

Ling 566
Mar 6, 2019
Long Distance Dependencies

Overview

- Some examples of the phenomenon
- What is new and different about it
- Brief sketch of the TG approach
- Broad outlines of our approach
- Details of our approach
- Subject extraction
- Coordinate Structure Constraint
- Reading questions

Examples

- *wh*-questions:

What did you find?

Tell me who you talked to

- relative clauses:

the item that I found

the guy who(m) I talked to

- topicalization:

The manual, I can't find

Chris, you should talk to.

- *easy*-adjectives:

My house is easy to find.

Pat is hard to talk to.

What these have in common

- There is a ‘gap’: nothing following *find* and *to*, even though both normally require objects.
- Something that fills the role of the element missing from the gap occurs at the beginning of the clause.
- We use topicalization and *easy*-adjectives to illustrate:

The manual, *I can't find* _____

Chris *is easy to talk to* _____

Gaps and their fillers can be far apart:

- *The solution to this problem, Pat said that someone claimed you thought I would never find_____.*
 - *Chris is easy to consider it impossible for anyone but a genius to try to talk to_____.*
- ☞ That's why we call them “long distance dependencies”

Fillers often have syntactic properties associated with their gaps

Him, I haven't met ____.

**He, I haven't met ____.*

The scissors, Pat told us _____ were missing.

**The scissors, Pat told us _____ was missing.*

On Pat, you can rely ____.

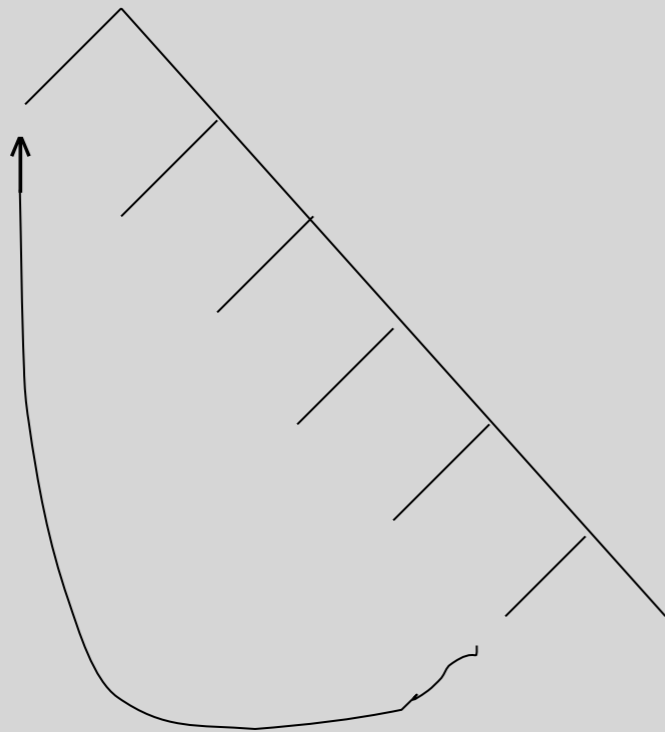
**To Pat, you can rely ____.*

LDDs in TG

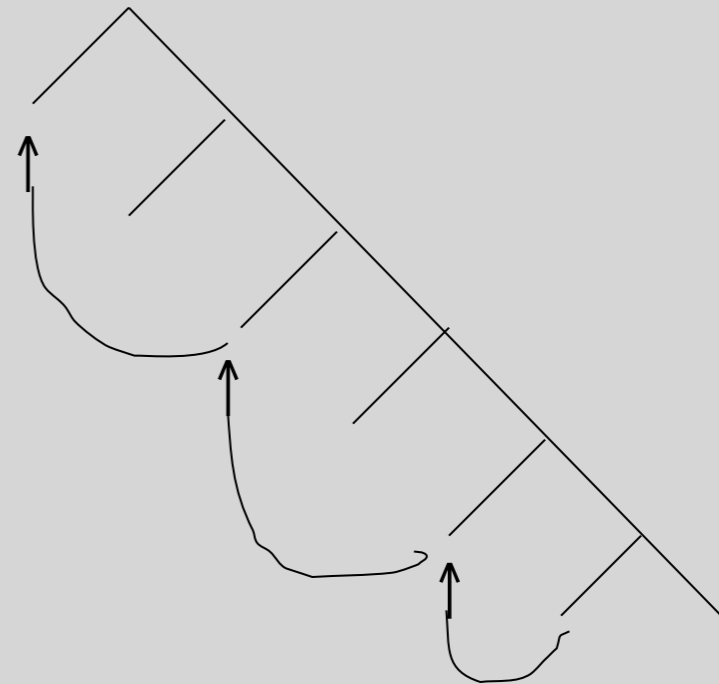
- These were long thought to constitute the strongest evidence for transformations.
- They were handled in TG by moving the filler from the gap position.
- Case, agreement, preposition selection could apply before movement.

A big debate about LDDs in TG

- Does long-distance movement take place in one fell swoop or in lots of little steps?



Swooping



Looping

Looping is now generally accepted in TG

- Various languages show morphological marking on the verbs or complementizers of clauses between the filler and the gap.
- Psycholinguistic evidence indicates increased processing load in the region between filler and gap.
- This opens the door to non-transformational analyses, in which the filler-gap dependency is mediated by local information passing.

Very Rough Sketch of Our Approach

- A feature GAP records information about a missing constituent.
- The GAP value is passed up the tree by a new principle.
- A new grammar rule expands S as a filler followed by another S whose GAP value matches the filler.
- Caveat: Making the details of this general idea work involves several complications.

