  $\frac{1}{2} \tau$

EK and ES 60 samples at  $\tau/4$

# What is an Echo?



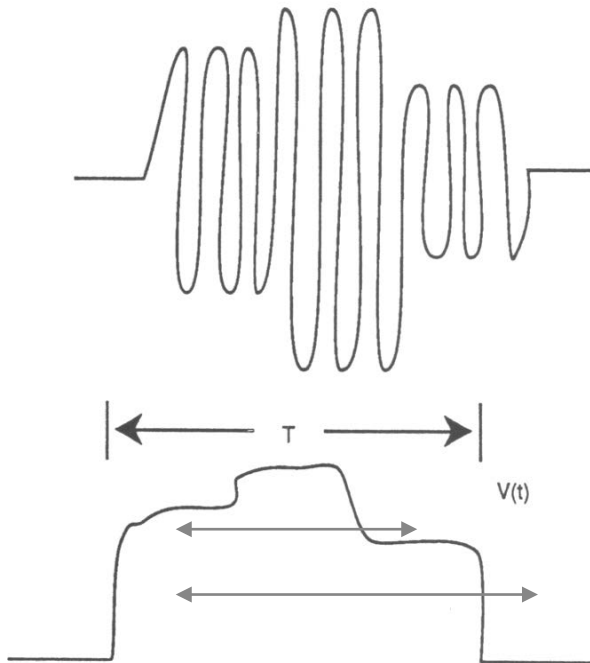
# Single Target Criteria

- metrics of echo envelope: width, correlation, phase

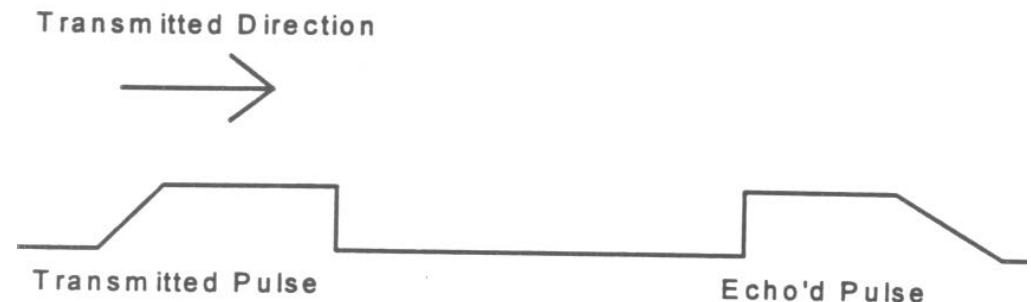
Echo envelope width: 1/2, 1/4, 1/8 maximum echo amplitude

Echo correlation: returned echo with incident pulse

## Envelope width



## Envelope correlation



$$\text{Correlation} = \frac{\Sigma(\text{real\_echo}(*\text{ideal\_echo}))}{\sqrt{(\Sigma(\text{real\_echo}^2)*\Sigma(\text{ideal\_echo}^2))}}$$

# Single Target Criteria: Phase

## Phase Metric Criteria

Reject as single echo if...

average phase deviation: average deviation in samples within single echo exceeds a preset limit

**standard phase deviation:** standard deviation of phase measurements athwart or along exceeds a preset limit

phase comparison: phase difference between adjacent elements in each pair exceeds a preset limit within 6 dB of peak amplitude

see Soule et al. 1996

# Estimating Density

Depending on density you have 2 choices:

Echo Counting – count individual echoes  
(if densities  $< 1$  animal / sample volume)

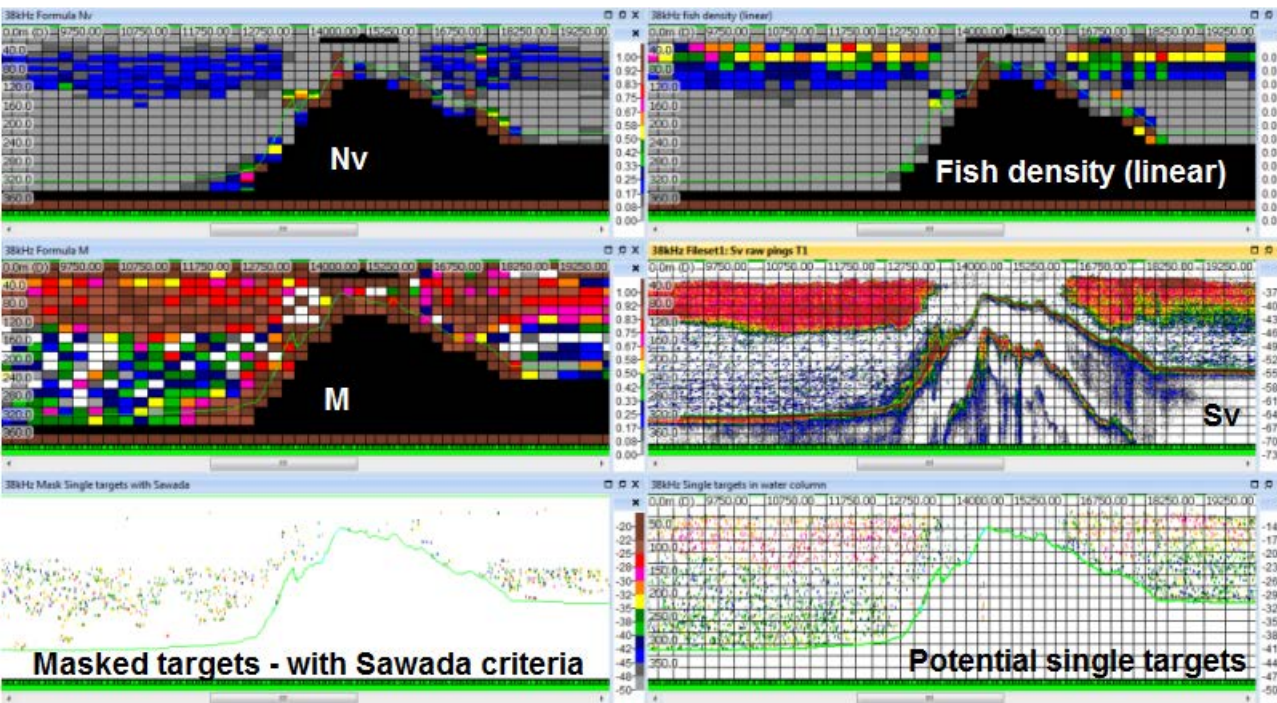
Echo Integration – sum total energy and  
divide by energy from representative  
individual

