Ling 566 Oct 9, 2025

Semantics

Announcements

- Midterm survey see Canvas announcement
- HW1 answer key is up; apologies for the delay

Overview

- Some notes on the linguist's stance
- Which aspects of semantics we'll tackle
- Our formalization; Semantics Principles
- Building semantics of phrases
- Modification, coordination
- Structural ambiguity
- Reading questions

The Linguist's Stance: Building a precise model

• Some of our statements are statements about how the model works:

"[prep] and [AGR 3sing] can't be combined because AGR is not a feature of the type prep."

- Some of our statements are statements about how (we think) English or language in general works.
 - "The determiners a and many only occur with count nouns, the determiner much only occurs with mass nouns, and the determiner the occurs with either."
- Some are statements about how we code a particular linguistic fact within the model.

[&]quot;All count nouns are [SPR < [COUNT +]>]."

Semantics: Where's the Beef?

So far, our grammar has no semantic representations. We have, however, been relying on semantic intuitions in our argumentation, and discussing semantic contrasts where they line up (or don't) with syntactic ones.

Examples?

- •structural ambiguity
- •S/NP parallelism
- count/mass distinction
- •complements vs. modifiers



Completely unfamiliar	
	0
That's a thing people say	
	0
I remember those commercials	
	0

Our Slice of a World of Meanings

Aspects of meaning we won't account for

- Pragmatics
- Fine-grained lexical semantics:

The meaning of life is life', or, in our case,

 $egin{bmatrix} ext{RELN} & ext{life} \ ext{INST} & i \end{bmatrix}$

Our Slice of a World of Meanings

```
\begin{bmatrix} \text{MODE prop} \\ \text{INDEX } s \end{bmatrix} \\ \text{RESTR} \ \left\langle \begin{bmatrix} \text{RELN save} \\ \text{SIT } s \\ \text{SAVER } i \\ \text{SAVED } j \end{bmatrix}, \begin{bmatrix} \text{RELN name} \\ \text{NAME Chris} \\ \text{NAMED } i \end{bmatrix}, \begin{bmatrix} \text{RELN name} \\ \text{NAME Pat} \\ \text{NAMED } j \end{bmatrix} \right\rangle \\ \end{bmatrix}
```

"... the linguistic meaning of *Chris saved Pat* is a proposition that will be true just in case there is an actual situation that involves the saving of someone named Pat by someone named Chris."

(p. 140)

Our Slice of a World of Meanings

What we are accounting for is the compositionality of sentence meaning.

How the pieces fit together

Semantic arguments and indices

• How the meanings of the parts add up to the meaning of the whole.

Appending RESTR lists up the tree

Semantics in Constraint-Based Grammar

• Constraints as (generalized) truth conditions

• proposition: what must be the case for a proposition to be true

• directive: what must happen for a directive to be fulfilled

• question: the kind of situation the asker is asking about

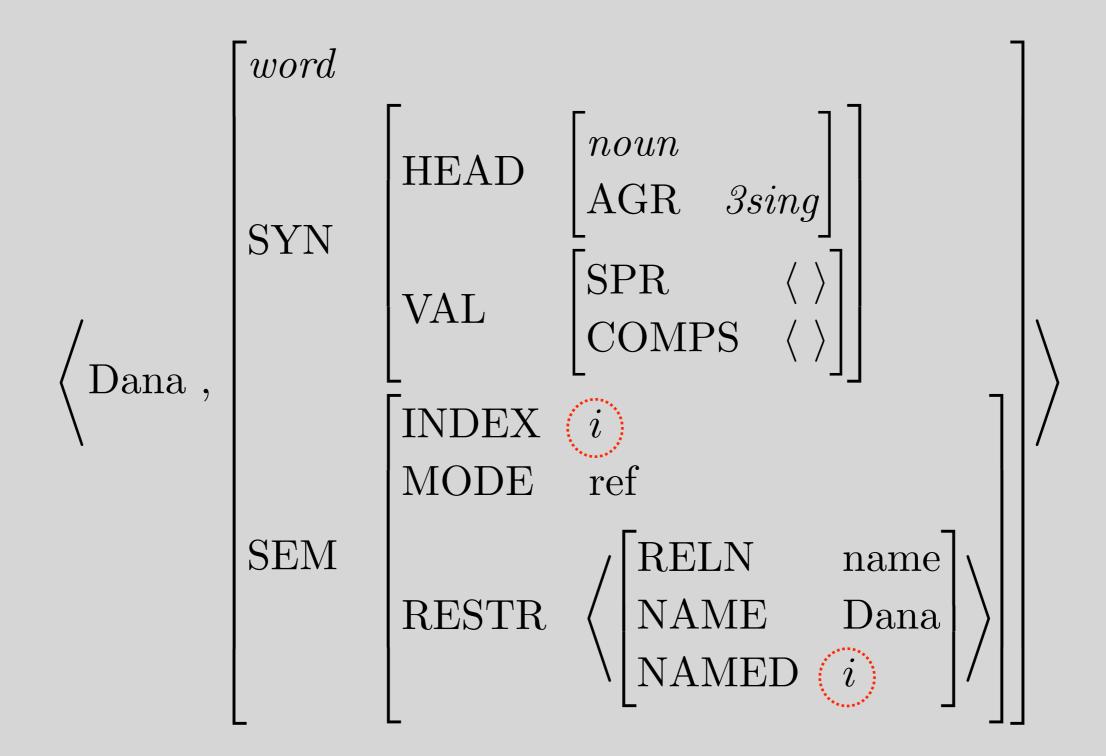
• reference: the kind of entity the speaker is referring to

• Syntax/semantics interface: Constraints on how syntactic arguments are related to semantic ones, and on how semantic information is compiled from different parts of the sentence.

