

Ling 566  
Oct 23, 2008  
Lexical Types

# Overview

- Motivation for lexical hierarchy
- Default inheritance
- Tour of the lexeme hierarchy
- The Case Constraint
- *pos vs. lexeme*

# Motivation

- We've streamlined our grammar rules...
  - ...by stating some constraints as general principles
  - ...and locating lots of information in the lexicon.
  - Our lexical entries currently stipulate a lot of information that is common across many entries and should be stated only once.
- Examples?
- Ideally, particular lexical entries need only give phonological form, the semantic contribution, and any constraints truly idiosyncratic to the lexical entry.

# Lexemes and Words

- **Lexeme:** An abstract proto-word which gives rise to genuine words. We refer to lexemes by their ‘dictionary form’, e.g. ‘the lexeme *run*’ or ‘the lexeme *dog*’.
- **Word:** A particular pairing of form and meaning. *Running* and *ran* are different words

# Lexical Types & Lexical Rules

- Lexemes capture the similarities among *run*, *runs*, *running*, and *run*.
- The lexical type hierarchy captures the similarities among *run*, *sleep*, and *laugh*, among those and other verbs like *devour* and *hand*, and among those and other words like *book*.

Q: What do *devour* and *book* have in common?

A: The SHAC
- Lexical rules capture the similarities among *runs*, *sleeps*, *devours*, *hands*,...

# Default Inheritance

Q: Why do we have default inheritance?

A: Generalizations with exceptions are common:

- Most nouns in English aren't marked for CASE, but pronouns are.
- Most verbs in English only distinguish two agreement categories (*3sing* and *non-3sing*), but *be* distinguishes more.
- Most prepositions in English are transitive, but *here* and *there* are intransitive.
- Most nominal words in English are 3rd person, but some (all of them pronouns) are 1st or 2nd person.
- Most proper nouns in English are singular, but some (mountain range names, sports team names) are plural.

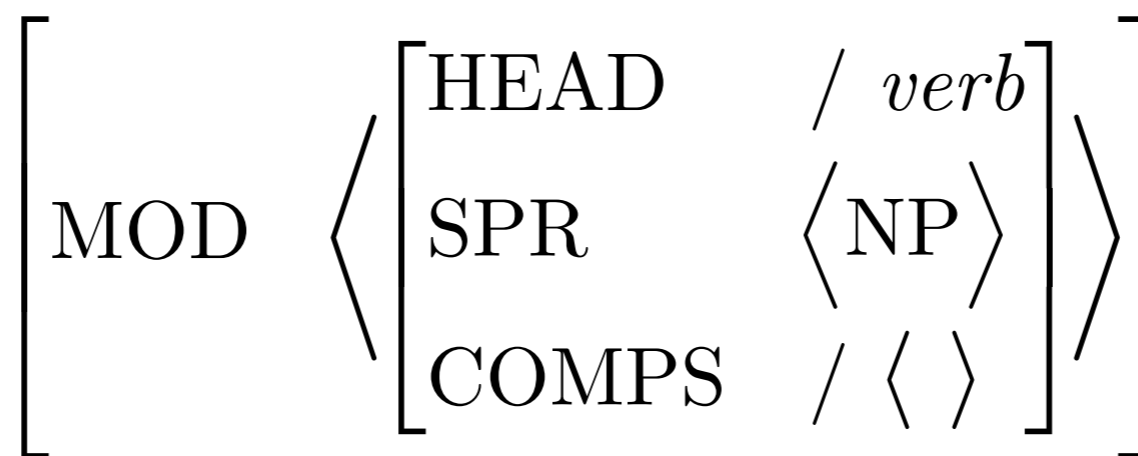
# Default Inheritance, Technicalities

If a type says  
ARG-ST / < NP >,      and one of its  
subtypes says  
ARG-ST < >,      then the ARG-ST  
value of instances of  
the subtype is < >.

If a type says  
ARG-ST < NP >,      and one of its  
subtypes says  
ARG-ST < >,      then this subtype can  
have no instances,  
since they would  
have to satisfy  
contradictory  
constraints.

# Default Inheritance, More Technicalities

- If a type says  $\text{MOD} / \langle S \rangle$ , and one of its subtypes says  $\text{MOD} \langle [\text{SPR} \langle \text{NP} \rangle ] \rangle$ , then the ARG-ST value of instances of the subtype is what?