# Critical Density

$$\rho_c = 1/V_c \quad \text{critical density} \propto 1/\text{volume}$$

Value should be less than  $1/\text{m}^3$ , ideal less than  $0.2/\text{m}^3$

Sawada et al. (1993); Ona and Barange (1999)



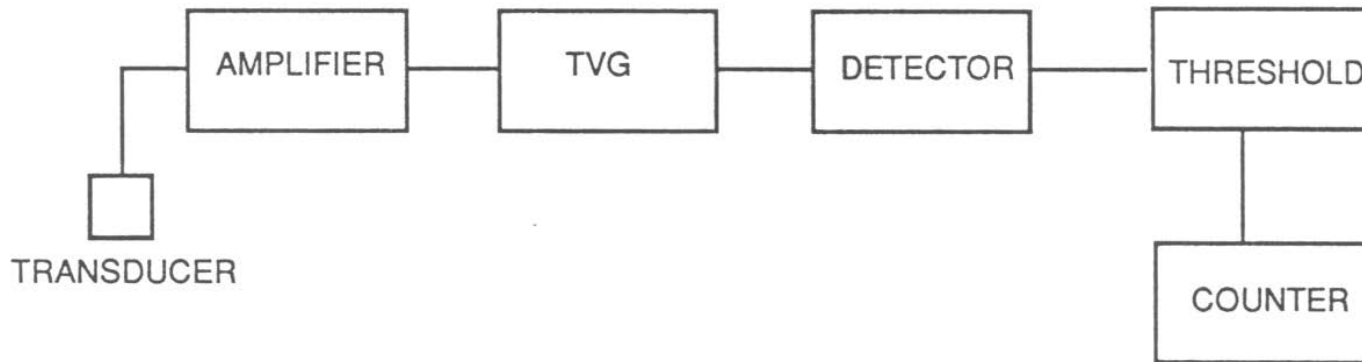
M = ratio of multiple  
to single echoes  
Nv = fish density

$Nv < 0.04$   
 $M < 0.7$

# Target Counting

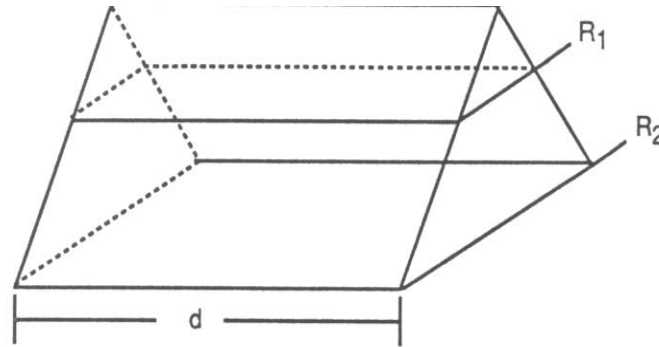
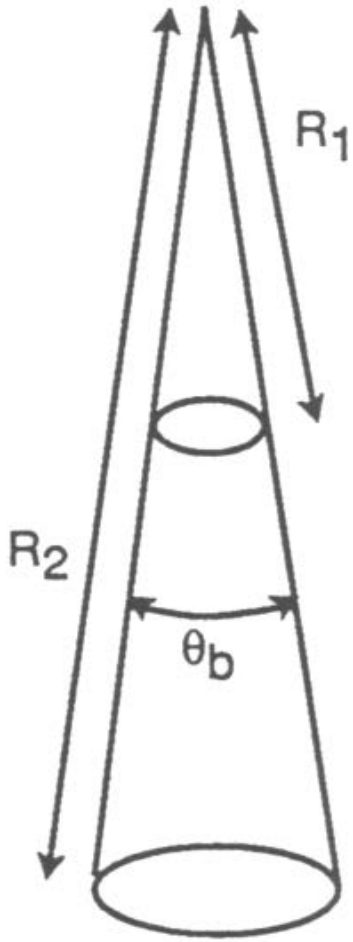
- first observed by Trout (1952)
- first attempted by Midttun and Saetersdal (1957)
- number of target echoes above threshold standardized to volume insonified
- threshold is used to screen noise and to reject targets smaller than those of interest (i.e. size matters)