The Feature GAP

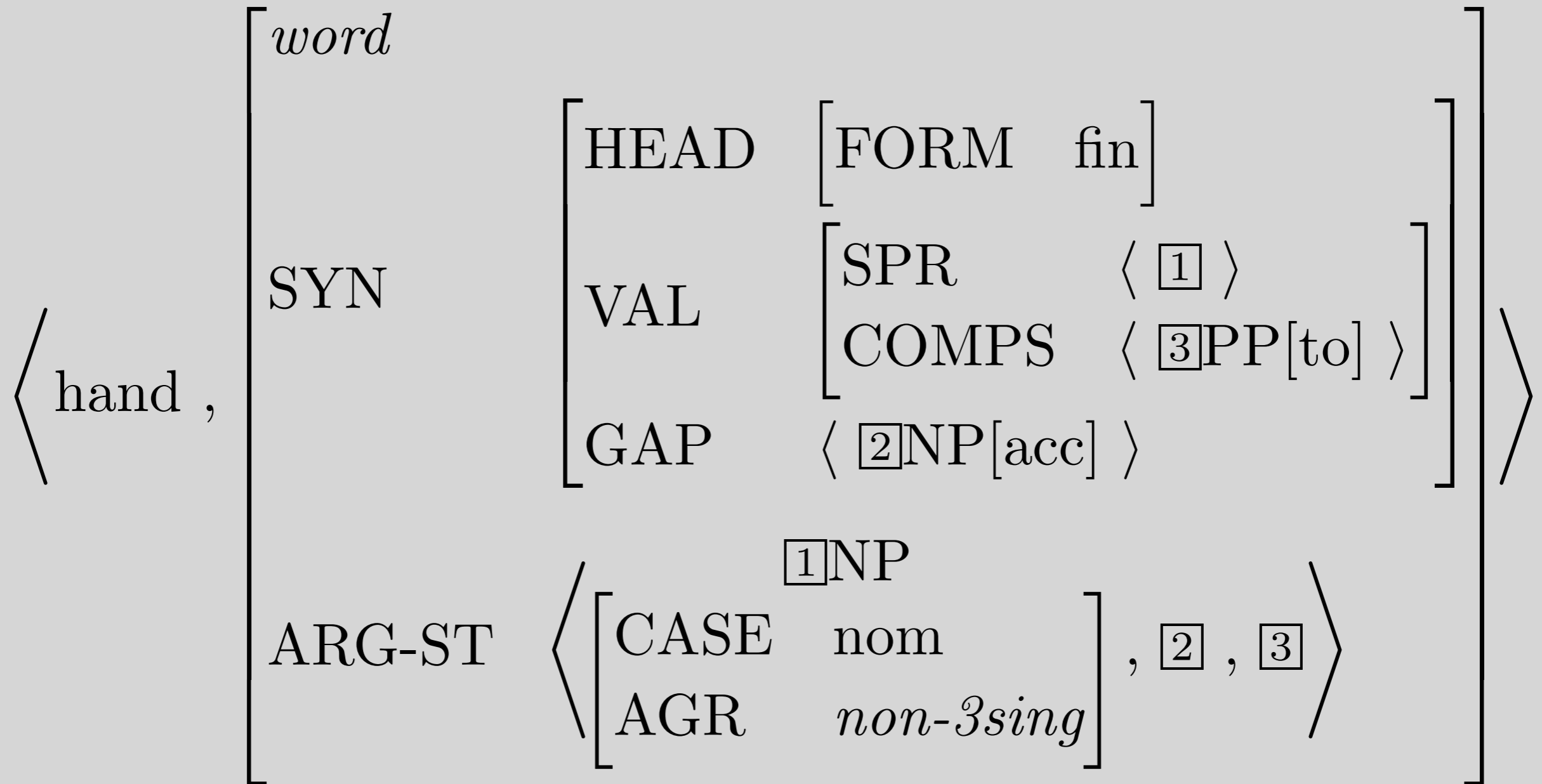
- Like valence features and ARG-ST, GAP's value is a list of feature structures (often empty).
- Subject gaps are introduced by a lexical rule.
- Non-subject gaps are introduced by revising the Argument Realization Principle.

The Revised ARP

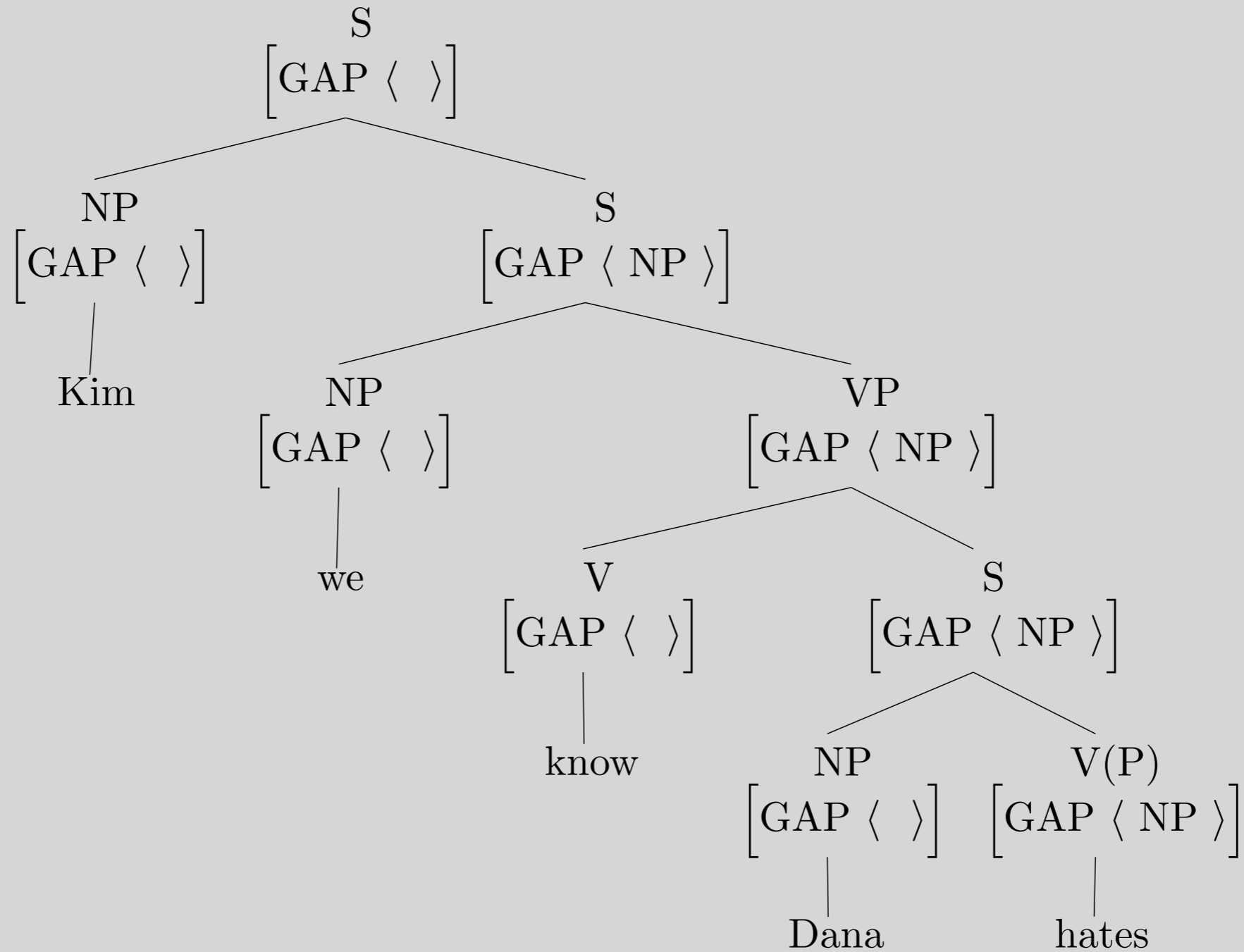
$$\text{word:} \left[\begin{array}{l} \text{SYN} \\ \text{ARG-ST} \end{array} \left[\begin{array}{l} \text{VAL} \\ \text{GAP} \end{array} \left[\begin{array}{l} \text{SPR} \\ \text{COMPS} \end{array} \left[\begin{array}{l} \boxed{A} \\ \boxed{B} \end{array} \ominus \boxed{C} \right] \right] \oplus \boxed{B} \right] \right]$$