- That is, default constraints are ‘pushed down’



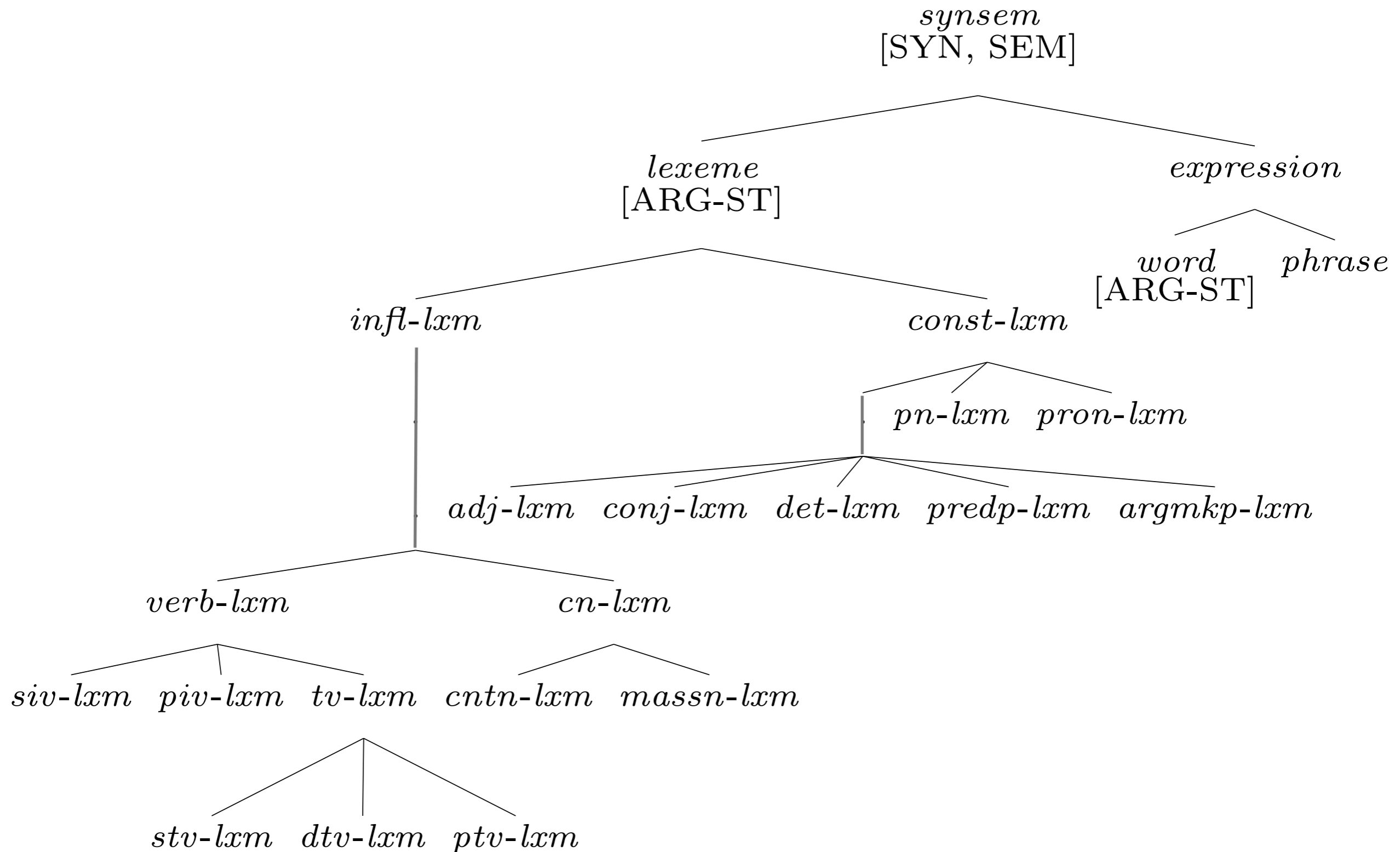
# Question on Default Inheritance

Q: Can a grammar rule override a default constraint on a word?

A: No. Defaults are all ‘cached out’ in the lexicon.

- Words as used to build sentences have only inviolable constraints.