# Echosounder Target Counting



$$Density = \frac{\sum counts}{(\# pings)(volume/ping)}$$

# Target Counting Volume



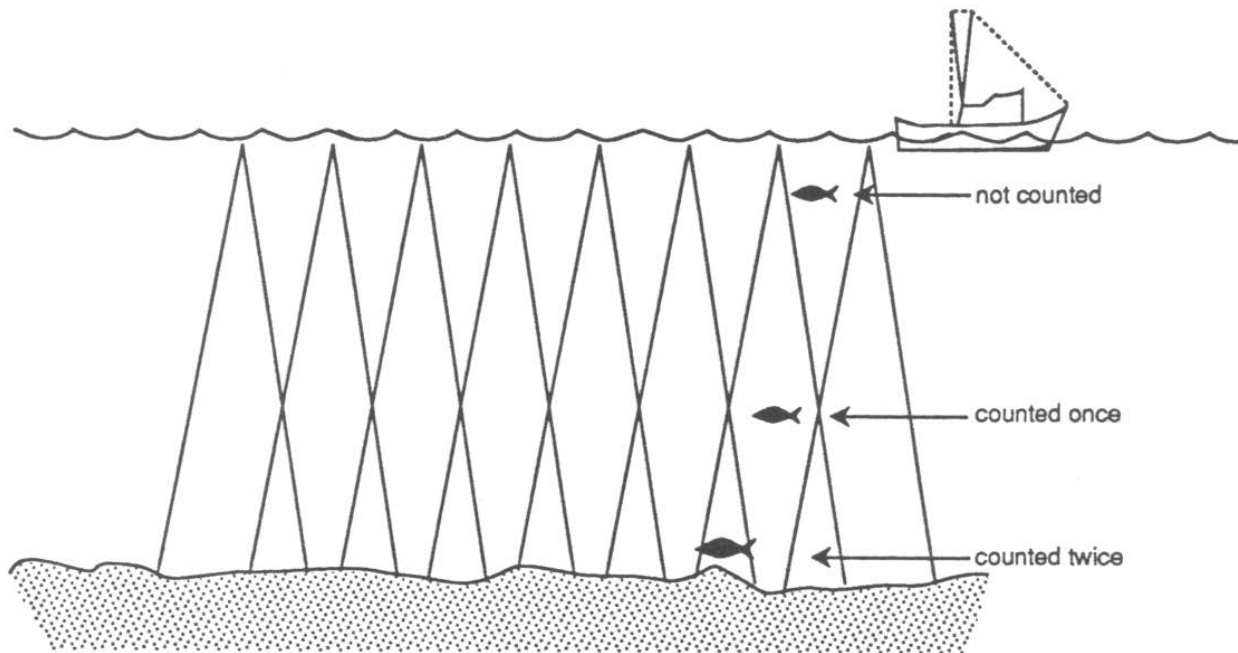
$$\text{Volume} = (\text{area of end}) * (d)$$

$$\text{Volume} = \frac{1}{3} (R_2^3 - R_1^3) \sin^2 \left( \frac{\theta_b}{2} \right)$$

$$\text{Density} = \frac{\# \text{ echoes}}{\text{volume insonified}}$$

# Target Counting Volume

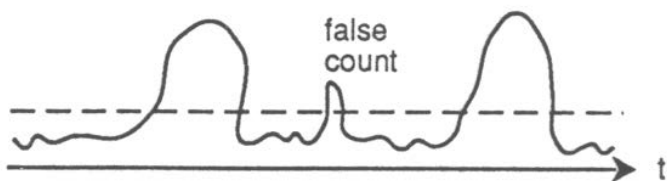
- dependent on beamwidth, pulse length, and target range (i.e. resolution and range)



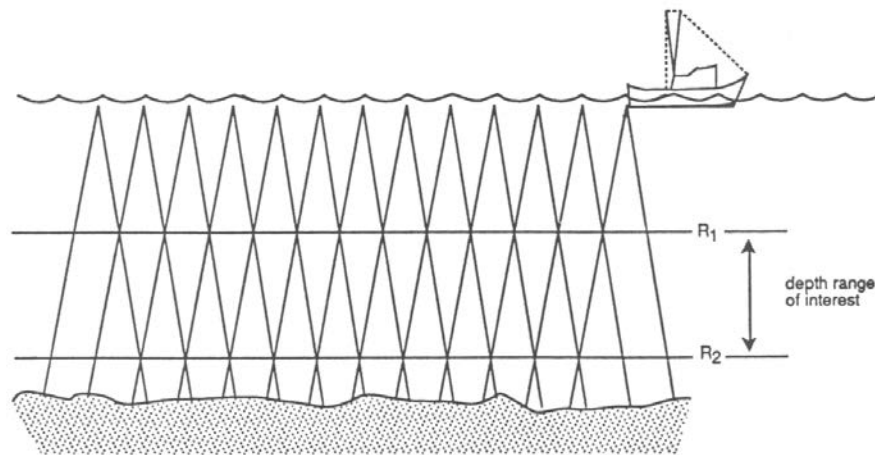
Pulse overlap: beamwidth, boat speed, and target or depth range

# Target Counting Potential Problems

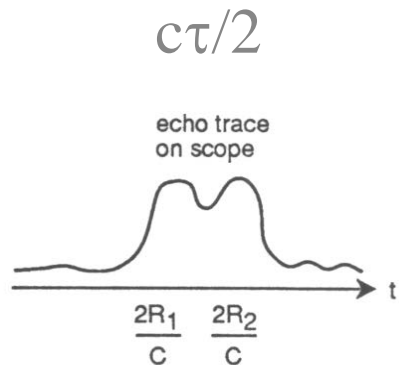
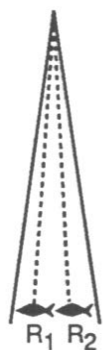
## False Targets (threshold)