- \ominus is a kind of list subtraction, but:
 - it's not always defined, and
 - when defined, it's not always unique
- The ARP now says the non-SPR arguments are distributed between COMPS and GAP.

A Word with a Non-Empty GAP Value



How We Want GAP to Propagate



What We Want the GAP Propagation Mechanism to Do

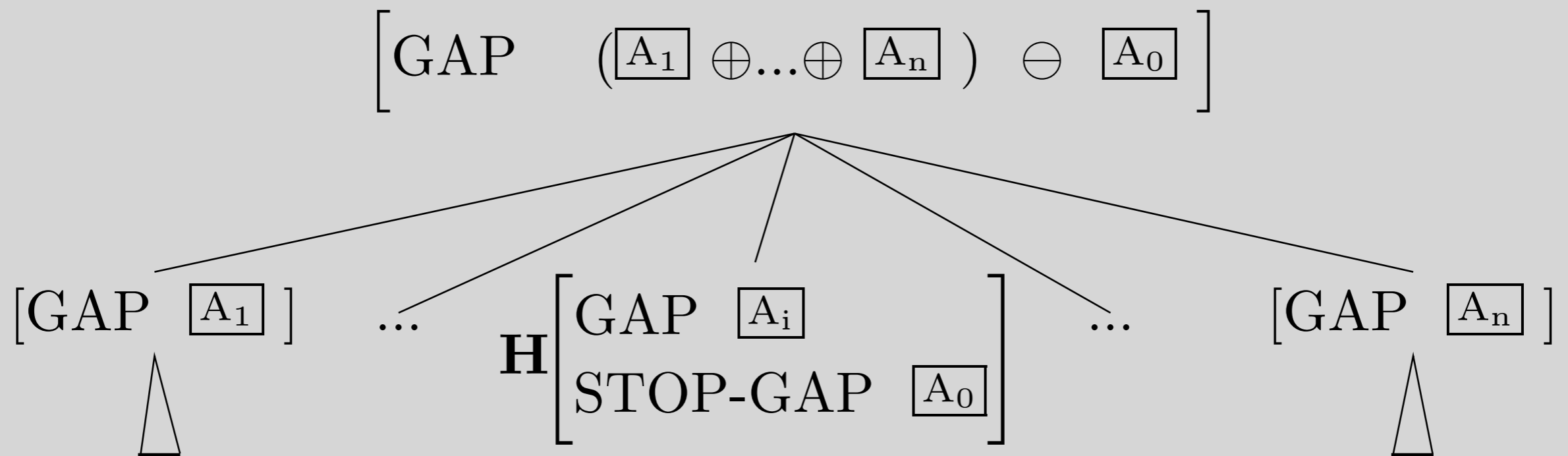
- Pass any GAP values from daughters up to their mothers,
- **except** when the filler is found.
- For topicalization, we can write the exception into the grammar rule, but
- For *easy*-adjectives, the NP that corresponds to the gap is the subject, which is introduced by the Head-Specifier Rule.
- Since specifiers are not generally gap fillers, we can't write the gap-filling into the HSR.

Our Solution to this Problem

- For *easy*-adjectives, we treat the adjective formally as the filler, marking its SPR value as coindexed with its GAP value.
- We use a feature STOP-GAP to trigger the emptying of the GAP list.
 - STOP-GAP stops gap propagation
 - *easy*-adjectives mark STOP-GAP lexically
 - a new grammar rule, the Head-Filler Rule mentions STOP-GAP

The GAP Principle

A local subtree Φ satisfies the GAP Principle with respect to a headed rule ρ if and only if Φ satisfies:



How does STOP-GAP work?

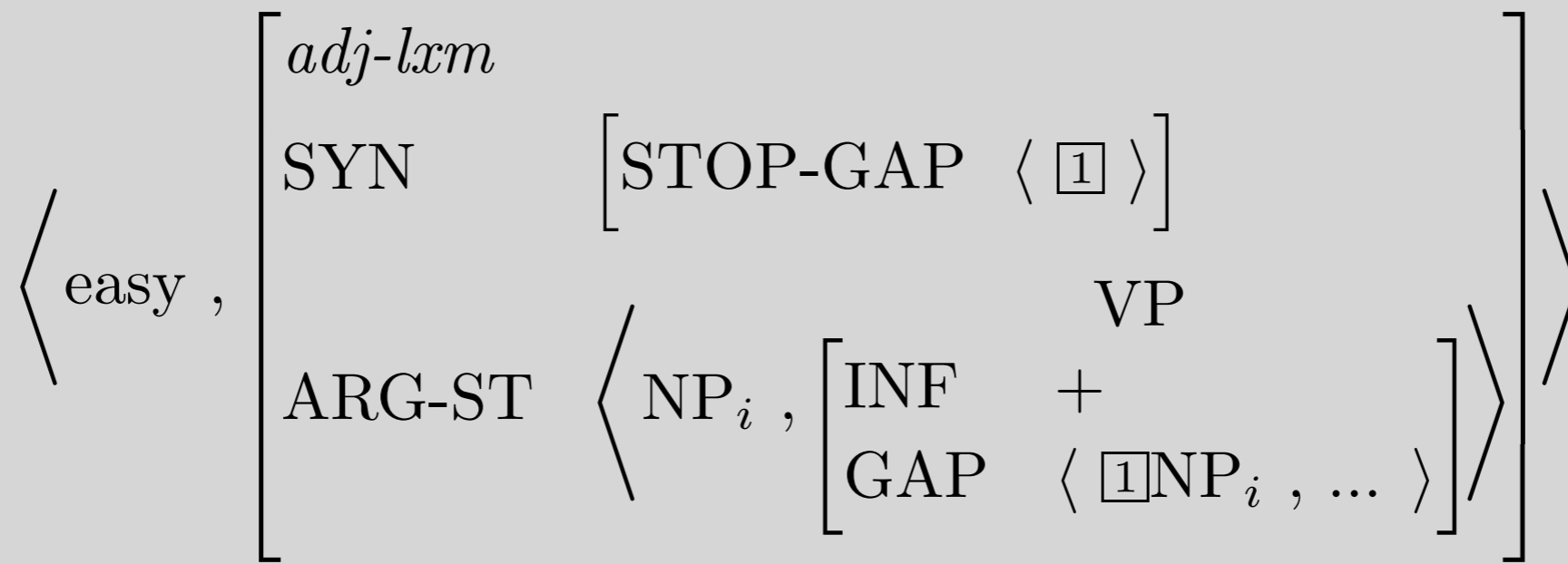
- STOP-GAP is empty almost everywhere
- When a gap is filled, STOP-GAP is nonempty, and its value is the same as the gap being filled.
- This blocks propagation of that GAP value, so gaps are only filled once.
- The nonempty STOP-GAP values come from two sources:
 - a stipulation in the Head-Filler Rule
 - lexical entries for *easy*-adjectives
- No principle propagates STOP-GAP