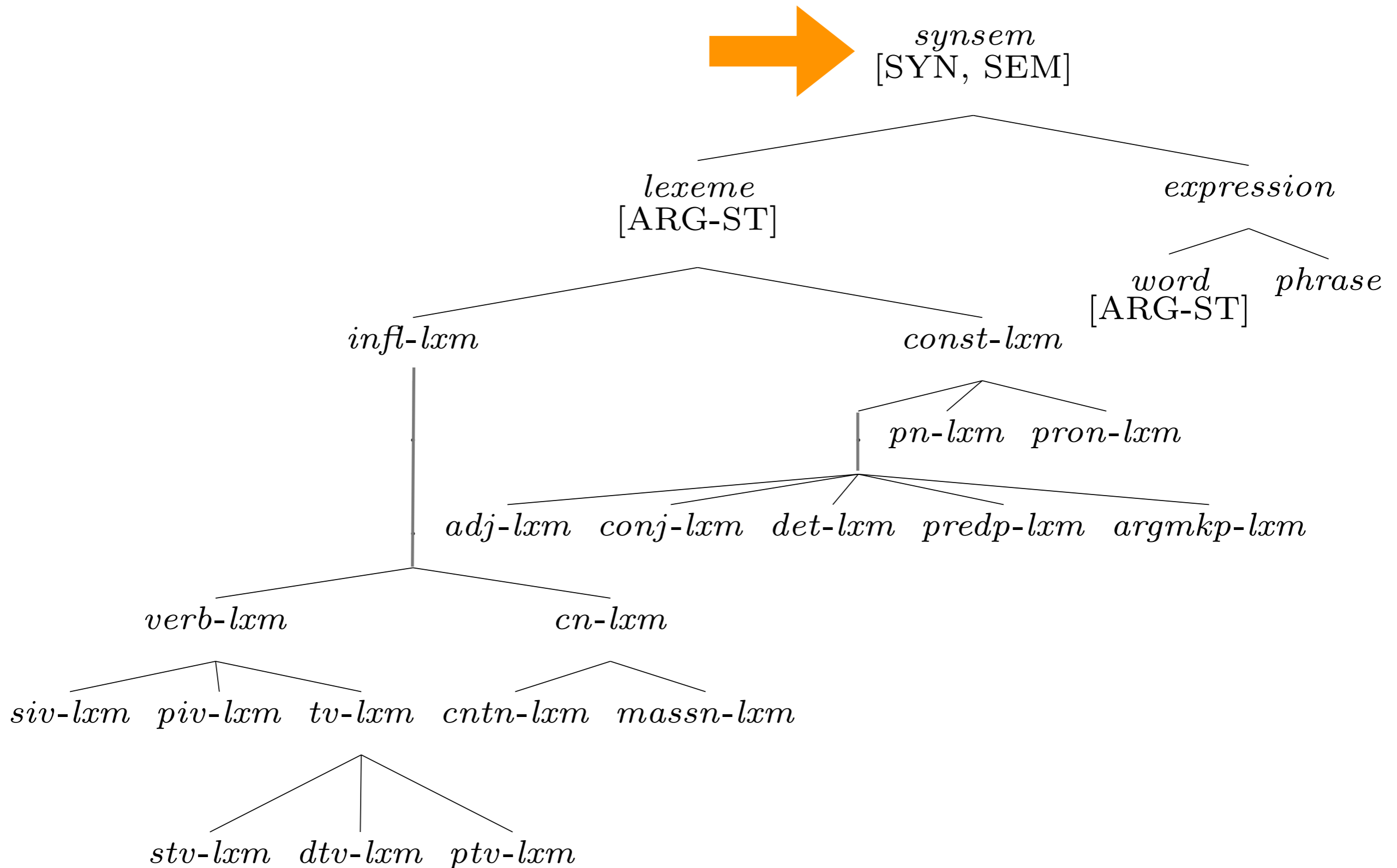
# Our Lexeme Hierarchy



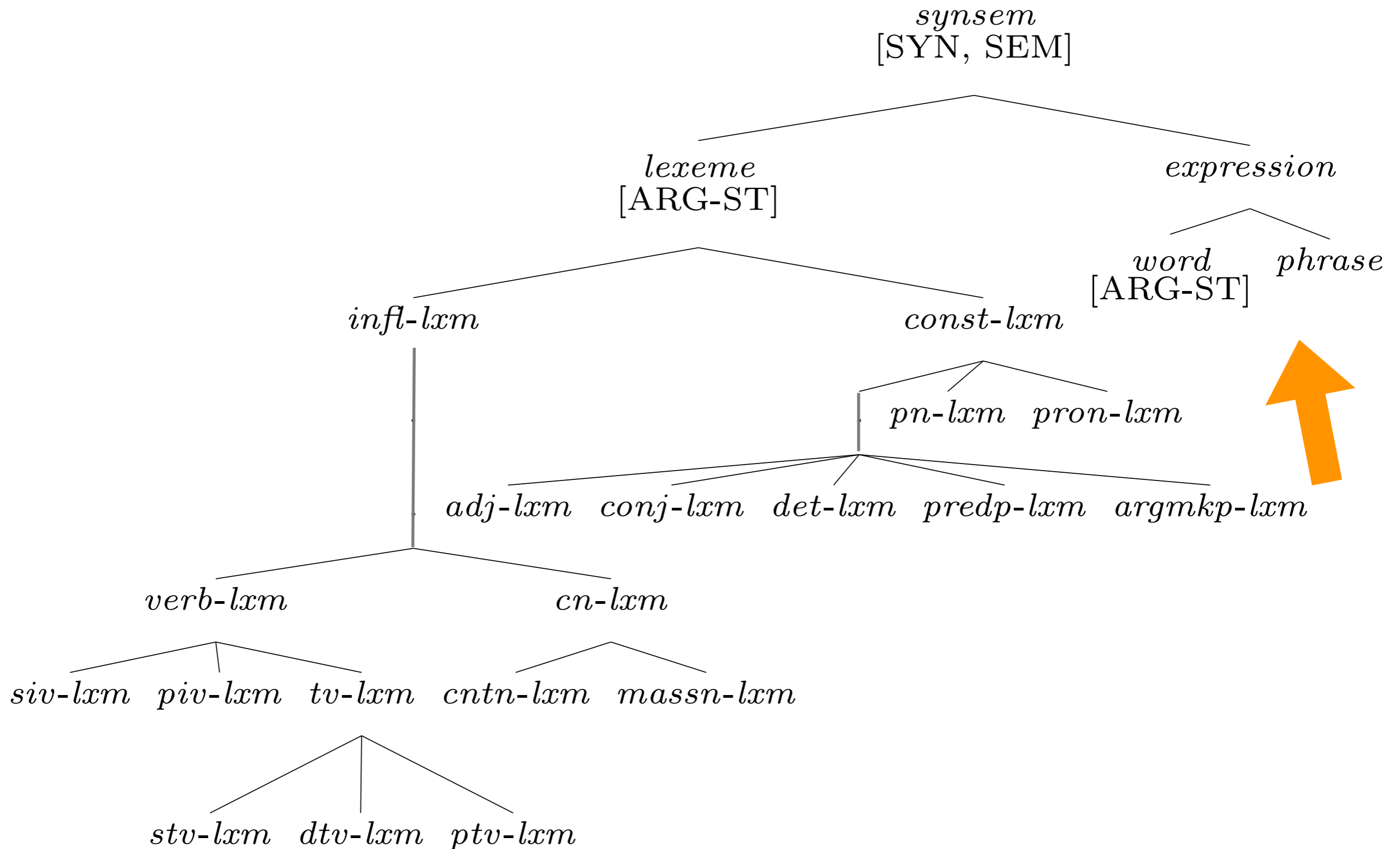
# Functions of Types

- Stating what features are appropriate for what categories
- Stating generalizations
  - Constraints that apply to (almost) all instances
  - Generalizations about selection -- where instances of that type can appear