## Pulse Overlap

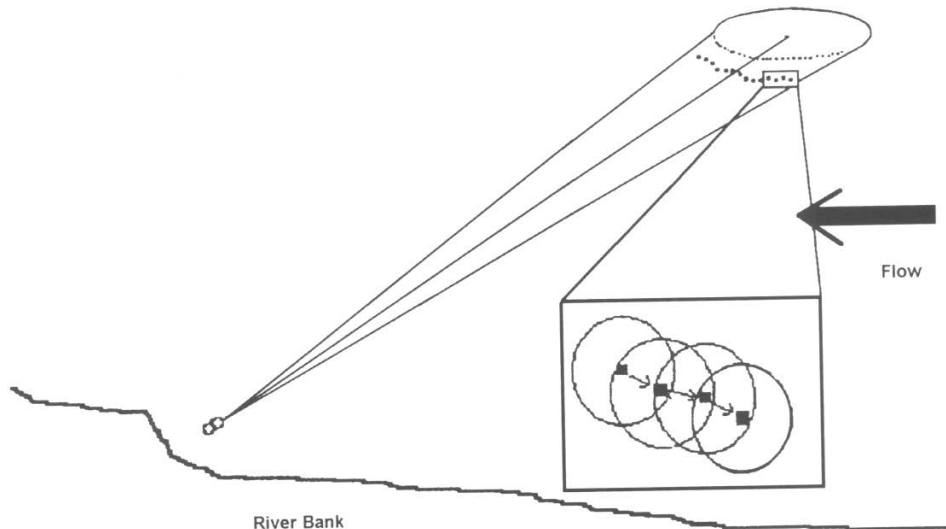


## Target Overlap (multiple targets)



# Target Tracking

- single or split beam data that contain range, time, angles of single targets
- set limits for the time, speed, and distance between echoes from a 'tracked' target
- establishes a sphere volume in each successive echo predicting position of next echo
- used to determine count, velocity, aspect, and mean target strength



Applications: rivers, hydropower intakes, fish bypass, tidal turbine monitoring

see Blackman 1986

# Echo Integration

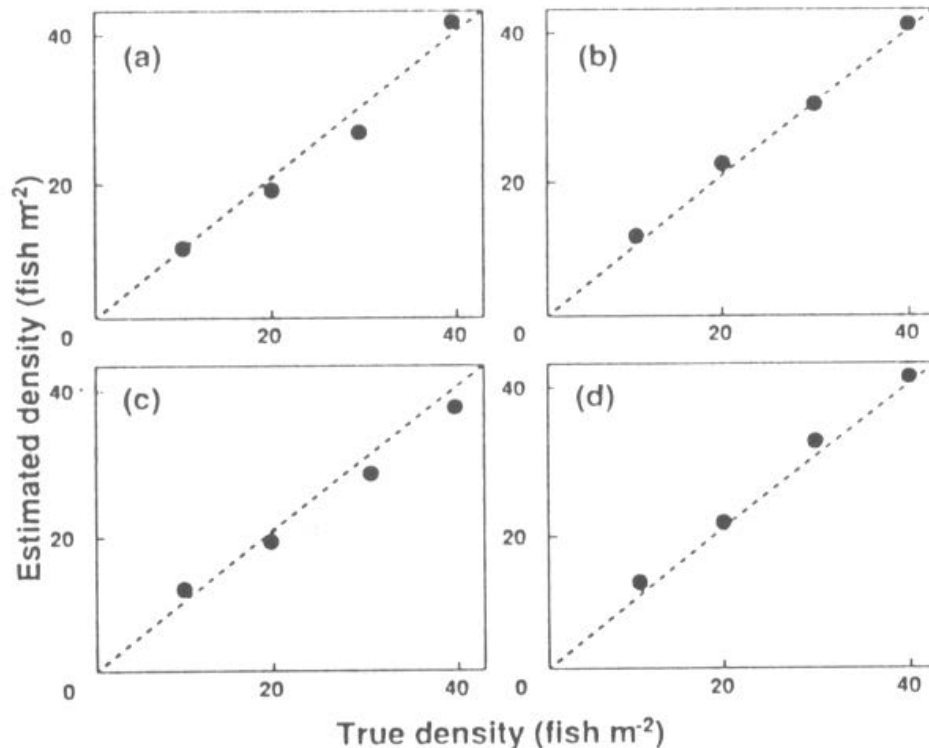
- first proposed by Dragesund and Olsen (1965)
- average acoustic energy (i.e. intensity) in specified range bins

$$\bar{I} \propto N \bar{\sigma}_{bs}$$

where  $\bar{I}$  is average intensity,  $N$  is number of fish, and  $\bar{\sigma}_{bs}$  is the average backscatter from representative fish

# Linearity Principle

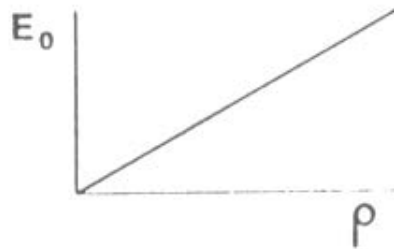
- assumes density is proportional to energy
- assumes acoustic extinction (i.e. shadowing) and multiple scattering are negligible
- definitive experimental evidence by Foote (1983)



- used caged, free-swimming herring and pollack
- frequency range 38 kHz - 120 kHz
- densities up to 57 fish m<sup>-3</sup>

