# Ling 566 Oct 30, 2006

Lexical Rules

## Overview

- How lexical rules fit in
- Three types of lexical rules, constraints
- Example: Plural noun lexical rule
- Advice on writing lexical rules
- Constant lexemes
- ARG-ST & ARP
- The feature FORM
- Questions about homework?

## Lexical Types & Lexical Rules

- Lexemes capture the similarities among run, runs, running, and ran
- The lexical type hierarchy captures the similarities among *run*, *sleep*, and *laugh*, among those and other verbs like *devour* and *hand*, and among those and other words like *book*.
- Lexical rules capture the similarities among runs, sleeps, devours, hands, ...

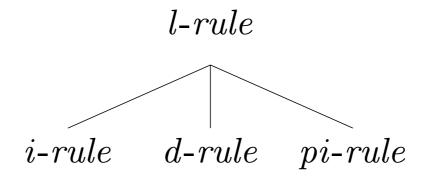
# Parsimony & Plausibility

- Lexical rules capture **productive** generalizations.
- There may be some 'precompiling' going on as well.

#### Three Kinds of Lexical Rules

- Inflectional: *lexeme* to *word*Examples?
- Derivational: lexeme to lexeme Examples?
- Post-Inflectional: word to word (Chapters 11, 13, 14)

# Three Subtypes of *l-rule*



$$\begin{array}{ll} \textit{l-rule}: \begin{bmatrix} \text{INPUT} & \textit{l-sequence} \left\langle \mathbf{X} \;, [\; \text{SEM} \; \; \; / \; \mathbb{2} \;] \right\rangle \\ \text{OUTPUT} & \textit{l-sequence} \left\langle \mathbf{Y} \;, [\; \text{SEM} \; \; \; / \; \mathbb{2} \;] \right\rangle \end{bmatrix} \end{array}$$

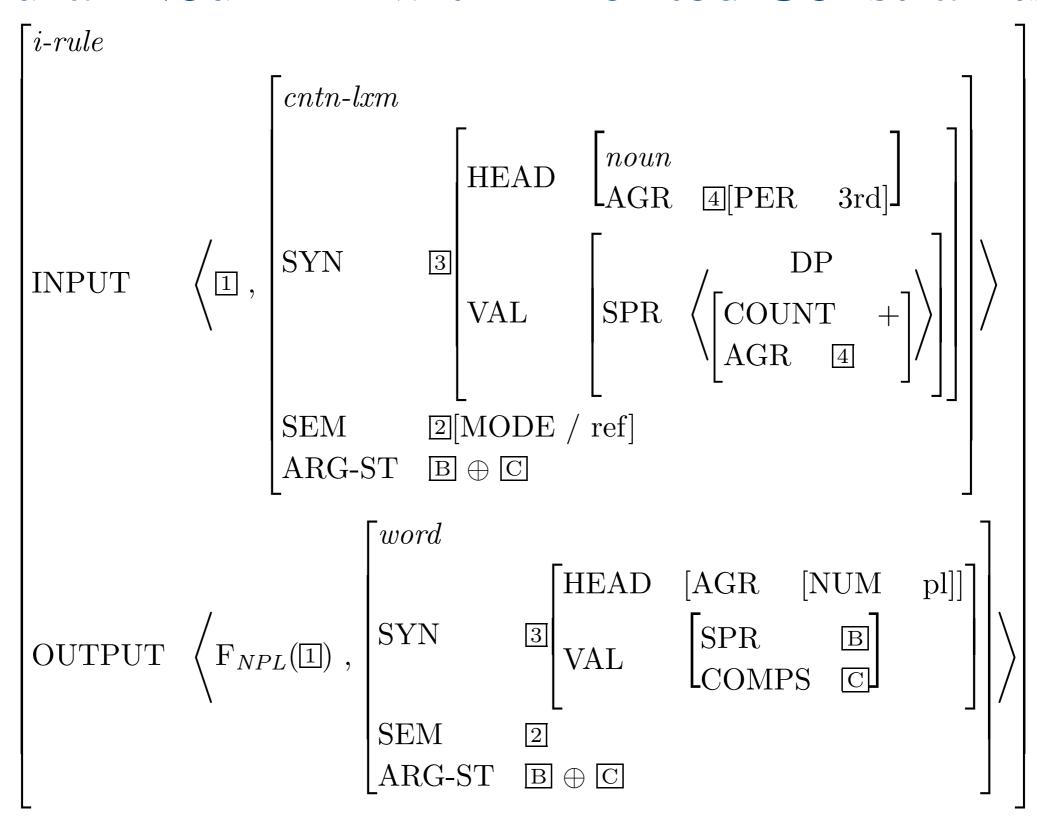
$$i\text{-rule}: \begin{bmatrix} \text{INPUT} & \left\langle \mathbf{X} \;, \begin{bmatrix} lexeme \\ \text{SYN} \; \; \mathbf{3} \\ \text{ARG-ST} \; \; \mathbf{A} \end{bmatrix} \right\rangle \\ \text{OUTPUT} & \left\langle \mathbf{Y} \;, \begin{bmatrix} word \\ \text{SYN} \; \; \mathbf{3} \\ \text{ARG-ST} \; \; \mathbf{A} \end{bmatrix} \right\rangle \\ \text{OUTPUT} & \left\langle \mathbf{Y} \;, \begin{bmatrix} lexeme \\ \text{SYN} \; \; / \; \mathbf{3} \end{bmatrix} \right\rangle \end{bmatrix}$$