The Head-Filler Rule

$$[phrase] \rightarrow \boxed{1} \left[\text{GAP} \quad \langle \rangle \right] \mathbf{H} \left[\begin{array}{l} \text{HEAD} \quad \left[\begin{array}{l} \textit{verb} \\ \text{FORM} \quad \textit{fin} \end{array} \right] \\ \text{VAL} \quad \left[\begin{array}{l} \text{SPR} \quad \langle \rangle \\ \text{COMPS} \quad \langle \rangle \end{array} \right] \\ \text{STOP-GAP} \quad \langle \boxed{1} \rangle \\ \text{GAP} \quad \langle \boxed{1} \rangle \end{array} \right]$$

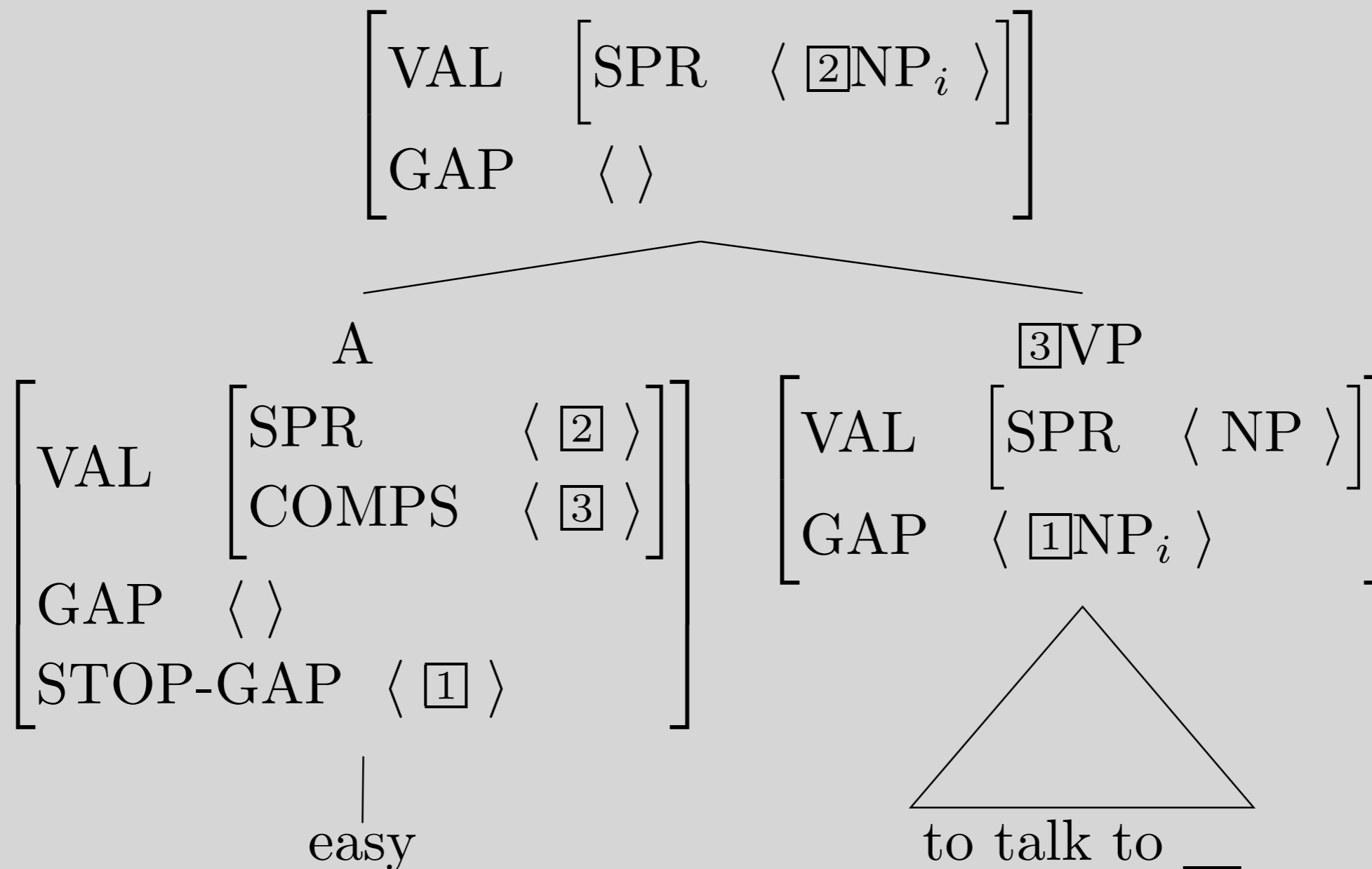
- This only covers gap filling in finite Ss
- The filler has to be identical to the GAP value
- The STOP-GAP value is also identical
- The GAP Principle ensures that the mother's GAP value is the empty list

Gap Filling with *easy*-Adjectives



- Because STOP-GAP and GAP have the same value, that value will be subtracted from the mother's GAP value.
- The first argument is coindexed with the GAP value, accounting for the interpretation of the subject as the filler.

A Tree for *easy to talk to* _____



STOP-GAP Housekeeping

- Lexical entries with nonempty STOP-GAP values (like *easy*) are rare, so STOP-GAP is by default empty in the lexicon.
- Head-Specifier and Head-Modifier rules need to say [STOP-GAP < >]
- Lexical rules preserve STOP-GAP values.