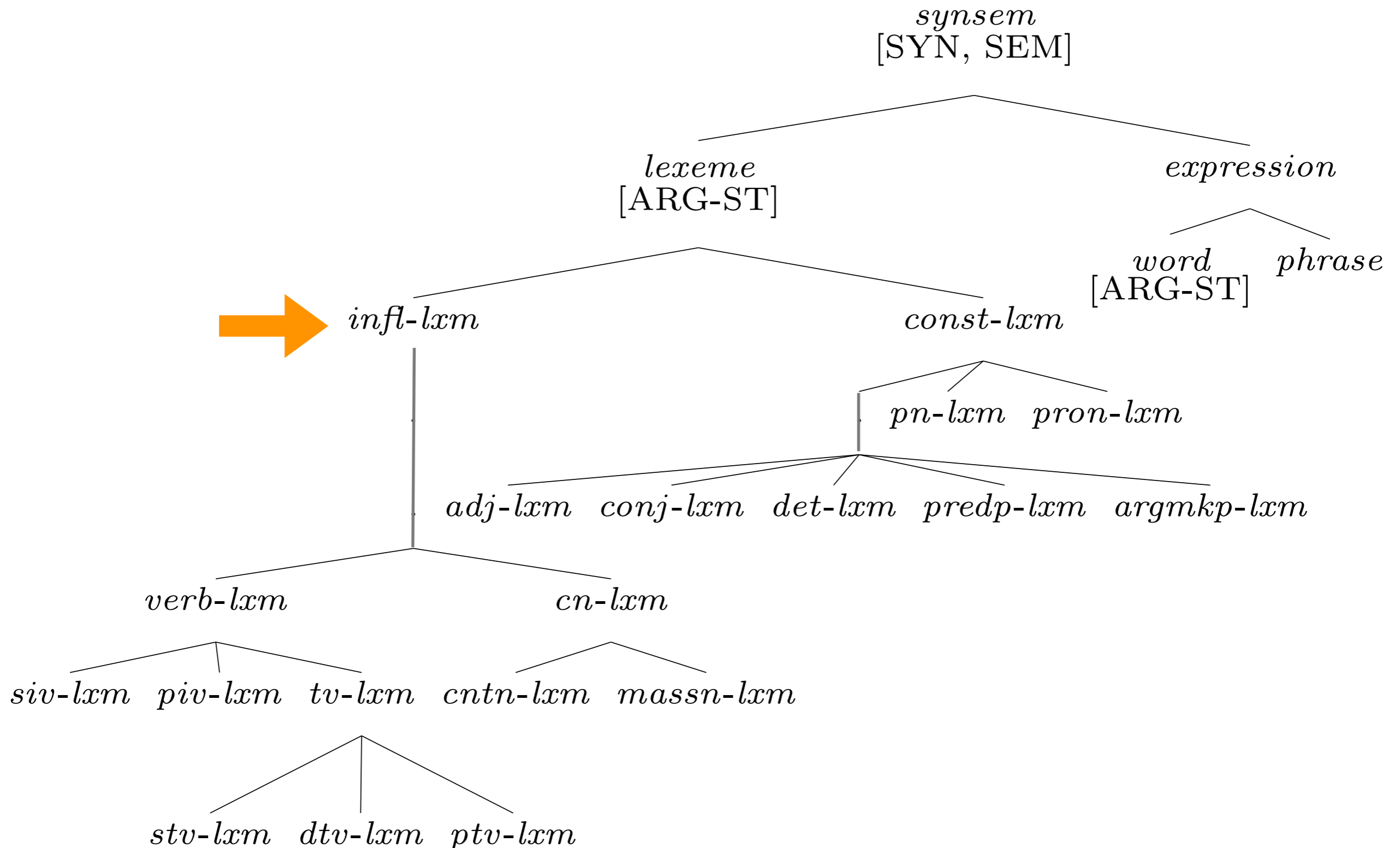
# Every *synsem* has the features SYN and SEM