$$\begin{bmatrix} \text{INPUT} & \left\langle \mathbf{X}, \begin{bmatrix} lexeme \\ \text{SYN} & / \mathbf{3} \end{bmatrix} \right\rangle \\ \text{OUTPUT} & \left\langle \mathbf{Y}, \begin{bmatrix} lexeme \\ \text{SYN} & / \mathbf{3} \end{bmatrix} \right\rangle \end{bmatrix}$$

#### Plural Noun LR

```
\begin{bmatrix} i\text{-}rule \\ \text{INPUT} & \left\langle \mathbbm{1} \text{, } cntn\text{-}lxm \right\rangle \\ \\ \text{OUTPUT} & \left\langle \mathbbm{F}_{NPL}(\mathbbm{1}) \text{,} \begin{bmatrix} word \\ \text{SYN} \begin{bmatrix} \text{HEAD} & \left[ \text{NUM} & \text{pl} \right] \end{bmatrix} \right] \end{pmatrix} \end{bmatrix}
```

#### Plural Noun LR with Inherited Constraints



#### Practicalities - Applying Lexical Rules

- INPUT is a family of lexical sequences.
- OUTPUT is another family of lexical sequences.
  - ...usually a smaller family
  - ...usually a disjoint one
- The only differences between the families are those stipulated in the rule (or the rule's type).
- Similarities are handled by the constraints on *l-rule* and its subtypes.
- If we've written the LRs correctly, nothing is left underconstrained.

# Example: Lexical Entry for cat

$$\left\langle \operatorname{cat}, \begin{bmatrix} \operatorname{cntn-lxm} \\ \\ \operatorname{SEM} \end{bmatrix} \right\rangle = \left\{ \begin{bmatrix} \operatorname{RELN} & \operatorname{cat} \\ \\ \operatorname{INST} & k \end{bmatrix} \right\}$$

## Example: cat, with inheritance

$$\left\langle \text{cat} \right. , \left. \begin{array}{c} \text{Cntn-lxm} \\ \text{HEAD} & \begin{bmatrix} noun \\ \text{AGR} & \boxed{7} & [\text{ PER} & \text{3rd} & ] \end{bmatrix} \\ \text{SYN} & \left[ \begin{array}{c} \text{DP} \\ \text{SPR} & \left\langle \begin{bmatrix} \text{COUNT} & + \\ \text{AGR} & \boxed{7} \end{bmatrix} \right\rangle \right] \right]$$