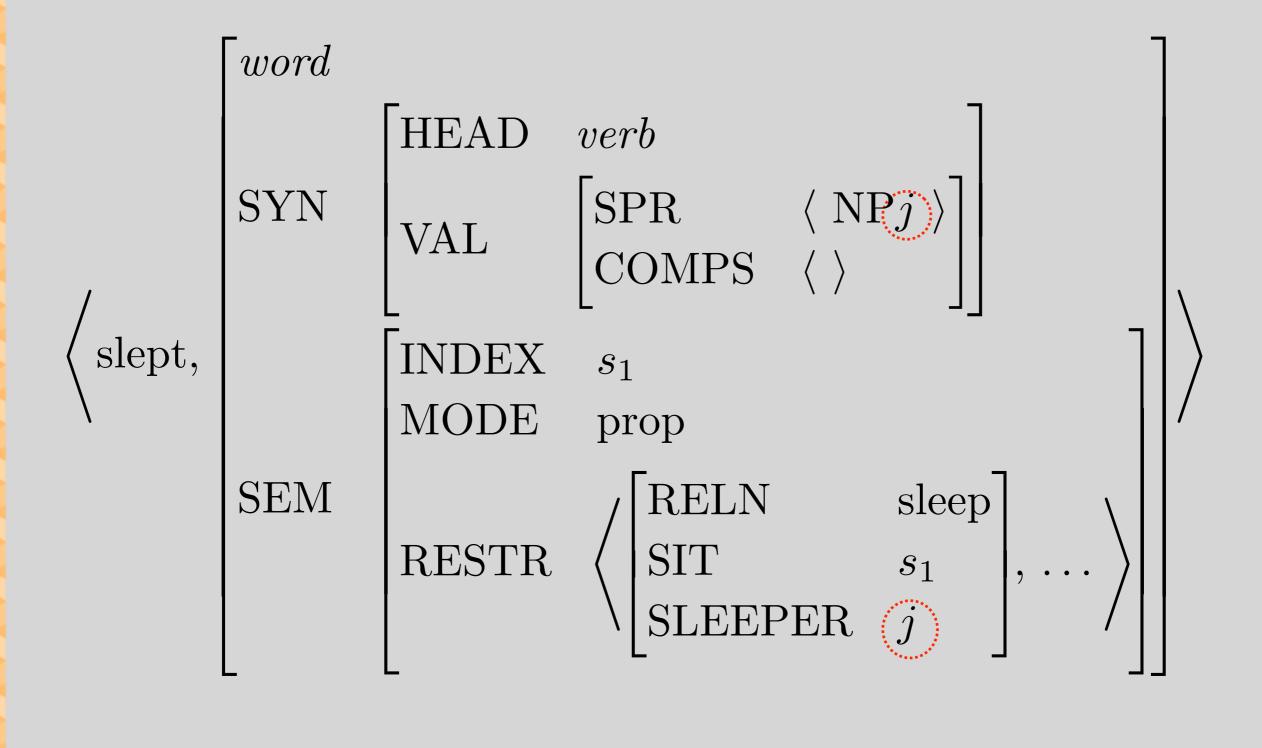
Feature Geometry

```
HEAD
                         \begin{bmatrix} \text{SPR} & \textit{list(expression)} \\ \text{COMPS} & \textit{list(expression)} \end{bmatrix}
SYN
             VAL
             MODE { prop , ques , dir , ref, none}
SEM
             INDEX \{i, j, k, \dots s_1, s_2, \dots\}
            RESTR list(pred)
```