# No ARG-ST on *phrase*



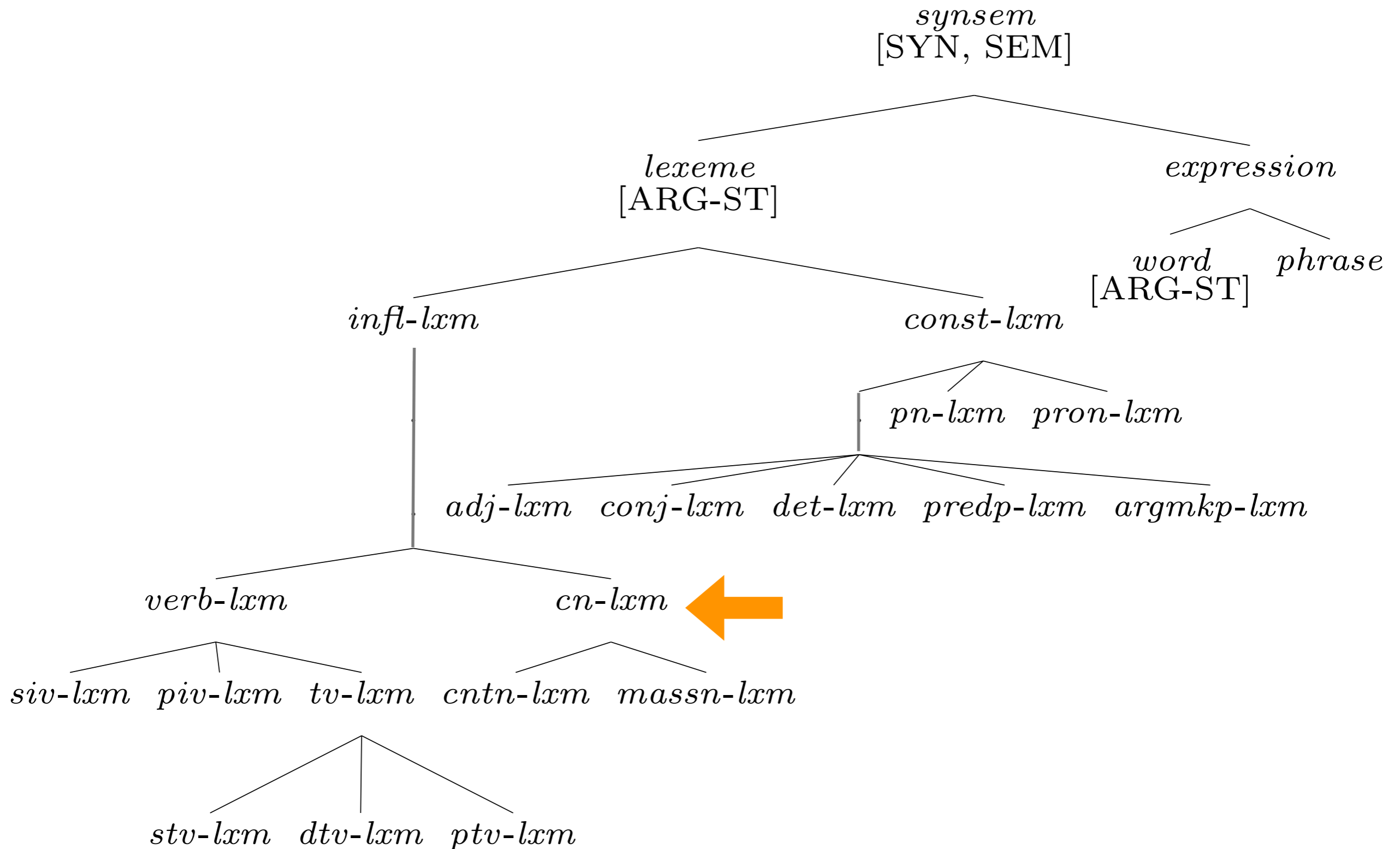
# A Constraint on *infl-lxm*: the SHAC



# A Constraint on *infl-lxm*: the SHAC

$$\textit{infl-lxm} : \left[ \begin{array}{c} \text{SYN} \\ \left[ \begin{array}{c} \text{VAL} \\ \text{HEAD} \end{array} \right] \left[ \begin{array}{c} \text{SPR} \left\langle \left[ \text{AGR} \quad \boxed{1} \right] \right\rangle \\ \left[ \text{AGR} \quad \boxed{1} \right] \end{array} \right] \end{array} \right]$$