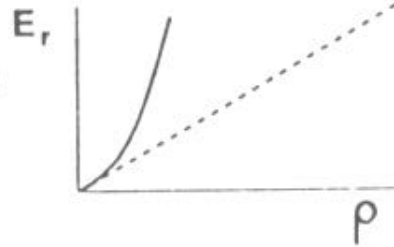
# Deviations from Linearity

(a) Random



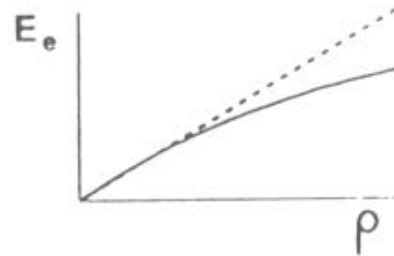
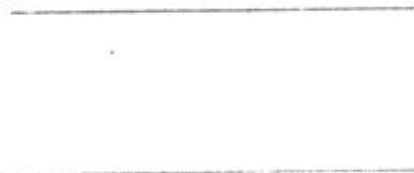
linear increase

(b) Regular



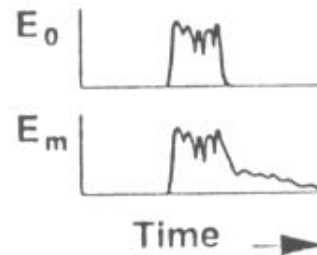
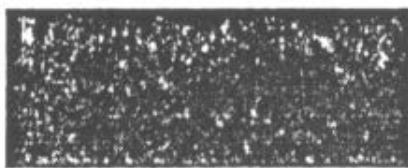
exponential increase ( $n^2$ ),  
due to coherent scattering

(c) Dense layer



decay due to shadowing

(d) Very dense layer



multiple scattering, shadowing

# Echo Integration Terms: Linear

3 components:

acoustic size, number of scatterers, volume insonified

Definitions:

$$s_v = \text{volume backscattering coefficient} = \sum \frac{\sigma_{bs}}{V} = \frac{n\sigma_{bs}}{V}$$

$$s_a = \text{area backscattering coefficient} = \int_{z1}^{z2} s_v dz$$

$$s_A = \text{nautical area backscattering coefficient} = 4\pi(1852)^2 s_a$$

# Echo Integration Terms: Log

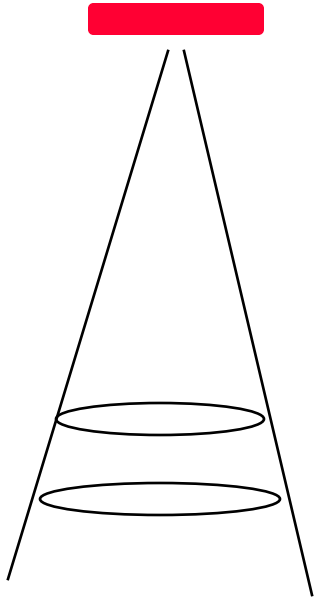
$S_v$  = (mean) volume backscattering strength =  $10\log_{10}(s_v)$

$S_a$  = area backscattering strength =  $10\log_{10}(s_a)$

$S_A$  = nautical area backscattering strength =  $10\log_{10}(s_A)$

cf. Table 1, MacLennan et al. 2002

# Integration volume



assume beam is ideal with solid angle of  $\psi$  steradians

for a circular xducer:

$$V = \frac{c\tau}{2} \psi r^2$$

$$\psi = \left( \frac{4.853}{kD} \right)^2$$

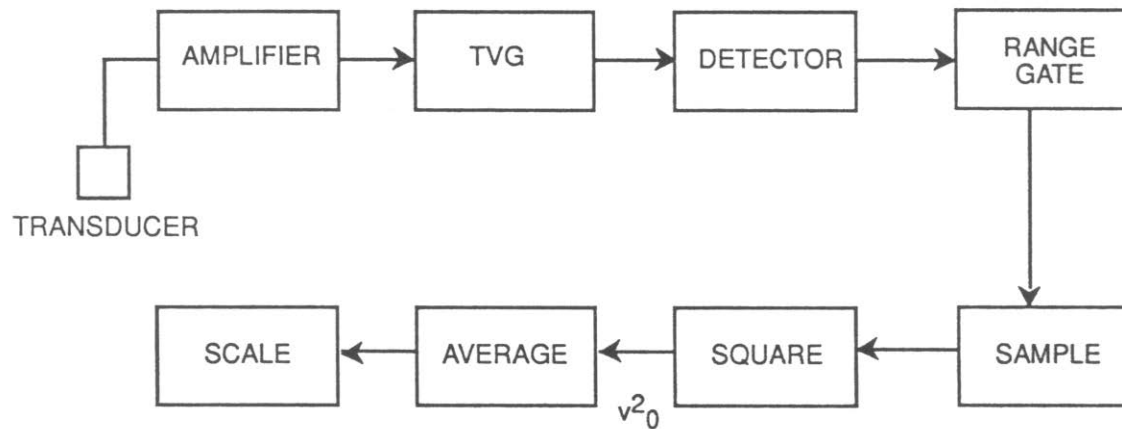
$k$  = wave number rad/m  
 $= 2\pi/\lambda = 2\pi f/c$

$D$  = diameter of xducer

# Echosounder Integration

$$E_i = \int_{t1}^{t2} |v(t)|^2 dt$$

where  $E_i$  is the echo integral  
and  $v(t)$  is the voltage at time  $t$   
after the pulse



# Echosounder Integration

Remember:

$$|P_{scat}|^2 = (p_0 r_0)^2 \left( \frac{1}{r_{target}} \right)^2 \left( \frac{1}{r_{source}} \right)^2 \sigma_{bs} \quad \begin{array}{l} \text{linear sonar equation} \\ \text{for single targets} \end{array}$$

$$EL = SL - TL_{target} - TL_{source} + TS \quad \text{log form for single targets}$$