GAP Housekeeping

- The initial symbol must say [GAP < >]. Why?
 - To block **Pat found* and **Chris talked to* as stand-alone sentences.
- The Imperative Rule must propagate GAP values. Why?
 - It's not a headed rule, so the effect of the GAP Principle must be replicated
 - Imperatives can have gaps:
This book, put on the top shelf!

Sentences with Multiple Gaps

- Famous examples:

This violin, sonatas are easy to play___ on___.

**Sonatas, this violin is easy to play___ on___.*

- Our analysis gets this:
 - The subject of *easy* is coindexed with the **first** element of the GAP list.
 - The Head-Filler rule only allows one GAP remaining.
- There are languages that allow multiple gaps more generally.

Where We Are

- filler-gap structures:

The solution to this problem, nobody understood_____

That problem is easy to understand_____

- The feature GAP encodes information about missing constituents
- Modified ARP allows arguments that should be on the COMPS list to show up in the GAP list
- GAP values are passed up the tree by the GAP Principle

Where We Are (continued)

- The feature **STOP-GAP** signals where **GAP** passing should stop
- The **Head-Filler Rule** matches a filler to a **GAP** and (via **STOP-GAP**) empties **GAP**
- Lexical entries for *easy*-adjectives require a gap in the complement, coindex the subject with the gap, and (via **STOP-GAP**) empty **GAP** on the mother

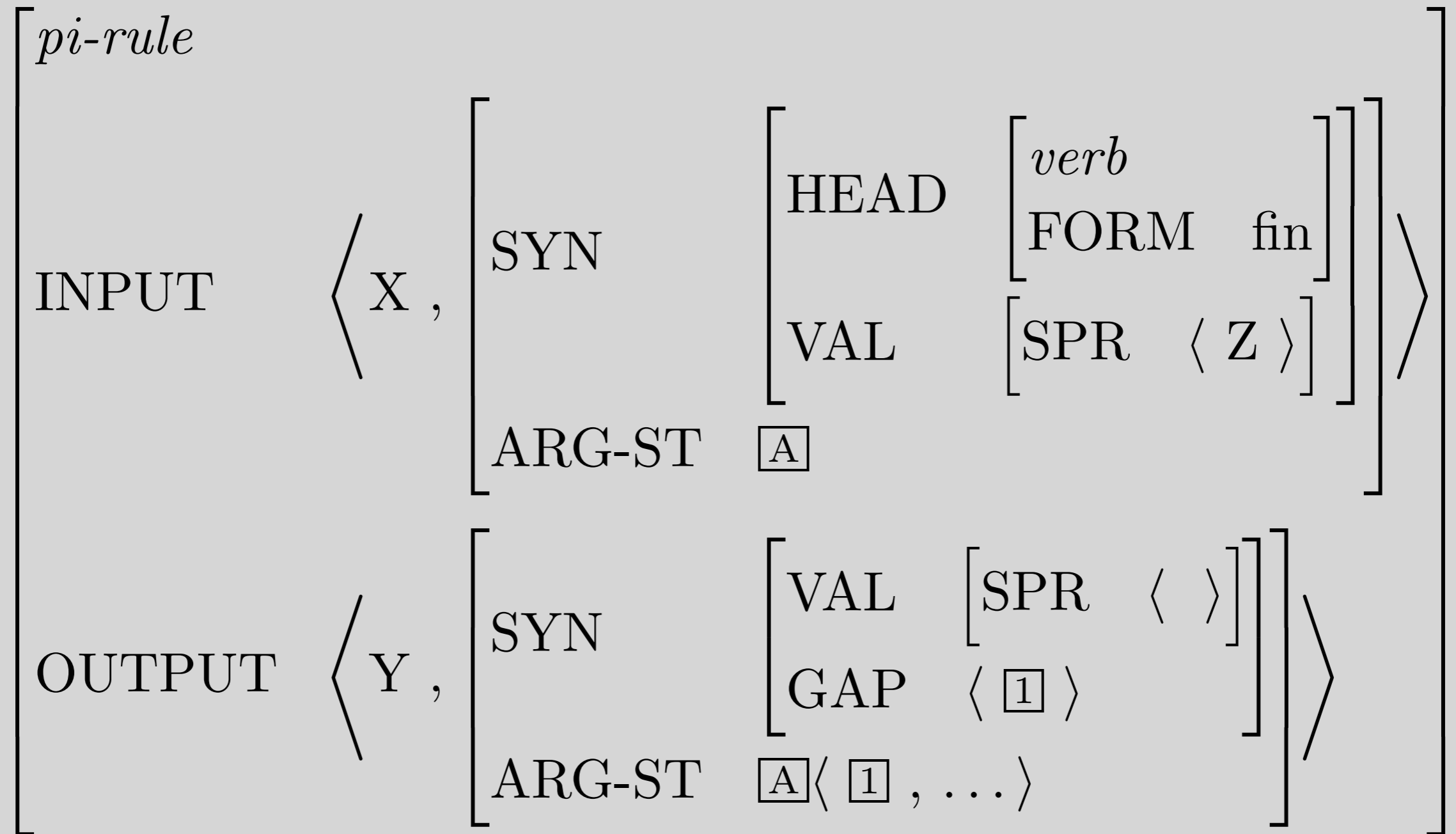
On to New Material....