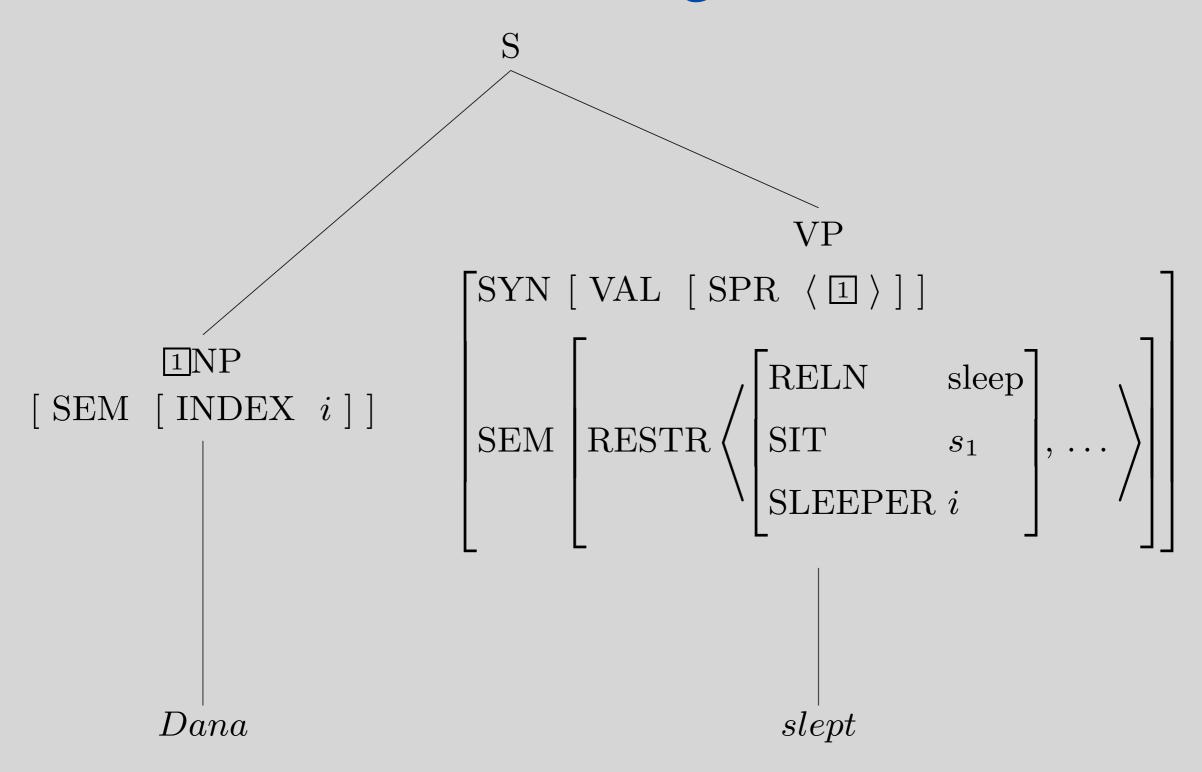
How the Pieces Fit Together



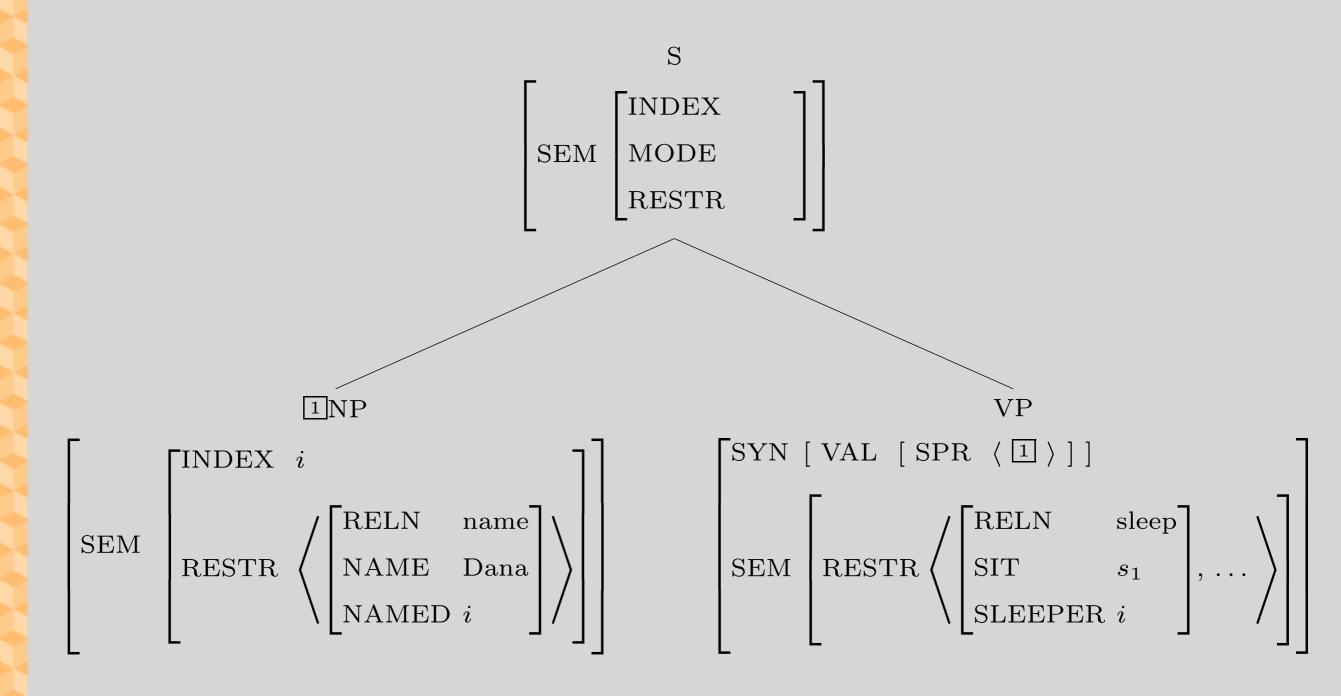
How the Pieces Fit Together



The Pieces Together



A More Detailed View of the Same Tree



To Fill in Semantics for the S-node

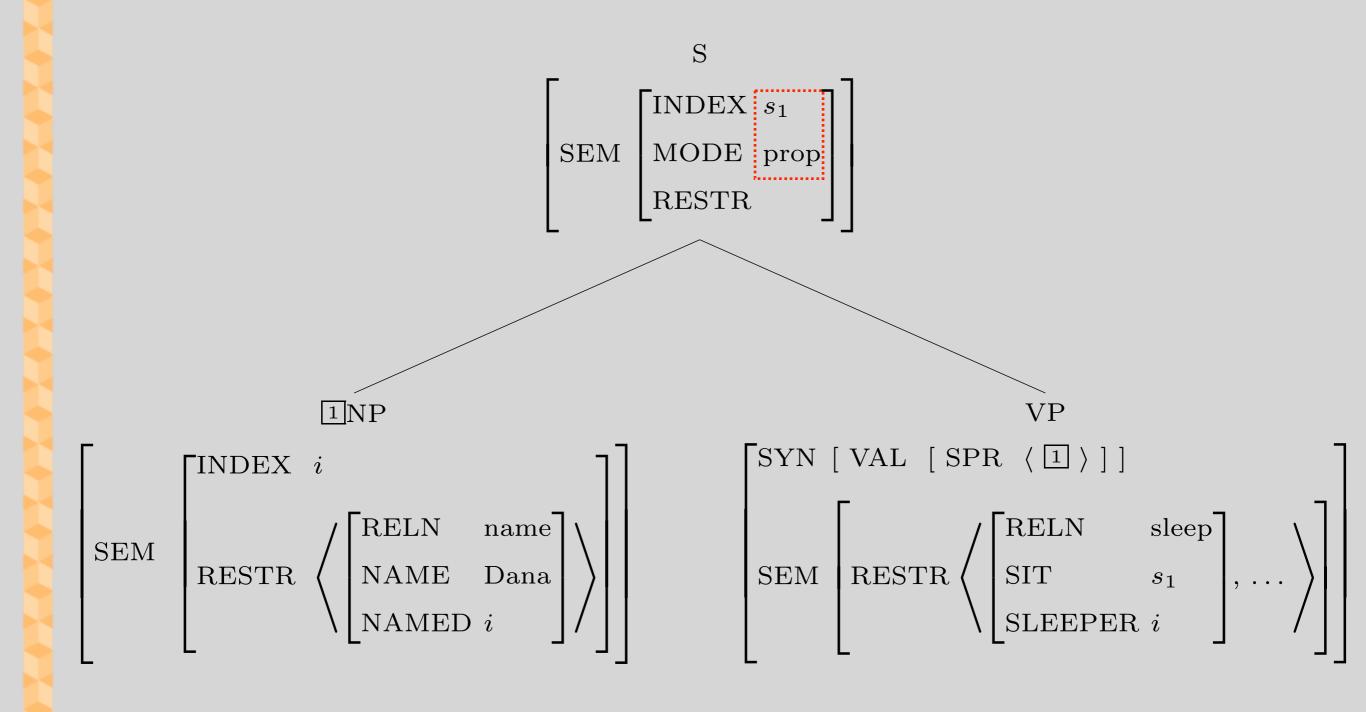
We need the Semantics Principles

• The Semantic Inheritance Principle:

In any headed phrase, the mother's MODE and INDEX are identical to those of the head daughter.

• The Semantic Compositionality Principle:

Semantic Inheritance Illustrated



To Fill in Semantics for the S-node

We need the Semantics Principles

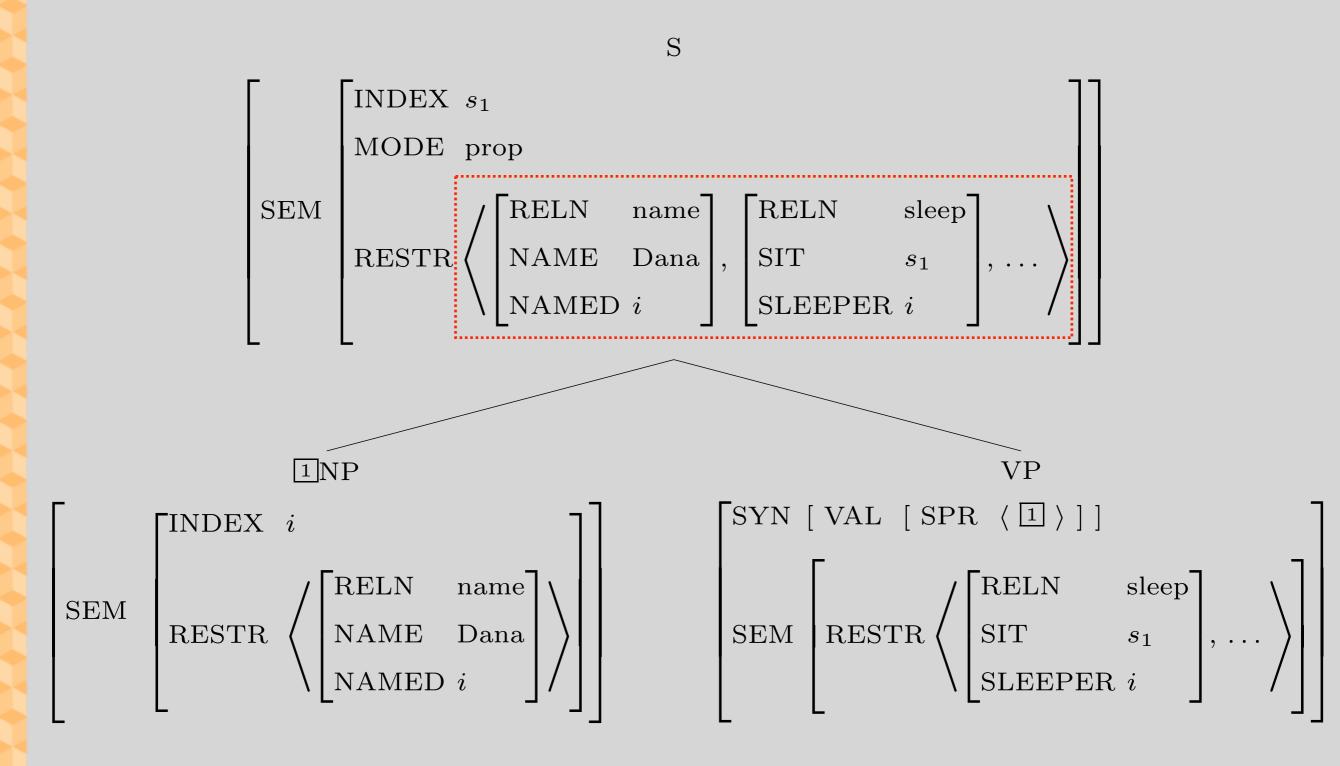
• The Semantic Inheritance Principle:

In any headed phrase, the mother's MODE and INDEX are identical to those of the head daughter.