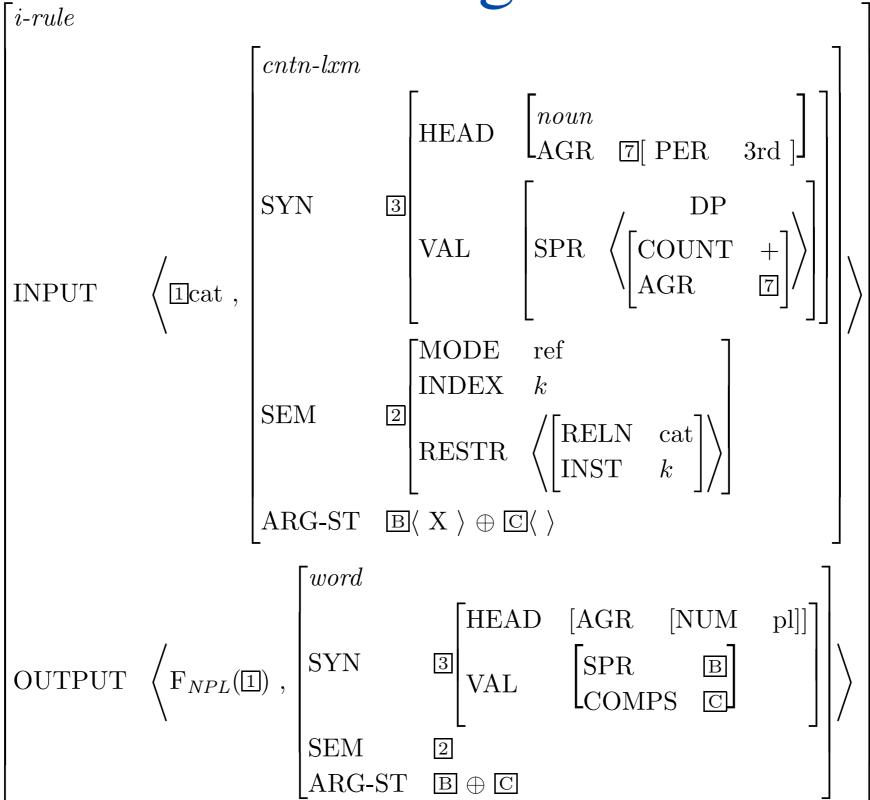
$$\left\langle \text{cat} \right. , \left. \begin{array}{c} \text{MODE} & \text{ref} \\ \text{INDEX} & k \\ \\ \text{RESTR} & \left\langle \begin{bmatrix} \text{RELN} & \text{cat} \\ \text{INST} & k \end{bmatrix} \right\rangle \right]$$

$$\left\langle \text{ARG-ST} & \left\langle \text{X} \right\rangle$$

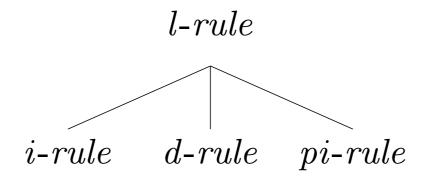
#### Plural Noun LR

```
\begin{bmatrix} i\text{-}rule \\ \text{INPUT} & \left\langle \mathbbm{1} \text{, } cntn\text{-}lxm \right\rangle \\ \\ \text{OUTPUT} & \left\langle \mathbbm{F}_{NPL}(\mathbbm{1}) \text{,} \begin{bmatrix} word \\ \text{SYN} \begin{bmatrix} \text{HEAD} & \left[ \text{NUM} & \text{pl} \right] \end{bmatrix} \right] \end{pmatrix} \end{bmatrix}
```