- Sentences with subject gaps
- Gaps in coordinate constructions

Subject Gaps

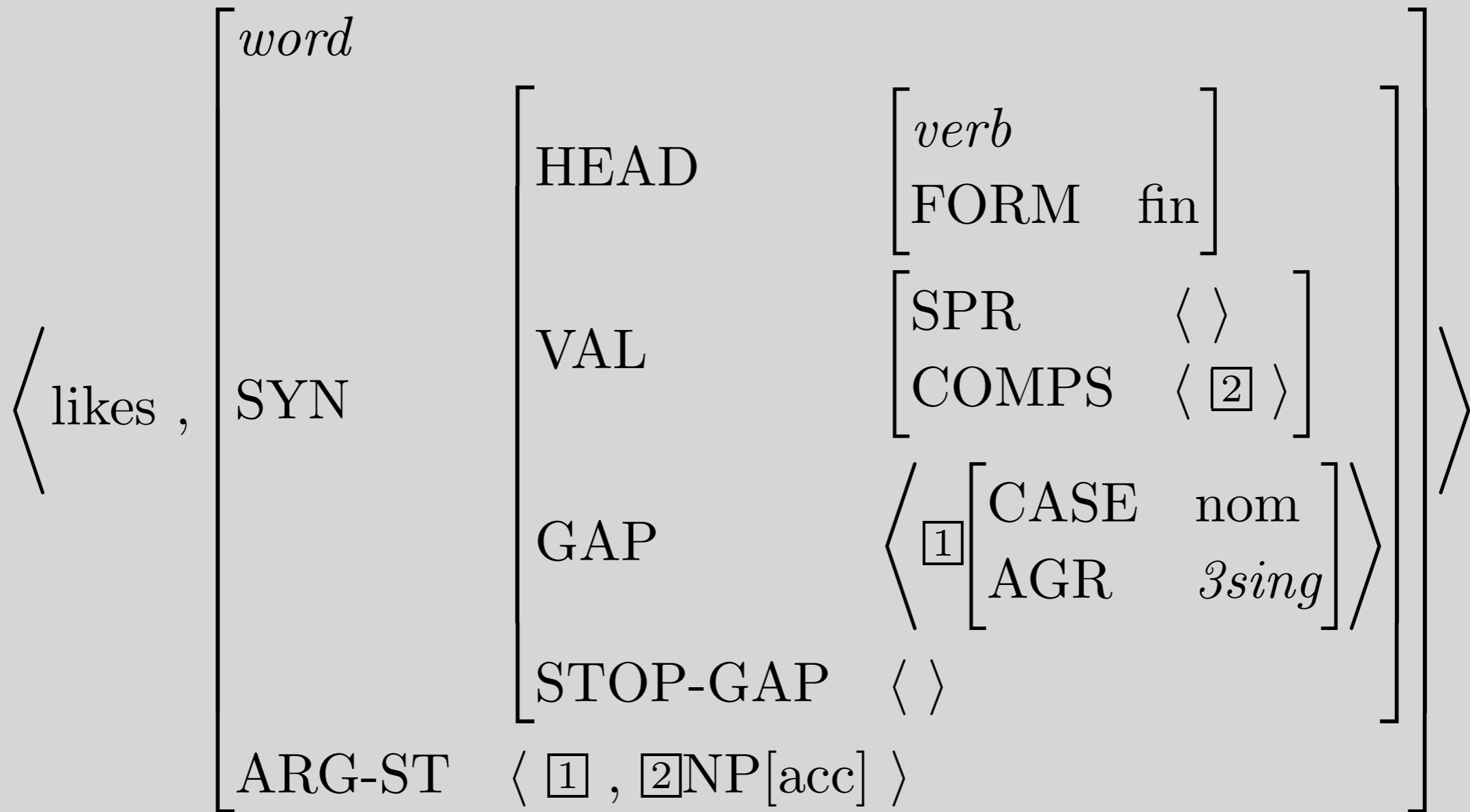
- The ARP revision only allowed missing complements.
- But gaps occur in subject position, too:
This problem, everyone thought ____ was too easy.
- We handle these via a lexical rule that, in effect, moves the contents of the SPR list into the GAP list

The Subject Extraction Lexical Rule



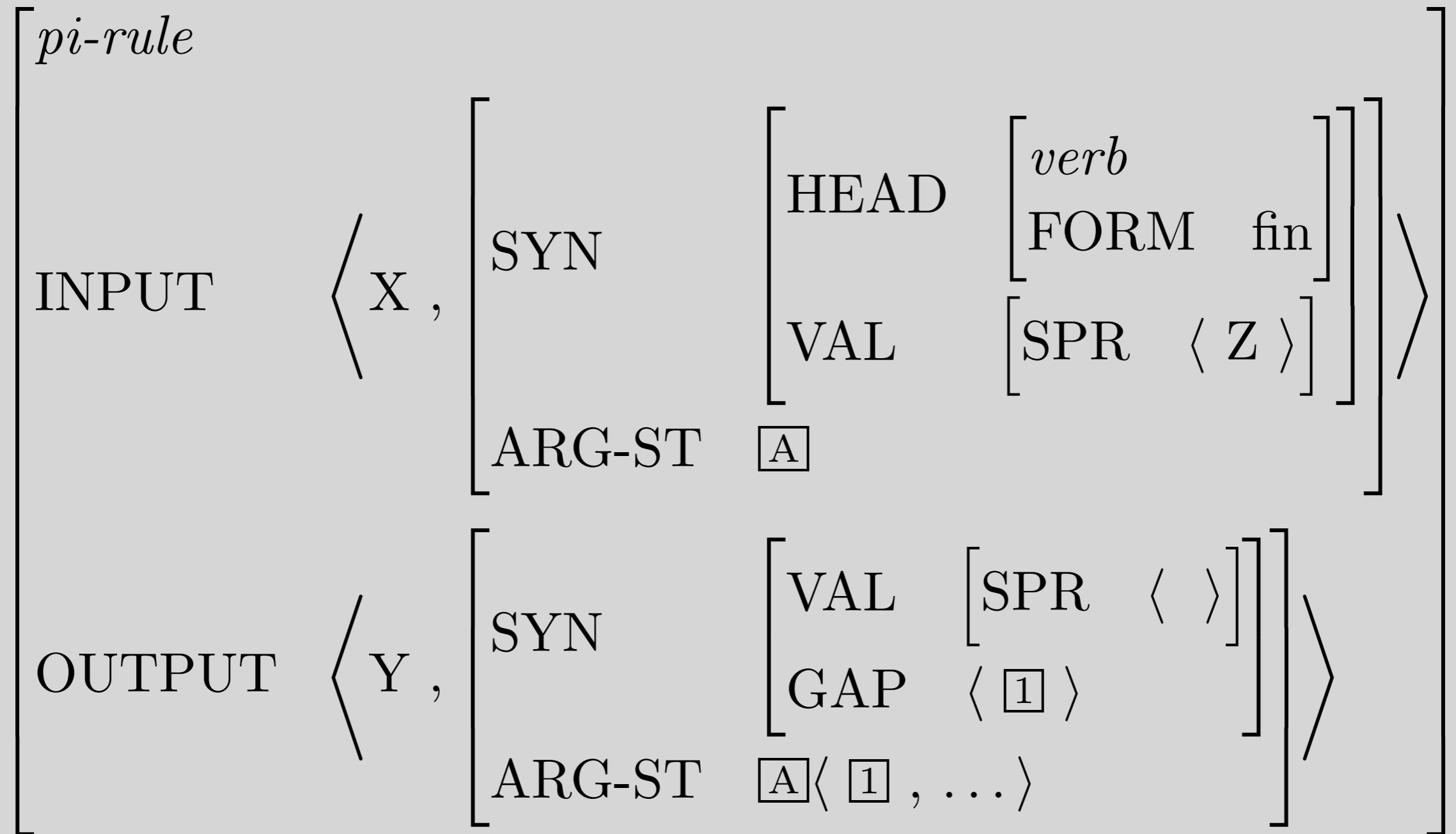
- NB: This says nothing about the phonology, because the default for *pi-rules* is to leave the phonology unchanged.