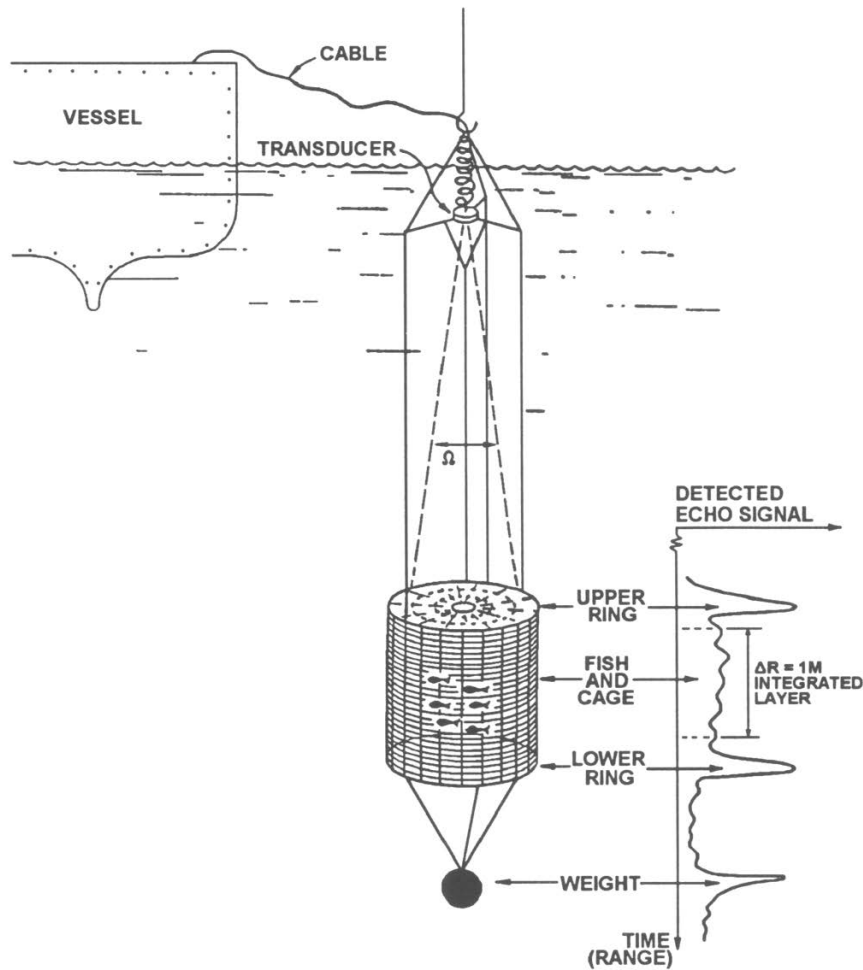
For groups, energy comes from volume

$$RL = SL - 2TL + S_v + \mathbf{10 \log V} \quad \text{log form for aggregations}$$

$$S_v = N\sigma_{bs}$$

Where does volume term come from?

# Echo Integration Measurement



- group of fish in cage
- gate layer to time (i.e. range) within cage
- integrate echo returns from gated layer

Burczynski 1979

# Echo Integration Limitations

dead zones: near-surface, near-bottom

non-linear effects: shadowing, multiple scattering

no acoustic species identification: rely on other methods

must know backscattering properties of target for numeric density estimates

# Single Target Backscatter

## Pressure of Backscattered Sound

$$p_{scat} = D_t D_r \frac{[p_o r_o]}{r^2} l_{bs}$$

where:  $D_t$  = directivity of transmit,  $D_r$  = directivity of receiver,  $p_o$  = reference pressure,  $r$  = range,  $l_{bs}$  = scattering length of target (units m)

$$l_{bs} = \frac{p_{scat} r^2}{D_t D_r [p_o r_o]}$$

Reduced backscatter quantities (non-dimensional):

$$\text{Reduced Scattering Length (RSL)} = l_{bs}/L_o$$

where  $L_o$  is a reference length, 1 m

# Relationship Among Terms

Square of the absolute scattering length is backscattering cross section  $\sigma_{bs}$

$$\sigma_{bs} = |l_{bs}|^2 \quad (\text{units m}^2)$$

Log transform of  $\sigma_{bs}$  is Target Strength TS

$$TS = 10\log(\sigma_{bs}) \quad \sigma_{bs} = 10^{(TS/10)}$$

Reduced Target Strength

$$TS = 10\log\left(\sigma_{bs} / L_o^2\right) = 20\log\left(|l_{bs}| / L_o\right)$$

where  $L_o$  is a reference length, 1 m

# The $4\pi$ Factor

Principles and Applications of Underwater Sound (NRDC 1946)

define target area ( $\sigma$ ) as:

$$\sigma = \pi a^2 \quad \text{where } a = \text{radius of a sphere} \quad \text{acoustic cross section}$$