# Licensing cats



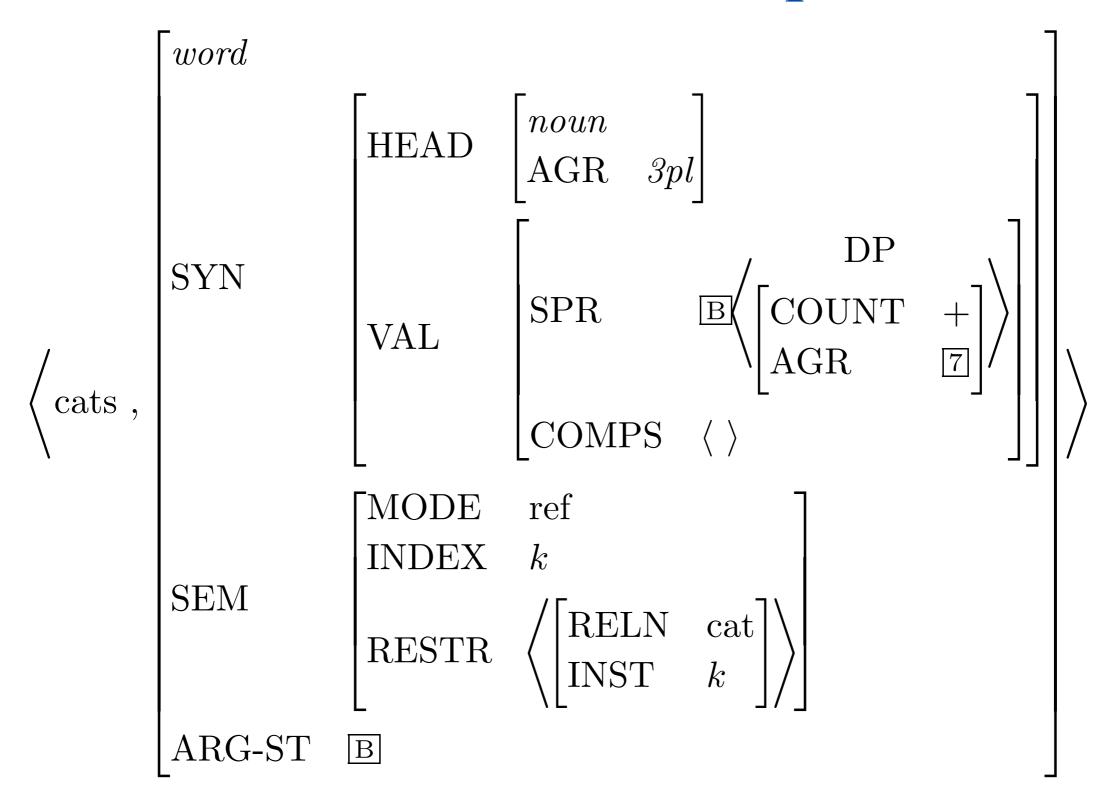
# Three Subtypes of *l-rule*



$$\begin{array}{ll} \textit{l-rule}: \begin{bmatrix} \text{INPUT} & \textit{l-sequence} \left\langle \mathbf{X} \;, [\; \text{SEM} \; \; \; / \; \mathbb{2} \;] \right\rangle \\ \text{OUTPUT} & \textit{l-sequence} \left\langle \mathbf{Y} \;, [\; \text{SEM} \; \; \; / \; \mathbb{2} \;] \right\rangle \end{bmatrix} \end{array}$$

$$i\text{-rule}: \begin{bmatrix} \text{INPUT} & \left\langle \mathbf{X} \;, \begin{bmatrix} lexeme \\ \text{SYN} \; \; \mathbf{3} \\ \text{ARG-ST} \; \; \mathbf{A} \end{bmatrix} \right\rangle \\ \text{OUTPUT} & \left\langle \mathbf{Y} \;, \begin{bmatrix} word \\ \text{SYN} \; \; \mathbf{3} \\ \text{ARG-ST} \; \; \mathbf{A} \end{bmatrix} \right\rangle \\ \text{OUTPUT} & \left\langle \mathbf{Y} \;, \begin{bmatrix} lexeme \\ \text{SYN} \; \; / \; \mathbf{3} \end{bmatrix} \right\rangle \end{bmatrix}$$

## cats: The Lexical Sequence



# Practicalities -- Writing Lexical Rules

- Determine the type of the LR.
- Determine the class of possible inputs.
- Determine what should change.
  - If INPUT and OUTPUT values are identified (by default or otherwise) and only OUTPUT value is mentioned, then... information is added.
    - (Lexical sequences incompatible with that value are not possible inputs)
  - If INPUT and OUTPUT values are identified by default, but different values are given on the INPUT and OUTPUT of the rule, then... information is changed.
  - If INPUT and OUTPUT values are identified by an inviolable constraint, but different values are given on the INPUT and OUTPUT of the rule, then... there is no well-formed output

## Constant lexemes

- What kinds of words are constant lexemes in our grammar?
- Why do we need a rule for these words?
- What would be an alternative analysis?

## Constant Lexeme LR

```
\begin{bmatrix} i\text{-}rule \\ \text{INPUT} & \langle \text{ } 1\text{ }, \text{ } const\text{-}lxm \text{ } \rangle \\ \text{OUTPUT} & \begin{bmatrix} \text{FIRST} & 1 \end{bmatrix} \end{bmatrix}
```

- What keeps this from applying to, say, verb lexemes?
- Why is this an *i-rule*?

#### ARG-ST & ARP

- Given the ARP, what do we need to specify about the valence properties of words?
- Why isn't the ARP a constraint on the type *lexeme*?

#### The Feature FORM

- Different inflected forms of verbs show up in different syntactic environments. Examples?
- These different forms are syntactially distinguished by the feature FORM, as assigned by lexical rules.
- FORM is also useful in our analyses of coordination and PP selection.

#### What rules these out?

- \*Kim eat pizza.
- \*Kim seems to eats pizza.
- \*Dana helped Leslie pack and moved.
- \*Kim relies for Sandy.
- \*Dana walked and Kim.

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