A Lexical Sequence This Licenses



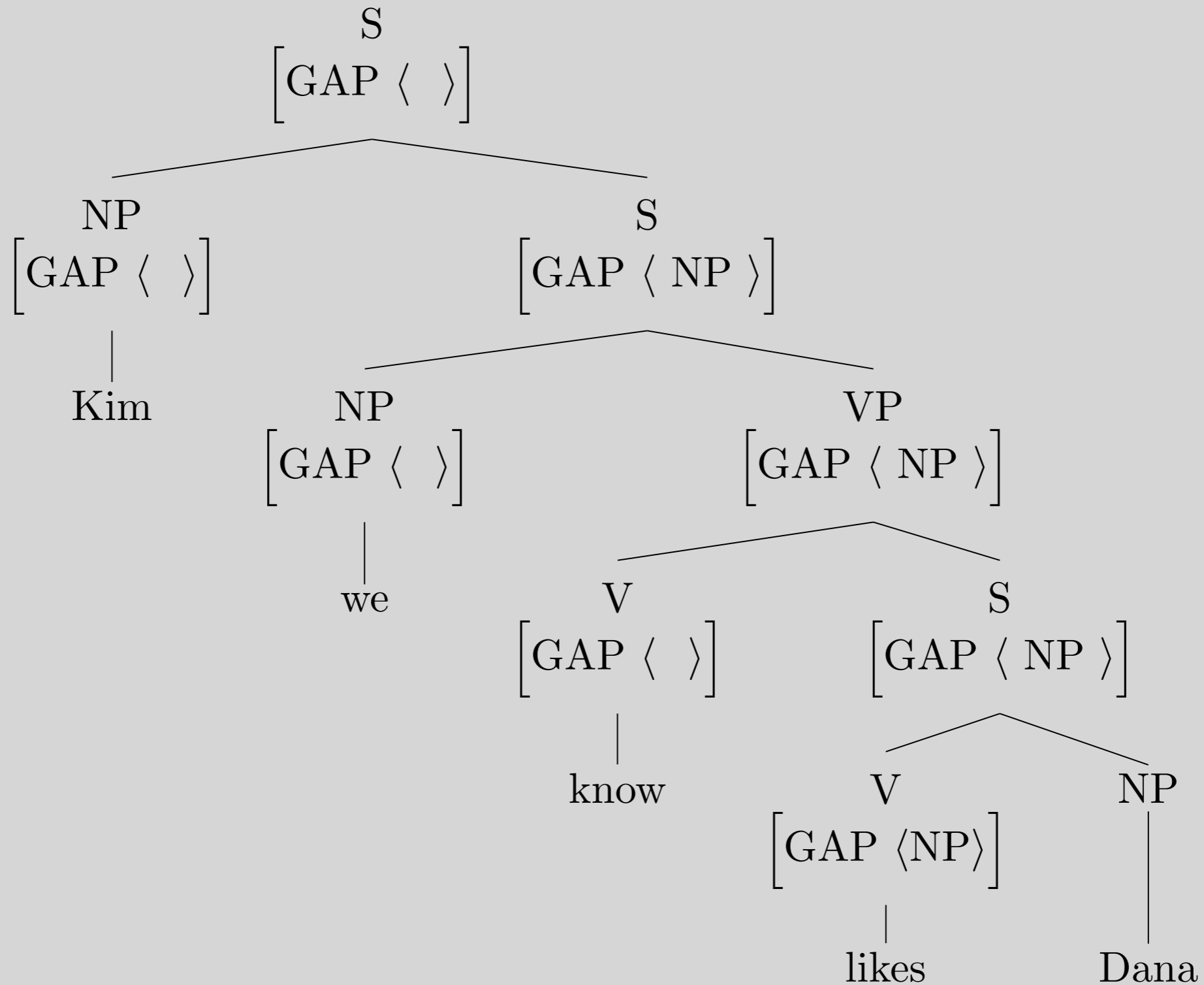
- Note that the ARP is satisfied

The Subject Extraction Lexical Rule



- RQ: Isn't Z actually [1]? Why doesn't the rule say so?
- RQ: Why isn't the HEAD value of the OUTPUT constrained?

A Tree with a Subject Gap



Island Constraints

- There are configurations that block filler-gap dependencies, sometimes called “islands”
- Trying to explain them has been a central topic of syntactic research since the mid 1960s
- We’ll look at just one, Ross’s so-called “Coordinate Structure Constraint”
- Loose statement of the constraint: a constituent outside a coordinate structure cannot be the filler for a gap inside the coordinate structure.

Coordinate Structure Constraint Examples

- **This problem, nobody finished the extra credit and _____*
- **This problem, nobody finished _____ and the extra credit.*
- **This problem, nobody finished _____ and started the extra credit.*
- **This problem, nobody started the extra credit and finished _____*

- But notice:

This problem, everybody started _____ and nobody finished _____

The Coordinate Structure Constraint

- In a coordinate structure,
 - no conjunct can be a gap (conjunct constraint),
and
 - no gap can be contained in a conjunct if its filler is outside of that conjunct (element constraint)
-unless each conjunct has a gap that is paired with the same filler (across-the-board exception)

These observations cry out for explanation

- In our analysis, the conjunct constraint is an immediate consequence: individual conjuncts are not on the ARG-ST list of any word, so they can't be put on the GAP list
- The element constraint and ATB exception suggest that GAP is one of those features (along with VAL and FORM) that must agree across conjuncts.
- Note: There is no ATB exception to the conjunct constraint.
**This problem, you can compare only _____ and _____.*