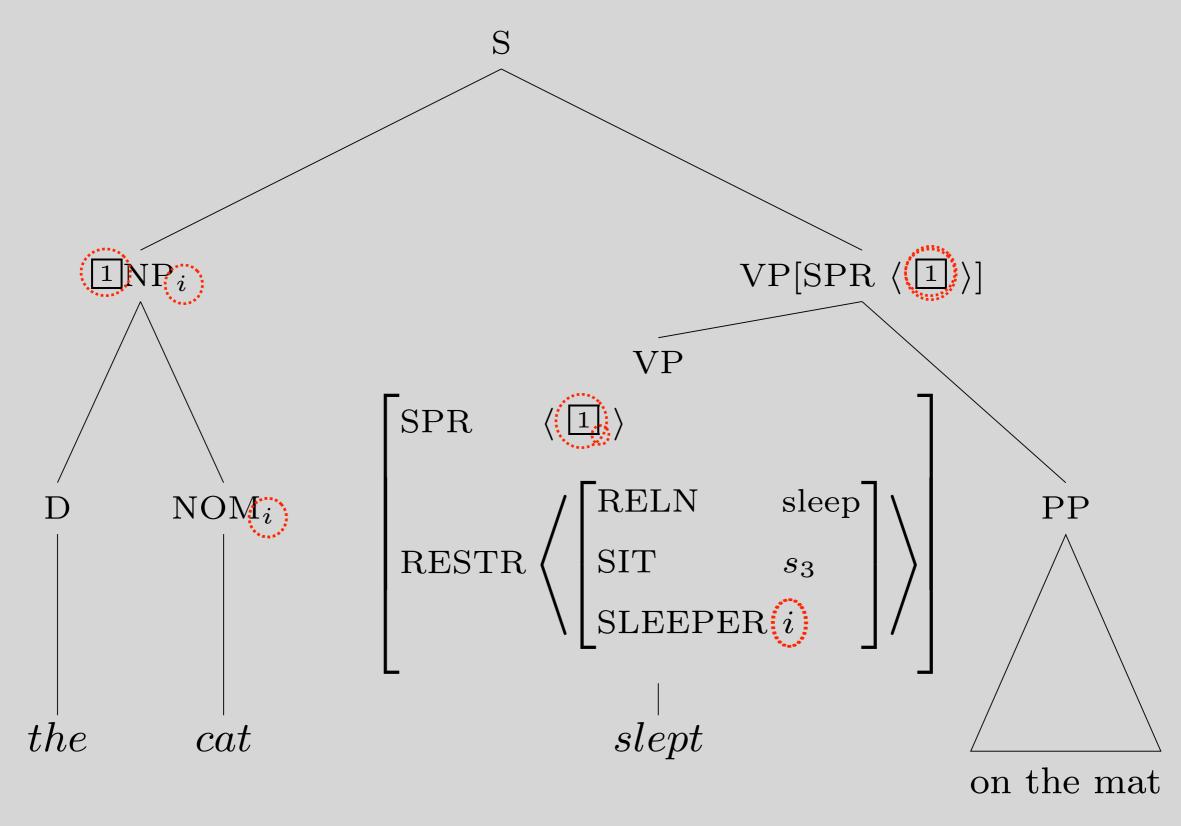
• The Semantic Compositionality Principle:

In any well-formed phrase structure, the mother's RESTR value is the sum of the RESTR values of the daughters.

Semantic Compositionality Illustrated

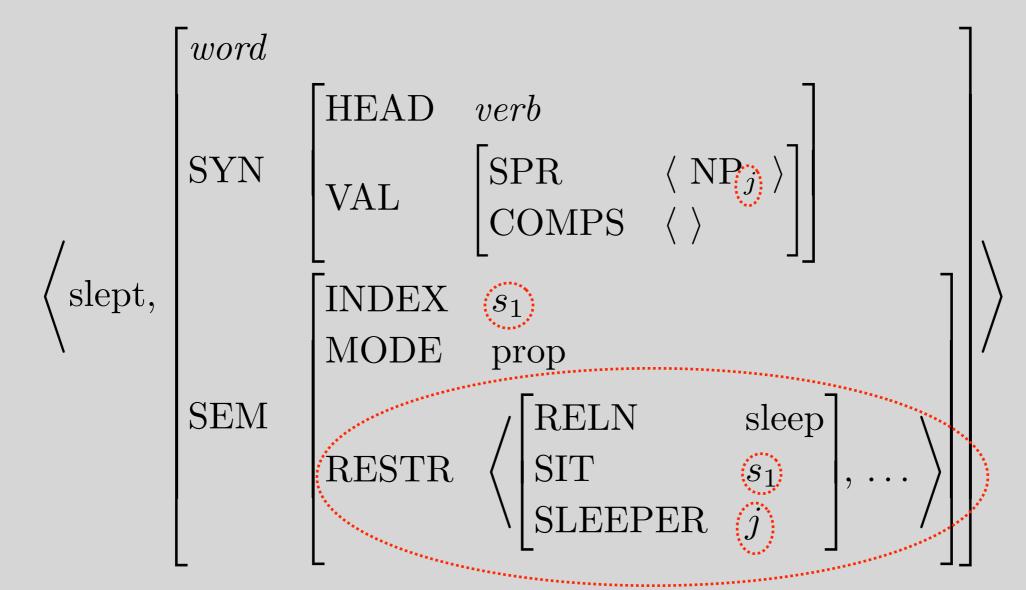


What Identifies Indices?



Summary: Words ...

- contribute predications
- 'expose' one index in those predications, for use by words or phrases
- relate syntactic arguments to semantic arguments



Summary: Grammar Rules ...