Isotropic scattering by a single particle

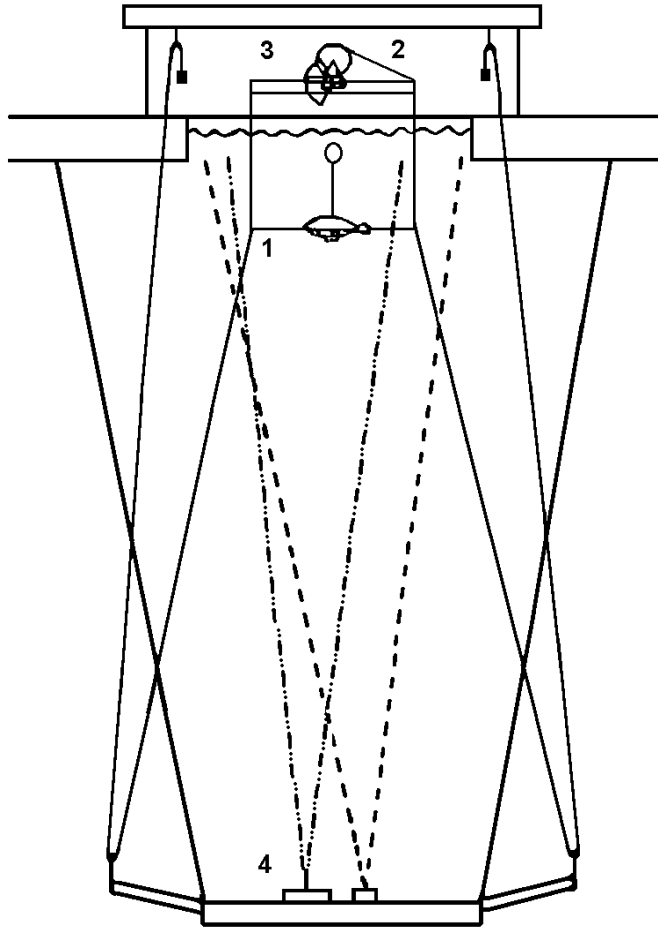
$$I_s = I_i \sigma / 4\pi r^2 \quad \text{where } I_s = \text{scattered intensity, } I_i = \text{incident intensity}$$

$$\text{and } TS = 10\log(\sigma/4\pi)$$

But for backscatter (i.e. non-isotropic):

$$TS = 10\log(\sigma_{bs}) \quad \text{backscattering cross section}$$

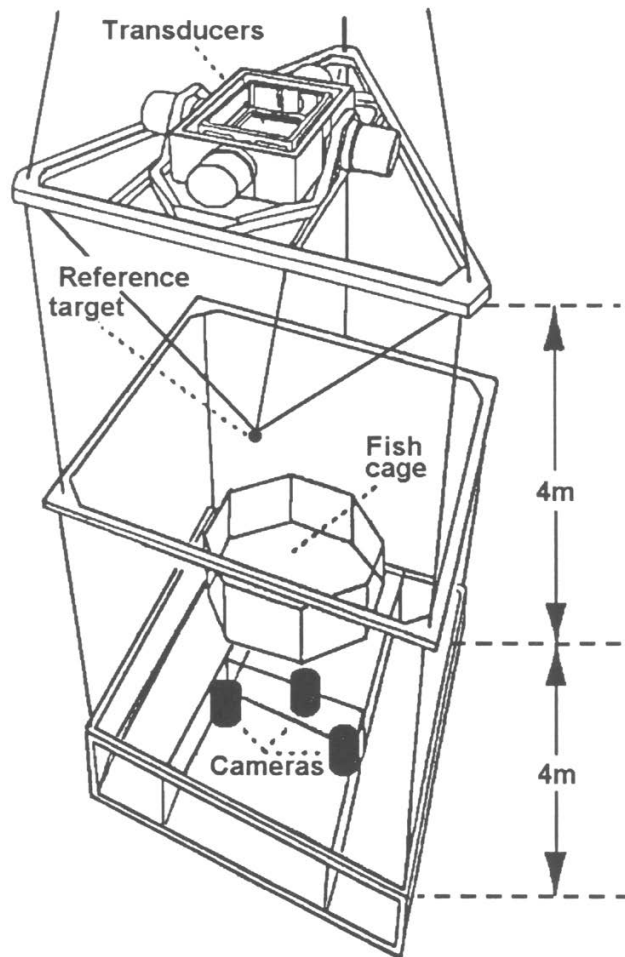
# Target Strength Measurement



- individual tethered fish
- frequency-dependent measurements at known tilt angles
- \*but\* dead fish