# Constraints on *cn-lxm*

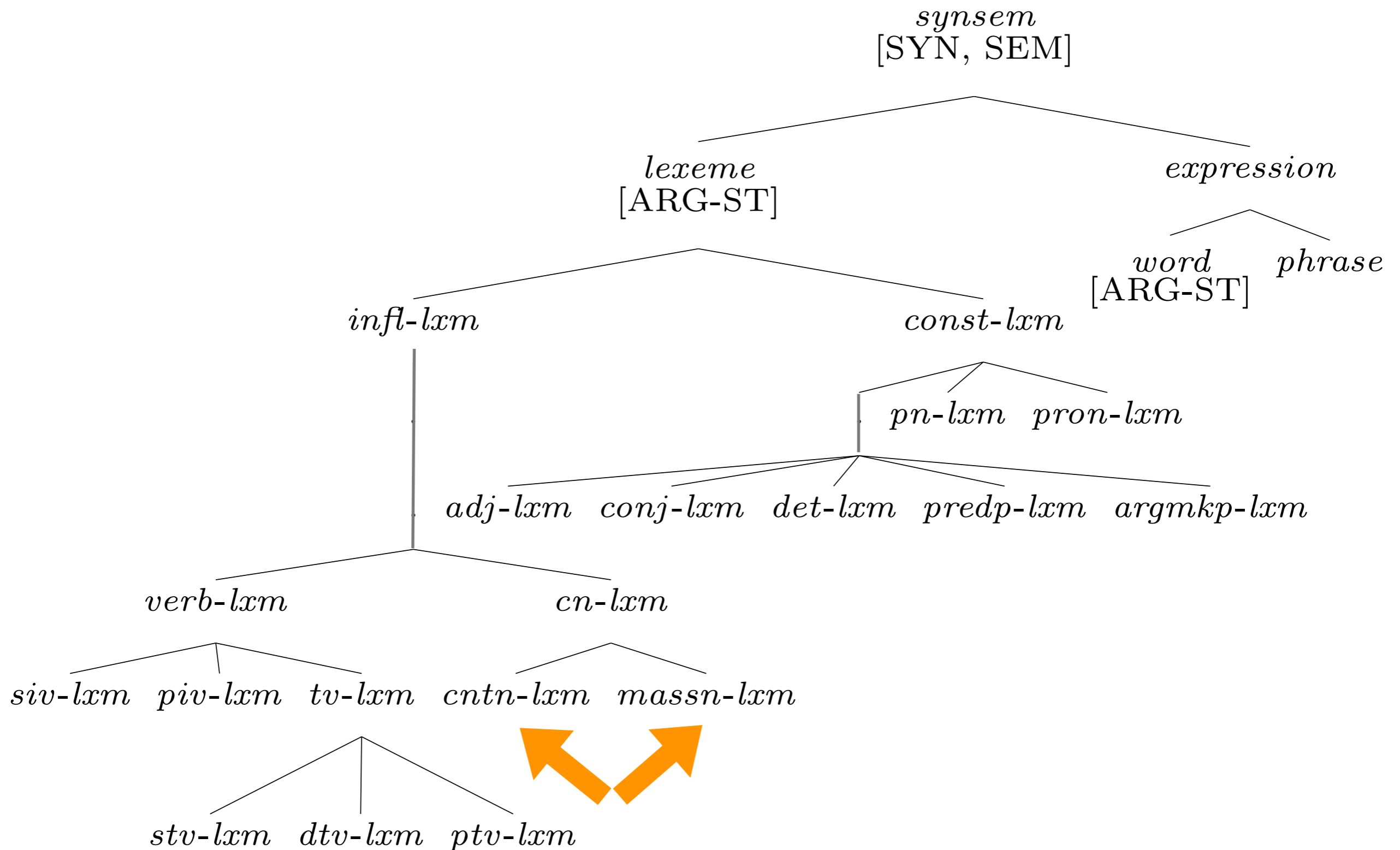




# Constraints on *cn-lxm*

$$\begin{array}{l}
 \text{cn-lxm :} \\
 \left[ \begin{array}{l}
 \text{SYN} \\
 \text{SEM} \\
 \text{ARG-ST}
 \end{array} \right. \left[ \begin{array}{l}
 \left[ \begin{array}{l}
 \text{HEAD} \\
 \text{VAL} \\
 \text{MODE} \\
 \text{INDEX}
 \end{array} \right. \left[ \begin{array}{l}
 \left[ \begin{array}{l}
 \text{noun} \\
 \text{AGR} \quad [\text{PER 3rd}]
 \end{array} \right] \\
 \left[ \begin{array}{l}
 \text{SPR} \quad \langle \left[ \begin{array}{l}
 \text{HEAD} \\
 \text{INDEX}
 \end{array} \right] \text{det} \rangle \\
 / \text{ref} \\
 i
 \end{array} \right] \\
 \langle X \rangle \oplus // \langle \rangle
 \end{array} \right]
 \end{array} \right]
 \end{array}$$