• identify feature structures (including the INDEX value) across daughters Head Specifier Rule

$$\begin{bmatrix} phrase \\ SYN \begin{bmatrix} VAL \begin{bmatrix} SPR & \langle \rangle \end{bmatrix} \end{bmatrix} \rightarrow \text{ } \textbf{H} \begin{bmatrix} SYN \begin{bmatrix} VAL \begin{bmatrix} SPR & \langle \mathbb{I} \rangle \\ COMPS & \langle \rangle \end{bmatrix} \end{bmatrix} \end{bmatrix}$$

Head Complement Rule

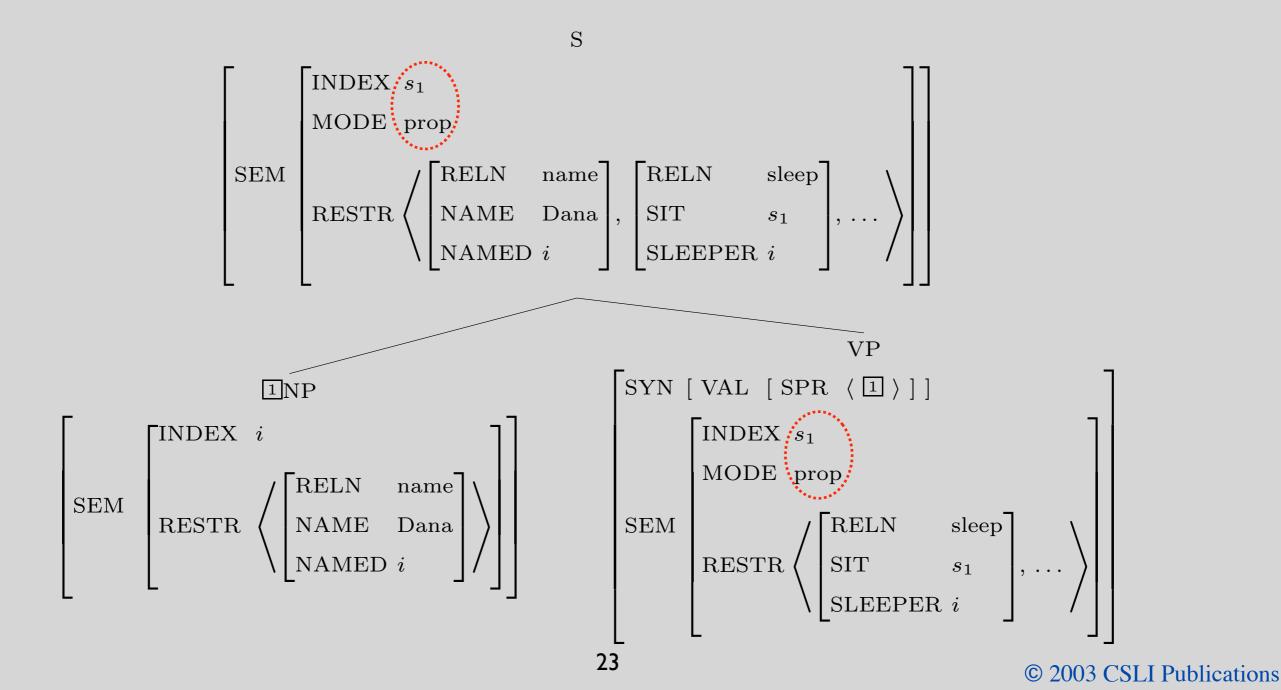
$$\begin{bmatrix} phrase \\ SYN \begin{bmatrix} VAL \begin{bmatrix} COMPS & \langle \ \rangle \end{bmatrix} \end{bmatrix} \rightarrow \mathbf{H} \begin{bmatrix} word \\ SYN \begin{bmatrix} VAL \begin{bmatrix} COMPS & \langle \boxed{1}, ..., \boxed{n} \rangle \end{bmatrix} \end{bmatrix} \end{bmatrix}$$

Head Modifier Rule

$$[phrase] \rightarrow \mathbf{H} \boxed{1} \left[\text{SYN} \left[\text{COMPS} \left\langle \right\rangle \right] \right] \left| \text{SYN} \left[\text{VAL} \left[\begin{array}{c} \text{COMPS} & \left\langle \right\rangle \\ \text{MOD} & \left\langle \begin{array}{c} 1 \\ \end{array} \right\rangle \right] \right| \right]$$

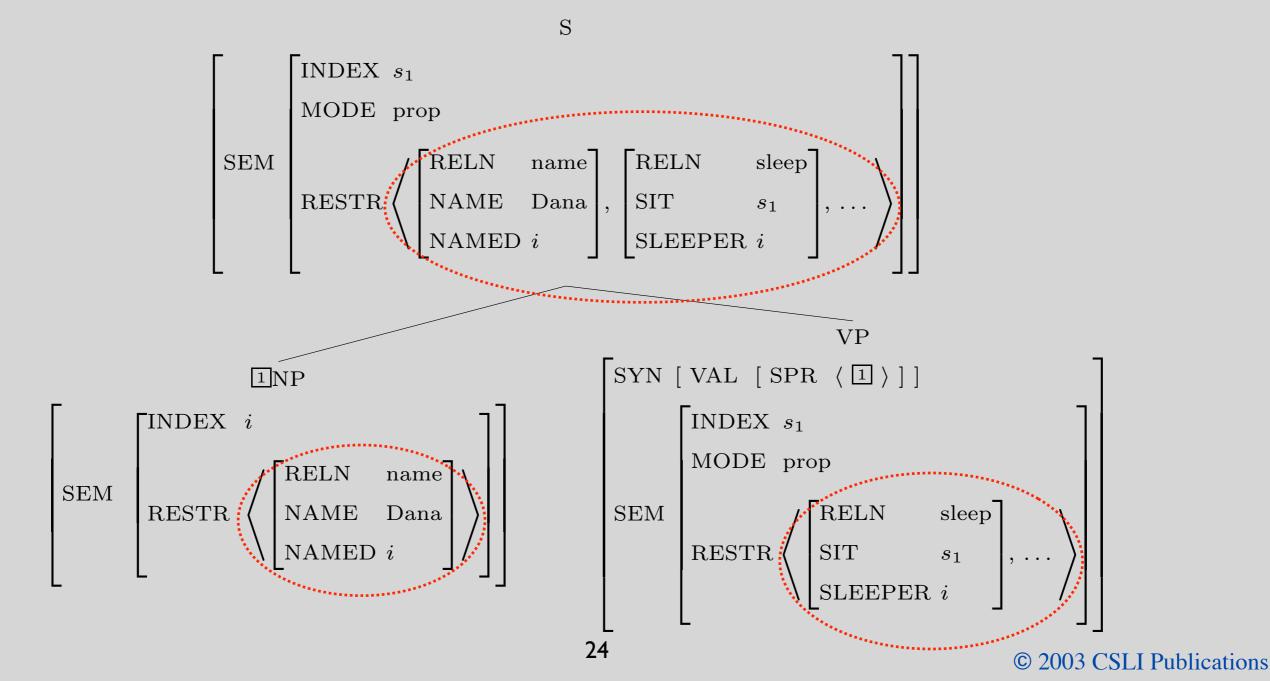
Summary: Grammar Rules ...

- identify feature structures (including the INDEX value) across daughters
- license trees which are subject to the semantic principles
 - SIP 'passes up' MODE and INDEX from head daughter



Summary: Grammar Rules ...