Nakken and Olsen 1977

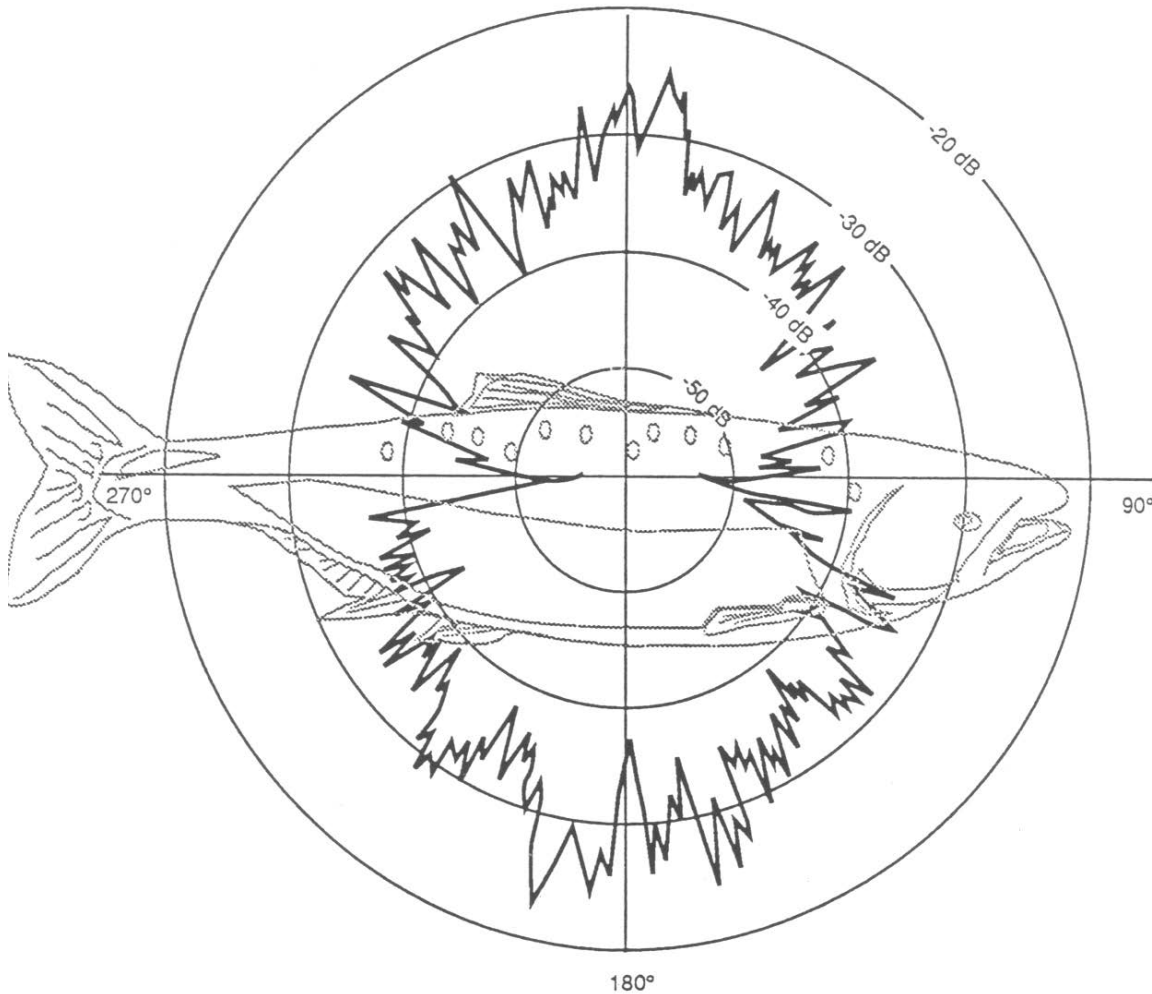
# Target Strength Measurement



- measurements of fish in a cage
- use optics to verify tilt angles
- use a reference target to ensure consistent system performance

Edwards and Armstrong 1984

# Why is aspect important?



Fish are directional scatterers

Acker 1977

# Target Strength and Fish Length

- relationship exists between organism size, measured by length or mass, and amount of scattered sound

## Assumptions:

- sampled targets are within the size range used to determine statistical relationship between organism and amplitude of echo
- statistical relationship between organism and echo amplitude is independent of frequency (with the exception of Love)
- statistical relationship is independent of behavior or can be averaged across behaviors (with the exception of Middtun)

# Target Strength and Fish Length

Method:

- empirically measure target strengths of individual, known-sized organisms: *in situ* and using nets
- regression relation between target strength and fish length (exponential or log-linear)

$$TS = a \log(L_{\text{cm}}) + b$$

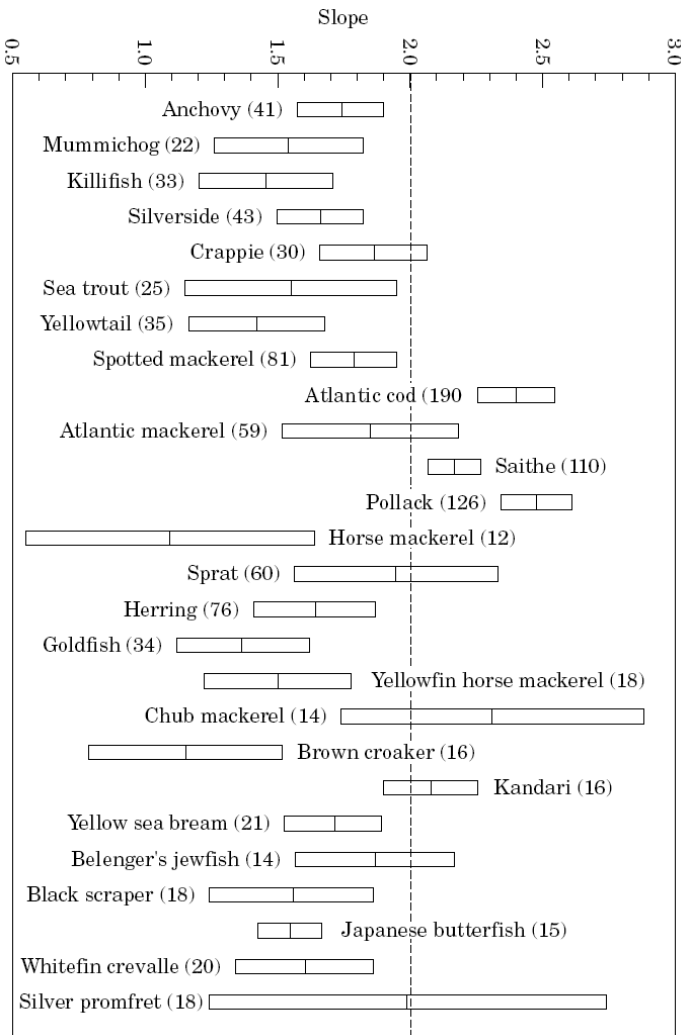
Foote (1987)    if  $TS \propto L^2$      $TS = 20 \log(L_{\text{cm}}) + b$

where TS is target strength (dB), L is total length (cm)

# Target Strength and Fish Length

a values

$b_{20}$  values



If  $TS \propto L^2$  then  
predict  $a = 2$

\*slopes and  
intercepts not  
transferable  
across species