Our Coordination Rule, so far

$$\begin{array}{l} \left[\begin{array}{ll} \text{FORM} & \boxed{1} \\ \text{VAL} & \boxed{0} \\ \text{IND} & s_0 \end{array} \right] \end{array} \rightarrow \begin{array}{l} \left[\begin{array}{ll} \text{FORM} & \boxed{1} \\ \text{VAL} & \boxed{0} \\ \text{IND} & s_1 \end{array} \right] \dots \left[\begin{array}{ll} \text{FORM} & \boxed{1} \\ \text{VAL} & \boxed{0} \\ \text{IND} & s_{n-1} \end{array} \right] \left[\begin{array}{ll} \text{HEAD} & conj \\ \text{IND} & s_0 \\ \text{RESTR} & \langle \left[\text{ARGS} \langle s_1 \dots s_n \rangle \right] \rangle \end{array} \right] \left[\begin{array}{ll} \text{FORM} & \boxed{1} \\ \text{VAL} & \boxed{0} \\ \text{IND} & s_n \end{array} \right] \end{array}$$

- Recall that we have tinkered with what must agree across conjuncts at various times.
- Now we'll add GAP to the things that conjuncts must share

Our Final Coordination Rule

$$\begin{array}{l}
 \left[\begin{array}{ll} \text{FORM} & \boxed{1} \\ \text{VAL} & \boxed{0} \\ \text{GAP} & \boxed{A} \\ \text{IND} & s_0 \end{array} \right] \rightarrow \\
 \\
 \left[\begin{array}{ll} \text{FORM} & \boxed{1} \\ \text{VAL} & \boxed{0} \\ \text{GAP} & \boxed{A} \\ \text{IND} & s_1 \end{array} \right] \dots \left[\begin{array}{ll} \text{FORM} & \boxed{1} \\ \text{VAL} & \boxed{0} \\ \text{GAP} & \boxed{A} \\ \text{IND} & s_{n-1} \end{array} \right] \left[\begin{array}{ll} \text{HEAD} & conj \\ \text{IND} & s_0 \\ \text{RESTR} & \langle \left[\text{ARGS} \langle s_1 \dots s_n \rangle \right] \rangle \end{array} \right] \left[\begin{array}{ll} \text{FORM} & \boxed{1} \\ \text{VAL} & \boxed{0} \\ \text{GAP} & \boxed{A} \\ \text{IND} & s_n \end{array} \right]
 \end{array}$$

- We've just added GAP to all the conjuncts and the mother.
- This makes the conjuncts all have the same gap (if any)
- Why do we need it on the mother?

Closing Remarks on LDDs

- This is a huge topic; we've only scratched the surface
 - There are many more kinds of LDDs, which would require additional grammar rules
 - There are also more island constraints, which also need to be explained
- Our account of the coordinate structure constraint (based on ideas of Gazdar) is a step in the right direction, but it would be nice to explain why certain features must agree across conjuncts.

Overview

- Some examples of the phenomenon
- What is new and different about it
- Brief sketch of the TG approach
- Broad outlines of our approach
- Details of our approach
- Subject extraction
- Coordinate Structure Constraint

Reading Questions

- What's going on with (51a)?

(51) a.*Here is the student that [the principal suspended [__ and Sandy]].
b.*Here is the student that [the principal suspended [Sandy and __]].

- Is there an equivalent of long distance dependencies in semantics? Are there any examples of a sentence where the semantics of a word may depend on some context earlier on in the sentence? I am having a hard time coming up with an example of this, if it does exist.

Reading Questions

- The text provides examples of English sentences with two gaps and mentions other languages have multiple gaps more freely. What's an example of this?
- Is there any significant difference between a long-distance dependencies and variation in word order? Or does it just come down to knowing what is normal for a language, e.g. we know English is SVO, not OSV, so if we say "that book, I like" there must be something different going on.

Reading Questions

- Would our grammar license an LDD such as the one shown below?

That's the elephant (i) [Chris said [Ben believes [you like ___ (i)]]].

- Will the GAP style of thinking be extended for freer word-order languages?

Reading Questions

- I'm not sure I understand why the GAP feature is outside of VAL. It seems like it's a property of valence, and the 'transmission' of the GAP value up the tree could be handled by the Valence Principle if it was inside of VAL. What is the reason that it isn't placed in VAL?

Reading Questions

- The GAP principle is designed to only apply to headed rules. In our grammar, that only excludes two rules, and we immediately "hard code" the principle into the Imperative Rule. This means that for our grammar, we basically only make this principle headed-only so that we can make the Coordination Rule behave the way we want it to and shares GAP across the coordinated structures and doesn't sum them at the mother node. Is this a good enough reason to make it a headed only principle? Are there other non-headed rules in a more full grammar or in a non-English grammar that benefit from not being constrained by the GAP principle?

Reading Questions

- How are GAPS different from traces?
- Where is the semantics of the GAP housed?
If we have a GAP NP, would the RESTR list be identified in the filler node or gap node? My instinct is that it would be housed in the filler node, as this is where the lexical entry for that NP/NOM would identify the RESTR list.

Reading Questions

- I'm having trouble with the STOP-GAP feature. Items on the GAP list be "checked off" through the Head-Filler Rule by identifying the element on the GAP list with its sister, so do we only have the STOP-GAP feature for words like "easy" and "hard" where there is no actual entity filling the gap? If not, I'm confused about why we need both STOP-GAP and GAP to make the Head-Filler Rule work.

Reading Questions

- I'm struggling a little bit with how STOP-GAP fits in to our grammar. I understand that in cases like easy, STOP-GAP is filled at the lexical level. However, in the other cases, how exactly do we know when and how to populate the STOP-GAP feature?

Reading Questions

- Intuitively, it feels like the STOP-GAP should be a feature of the filler, because it is the filler that is stopping the gap. For example, in "Kim we know Dana hates", "we know Dana hates" is not the gap stopper, but rather "Kim" is. Then why couldn't the Head-Filler rule simply look something like: [phrase] -> [1] H[GAP <[1]>] (omitting some other requirements such as infinitival head)?

Reading Questions

- What exactly is the difference between ellipsis and topicalization? I don't consider myself a native speaker as Spanish is my first language, but I don't see how the following would be different.

This book, I like.

This book...I like.

Reading Questions

- Topicalization is an example of a syntactic structure which appears ungrammatical to some native English speakers (myself included for most examples given in the book) which we are introducing into our grammar framework. In our book grammar framework, we have no way of including this structure without fully incorporating it into our complete grammar. I wonder, however, how the grammar matrix and other "worldly" grammars handle such sociolectal syntactic differences. Do you simply incorporate these "grammar fragments"/revisions anyway?

Reading Questions

- If we want our grammar to be explanatorily adequate, does the model have to have psycholinguistic parallel? For example in Chapter 9 we argued for HPSG on psycholinguistic grounds. I'm asking because the (quite) different treatments for subject gaps and object gaps seem a bit arbitrary and I wonder if it has psycholinguistic evidence.