- identify feature structures (including the INDEX value) across daughters
- license trees which are subject to the semantic principles
 - SIP 'passes up' MODE and INDEX from head daughter
 - SCP: 'gathers up' predications (RESTR list) from all daughters



Other Aspects of Semantics

- Tense, Quantification (only touched on here)
- Modification
- Coordination
- Structural Ambiguity

Evolution of a Phrase Structure Rule

Ch. 3:
$$\begin{bmatrix} phrase \\ VAL \end{bmatrix} \leftarrow \begin{bmatrix} COMPS & itr \\ SPR & - \end{bmatrix} \rightarrow \begin{bmatrix} Phrase \\ VAL \end{bmatrix} \begin{bmatrix} PP & - \end{bmatrix}$$

Ch. 4:
$$[phrase] \rightarrow \mathbf{H} \left[VAL \left[COMPS \langle \rangle \right] \right] PP$$

Ch. 5:
$$[phrase] \rightarrow \mathbf{H} \boxed{ \text{SYN} \left[\text{VAL} \left[\text{COMPS} \left\langle \right\rangle \right] \right] } \left[\text{SYN} \left[\text{VAL} \left[\begin{array}{c} \text{COMPS} & \left\langle \right\rangle \\ \text{MOD} & \left\langle \right. \end{array} \right] \right] \right]$$

Ch. 5 (abbreviated):
$$[phrase] \rightarrow \mathbf{H} \boxed{ [COMPS \langle \rangle] } \begin{bmatrix} COMPS \langle \rangle \\ MOD \langle \boxed{1} \rangle \end{bmatrix}$$

Evolution of Another Phrase Structure Rule

Ch. 2:
$$X \rightarrow X^+$$
 CONJ X

Ch. 3:
$$\square \rightarrow \square^+ \begin{bmatrix} word \\ HEAD & conj \end{bmatrix} \square$$

Ch. 4:
$$\begin{bmatrix} VAL \ \square \end{bmatrix} \rightarrow \begin{bmatrix} VAL \ \square \end{bmatrix}^+ \begin{bmatrix} word \\ HEAD & conj \end{bmatrix} \begin{bmatrix} VAL \ \square \end{bmatrix}$$

Ch. 5:
$$\begin{bmatrix} SYN & [VAL \ \boxed{0}] \\ SEM & [IND \ s_0] \end{bmatrix} \rightarrow$$

$$\begin{bmatrix} \text{SYN} & [\text{VAL} \ \boxed{0}] \\ \text{SEM} & [\text{IND} \ s_1] \end{bmatrix} \dots \begin{bmatrix} \text{SYN} & [\text{VAL} \ \boxed{0}] \\ \text{SEM} & [\text{IND} \ s_{n-1}] \end{bmatrix} \begin{bmatrix} \text{SYN} & [\text{HE} A \ \boxed{0}] \\ \text{SEM} & [\text{INI} \ \boxed{0}] \\ \text{SEM} & [\text{INI} \ \boxed{0}] \end{bmatrix}$$

$$\begin{bmatrix} \text{SYN [VAL @]} \\ \text{SEM [IND } s_1 \end{bmatrix} \dots \begin{bmatrix} \text{SYN [VAL @]} \\ \text{SEM [IND } s_{n-1} \end{bmatrix} \begin{bmatrix} \text{SYN [HEAD } conj \\ \text{SEM [IND } s_0 \\ \text{RESTR } \langle [\text{ARGS } \langle s_1 \dots s_n \rangle] \rangle \end{bmatrix} \begin{bmatrix} \text{SYN [VAL @]} \\ \text{SEM [IND } s_n \end{bmatrix}$$

Ch. 5 (abbreviated):

$$\begin{bmatrix} \text{VAL} & \boxed{0} \\ \text{IND} & s_0 \end{bmatrix} \rightarrow \begin{bmatrix} \text{VAL} & \boxed{0} \\ \text{IND} & s_1 \end{bmatrix} ... \begin{bmatrix} \text{VAL} & \boxed{0} \\ \text{IND} & s_{n-1} \end{bmatrix} \begin{bmatrix} \text{HEAD} & conj \\ \text{IND} & s_0 \\ \text{RESTR} & \langle \left[\text{ARGS} & \langle s_1 \dots s_n \rangle \right] \rangle \end{bmatrix} \begin{bmatrix} \text{VAL} & \boxed{0} \\ \text{IND} & s_n \end{bmatrix}$$

Combining Constraints and Coordination

Coordination Rule

$$\begin{bmatrix} \text{VAL} & \boxed{0} \\ \text{IND} & s_0 \end{bmatrix} \rightarrow \begin{bmatrix} \text{VAL} & \boxed{0} \\ \text{IND} & s_1 \end{bmatrix} ... \begin{bmatrix} \text{VAL} & \boxed{0} \\ \text{IND} & s_{n-1} \end{bmatrix} \begin{bmatrix} \text{HEAD} & conj \\ \text{IND} & s_0 \\ \text{RESTR} & \langle \left[\text{ARGS} & \langle s_1 ... s_n \rangle \right] \rangle \end{bmatrix} \begin{bmatrix} \text{VAL} & \boxed{0} \\ \text{IND} & s_n \end{bmatrix}$$

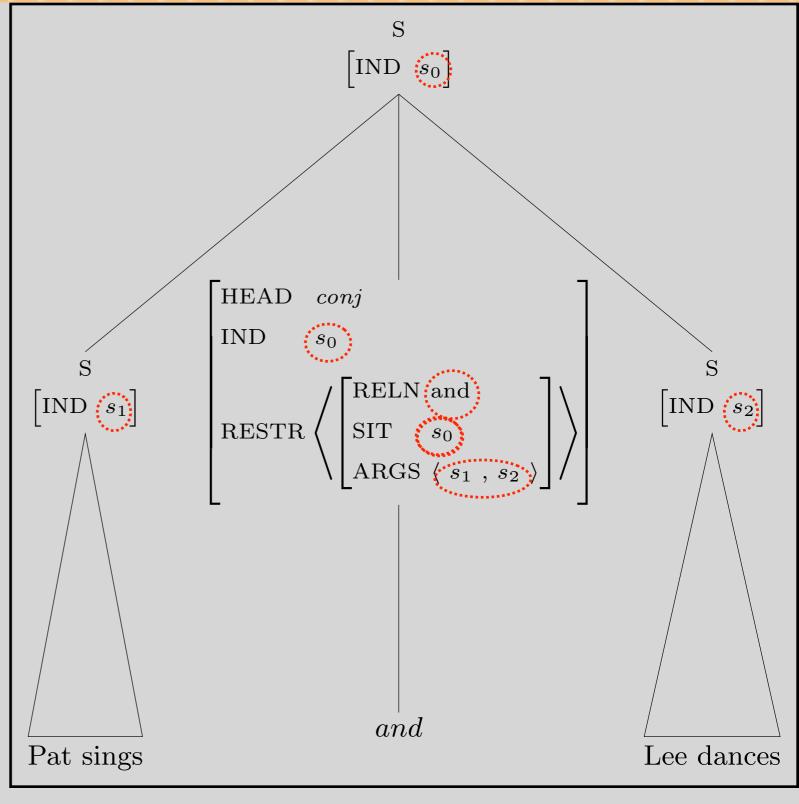
Lexical Entry for a Conjunction

$$\left\langle \text{and ,} \begin{bmatrix} \text{SYN} & \begin{bmatrix} \text{HEAD} & conj \end{bmatrix} \\ \text{and ,} \\ \text{SEM} & \begin{bmatrix} \text{INDEX} & s \\ \text{MODE} & \text{none} \\ \\ \text{RESTR} & \left\langle \begin{bmatrix} \text{RELN} & \text{and} \\ \text{SIT} & s \end{bmatrix} \right\rangle \end{bmatrix} \right\rangle$$

Combining Constraints and Coordination

Lexical Entry for and

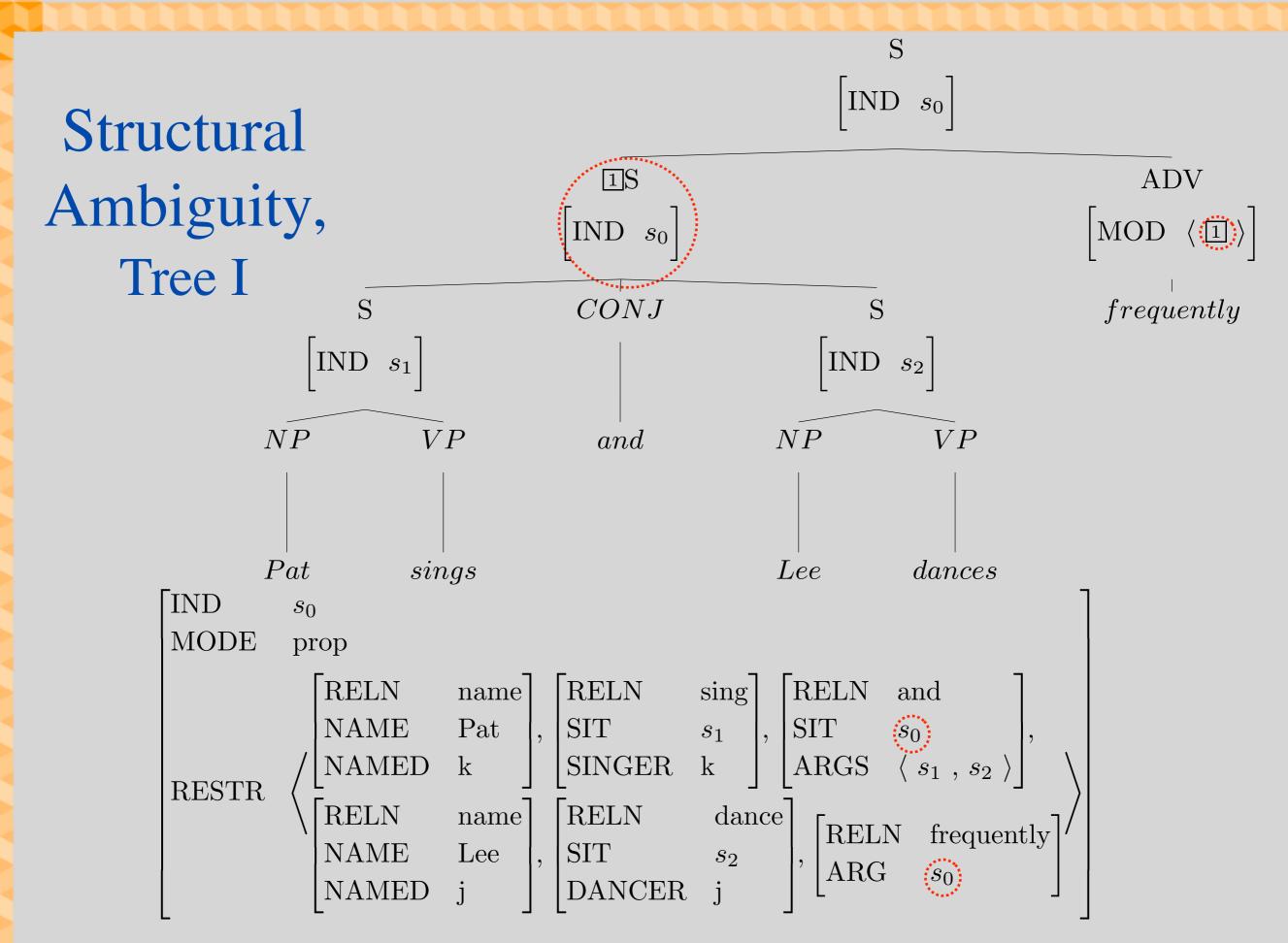
$$\left\langle \text{and} \right., \left[\begin{array}{c} \text{SYN} & \left[\text{HEAD} \quad conj \right] \\ \text{INDEX} \quad s \\ \text{MODE} \quad \text{none} \\ \text{RESTR} \quad \left\langle \left[\begin{array}{c} \text{RELN} \quad \text{and} \\ \text{SIT} \quad s \end{array} \right] \right\rangle \right] \right]$$

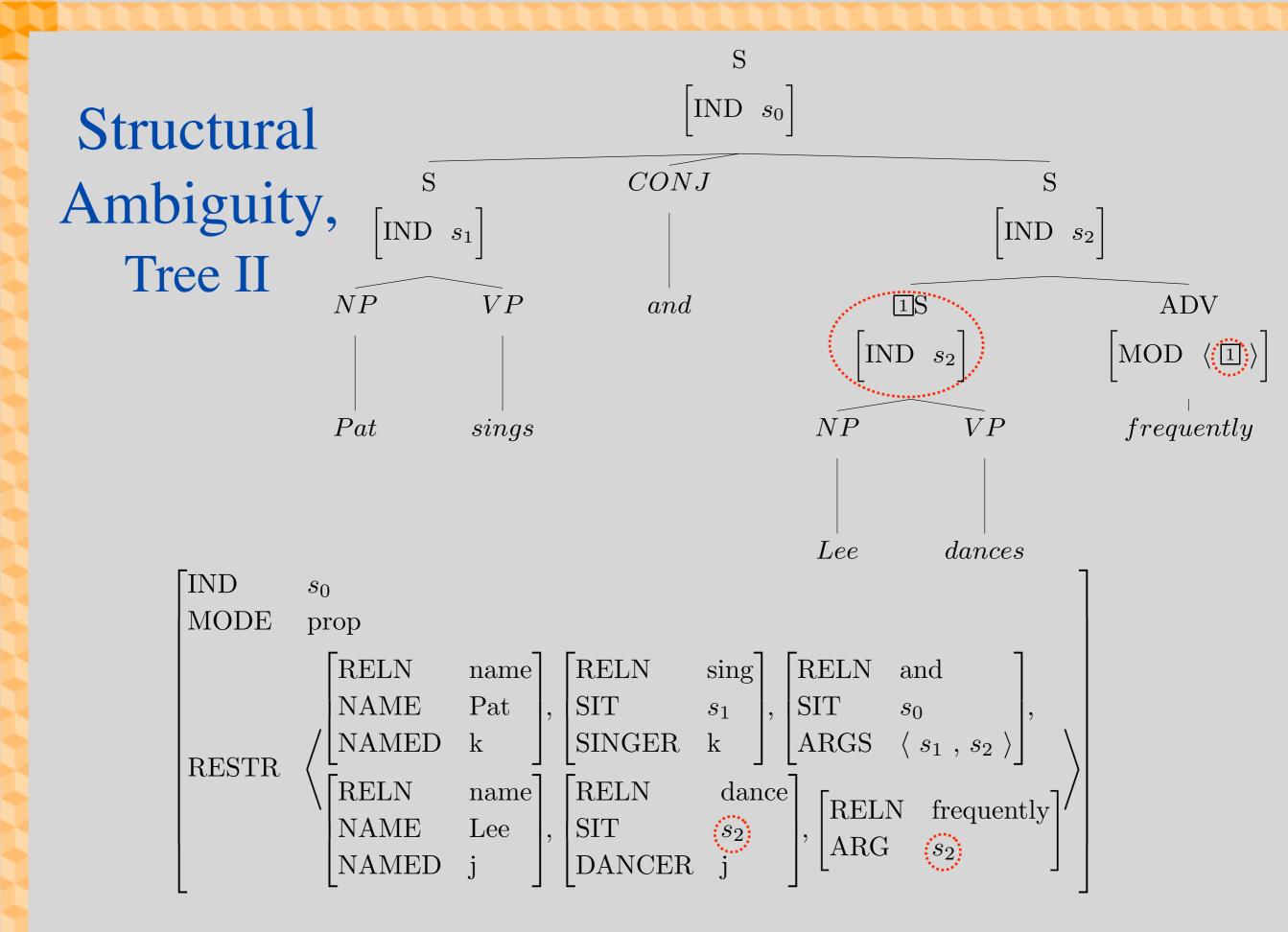


Coordination Rule

$$\begin{bmatrix} \text{VAL} & \boxed{0} \\ \text{IND} & s_0 \end{bmatrix} \rightarrow \begin{bmatrix} \text{VAL} & \boxed{0} \\ \text{IND} & s_1 \end{bmatrix} ... \begin{bmatrix} \text{VAL} & \boxed{0} \\ \text{IND} & s_{n-1} \end{bmatrix}$$







Question About Structural Ambiguity

Why isn't this a possible semantic representation for the string *Pat sings and Lee dances frequently*?

```
IND
                              s_0
MODE
                              prop
                                     RELN
                                                                                              RELN
                                                                                                                                sing
                                                                                                                                                     RELN
                                                                     name
                                                                                       \begin{bmatrix} \text{SIT} & s_1 \\ \text{SINGER} & k \end{bmatrix}, \begin{bmatrix} \text{SIT} & s_0 \\ \text{ARGS} & \langle s_1, s_2 \rangle \end{bmatrix}, \\ \begin{bmatrix} \text{RELN} & \text{dance} \\ \text{SIT} & s_2 \\ \text{DANCER} & i \end{bmatrix}, \begin{bmatrix} \text{RELN} & \text{frequently} \\ \text{ARG} & s_1 \end{bmatrix}
                                                                    Pat
                                     NAME
                                      NAMED
RESTR
                                                                                             \begin{bmatrix} \text{RELN} & \text{dance} \\ \text{SIT} & s_2 \\ \text{DANCER} & \text{j} \end{bmatrix}
                                                                     name
                                      NAME
                                                                     Lee
                                     NAMED
```

Semantic Compositionality

```
IND
       s_0
MODE
       prop
         RELN
                        RELN
                              sing
                                      RELN
                  name
         NAME
                 Pat
                         SIT
                            s_1 ,
                                       SIT
                         SINGER k
                                      ARGS \langle s_1, s_2 \rangle
         NAMED k
RESTR
                                dance
                        RELN
                name
         RELN
                                         RELN frequently
         NAME Lee , SIT s_2
                        DANCER j
         NAMED j
IND
       s_0
MODE
       prop
                        RELN
         RELN
                                       RELN
                  name
                                 sing
                                             and
         NAME
                 Pat
                         SIT
                                 s_1,
                                       SIT
                                             s_0
                                       ARGS \langle s_1, s_2 \rangle
                        | SINGER k
         NAMED
RESTR
         RELN
                  name
                        RELN
                                  dance
                                         RELN
                                               frequently
                  Lee ,
         NAME
                         SIT
                                         ARG
         NAMED
                         DANCER
```

Overview

- Some notes on the linguist's stance
- Which aspects of semantics we'll tackle
- Our formalization; Semantics Principles
- Building semantics of phrases
- Modification, coordination
- Structural ambiguity
- Next time: How the grammar works

RQs: Quantifiers

• What is up with QRESTR and QSCOPE?

(50)
$$\begin{bmatrix} \text{RELN} & \mathbf{exist} \\ \text{BV} & i \\ \text{QRESTR} & 1 \\ \text{QSCOPE} & 2 \end{bmatrix}, 1 \begin{bmatrix} \text{RELN} & \mathbf{dog} \\ \text{INST} & i \end{bmatrix}, 2 \begin{bmatrix} \text{RELN} & \mathbf{all} \\ \text{BV} & j \\ \text{QRESTR} & 3 \\ \text{QSCOPE} & 4 \end{bmatrix}, \\ 3 \begin{bmatrix} \text{RELN} & \mathbf{family} \\ \text{INST} & j \end{bmatrix}, 4 \begin{bmatrix} \text{RELN} & \mathbf{save} \\ \text{SAVER} & i \\ \text{SAVED} & j \end{bmatrix} \rangle$$

And to represent the reading where the universal quantifier outscopes the existential, as in (46b), we can simply identify the QSCOPE values differently, as shown in (51):

(51)
$$\begin{bmatrix} \text{RESTR} & \left\langle 2 \begin{bmatrix} \text{RELN} & \textbf{exist} \\ \text{BV} & i \\ \text{QRESTR} & 1 \\ \text{QSCOPE} & 4 \end{bmatrix}, 1 \begin{bmatrix} \text{RELN} & \textbf{dog} \\ \text{INST} & i \end{bmatrix}, \begin{bmatrix} \text{RELN} & \textbf{all} \\ \text{BV} & j \\ \text{QRESTR} & 3 \\ \text{QSCOPE} & 2 \end{bmatrix}, \\ 3 \begin{bmatrix} \text{RELN} & \textbf{family} \\ \text{INST} & j \end{bmatrix}, 4 \begin{bmatrix} \text{RELN} & \textbf{save} \\ \text{SAVER} & i \\ \text{SAVED} & j \end{bmatrix} \right\rangle$$

RQs: Lexical ambiguity

• How is lexical ambiguity handled? For the given sentence *Kim is running*, this could be either the form of exercise or as an entrant to some form of public office

RQs: Semantic representation design

- For restrictions is this something we generally come up with from our own knowledge for the lexical entries or would it be something we're normally given for homeworks?
- How are PRED names and their associated feature names chosen?
- Why is the RELN for a exist?

RQs: Names

• What's up with NAME/NAMED? Why do names all have RELN name?

RQs: INDEX vs. INST

• In (53) on page 154, the word *dog* has an INDEX i and an INST i, can you explain the difference between them and why INST is necessary?

RQs: Idioms

• Are we basically assuming that each content word contributes exactly one predication (with its own RELN, roles, and situation index) to RESTR? If so, how would that work for meanings that aren't strictly compositional, like idioms (eg. spill the beans) or ambiguous verbs (run in a race vs. run for office)? Would those need separate lexical entries, or can a single entry somehow represent multiple predications?

RQs:

• How do predications handle figurative language? E.g., trying to interpret *Kim is running late* like you would *Kim is running quickly* would be incorrect.

RQs: Quantity Principle

- 5.2 Quantity Principle: So does 'weaker' kind of mean 'less likely to be the truth/ intended meaning'?
- How can we formalize this principle for non-numeric cases such as *Some students* passed?
- Could a computational grammar encode such pragmatic inferences without explicitly modeling speaker intent?

RQs: Semantics v. pragmatics

• I'm curious what the boundary between semantics and pragmatics is. Is there no clear boundary?

RQs: Modifiers

• How do we block *The cat slept soundly and furry?