# Formally Distinguishing Count vs. Mass Nouns

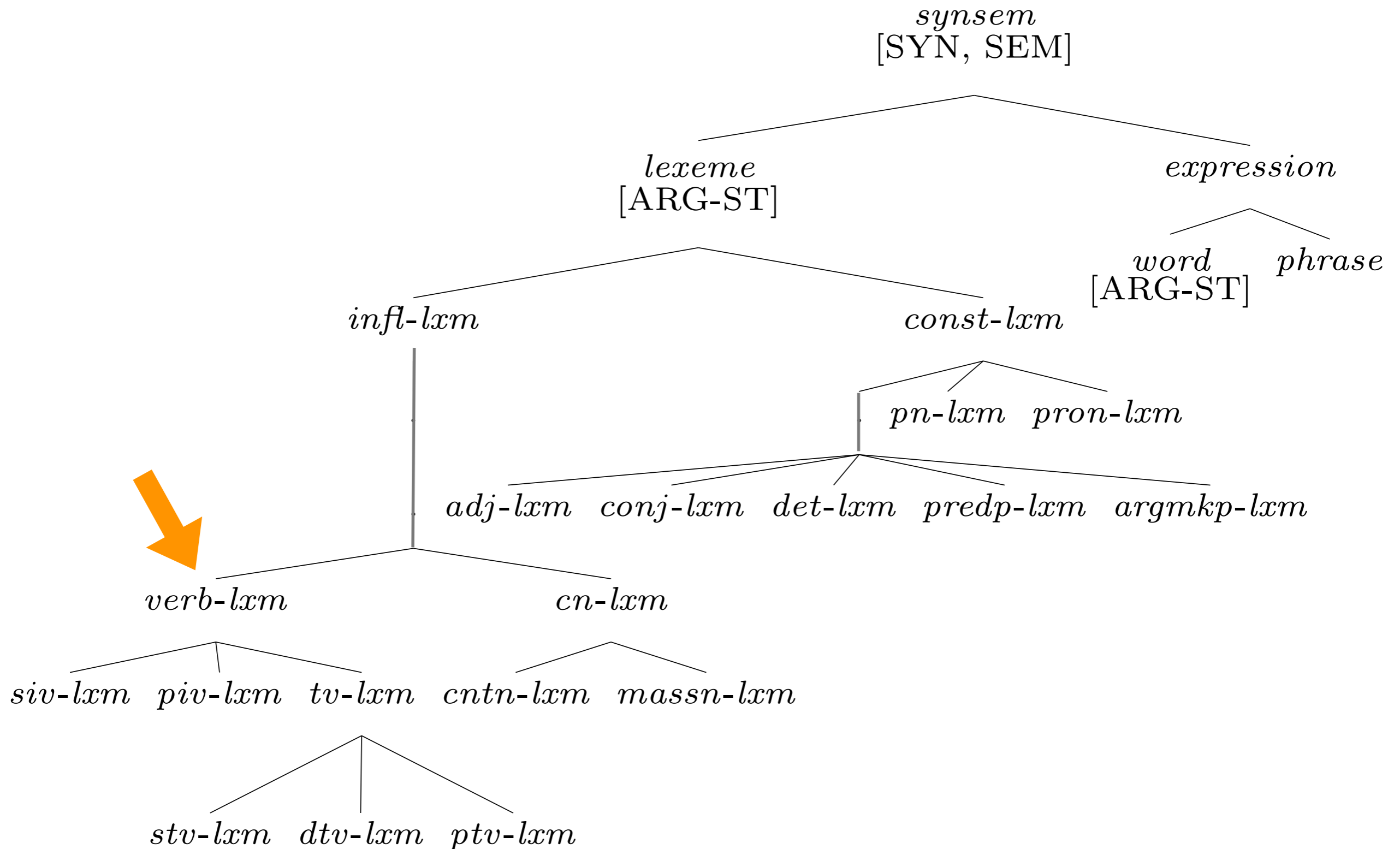


# Formally Distinguishing Count vs. Mass Nouns

*cntn-lxm* :  $\left[ \text{SYN} \left[ \text{VAL} \left[ \text{SPR} \langle [\text{COUNT} +] \rangle \right] \right] \right]$

*massn-lxm* :  $\left[ \text{SYN} \left[ \text{VAL} \left[ \text{SPR} \langle [\text{COUNT} -] \rangle \right] \right] \right]$

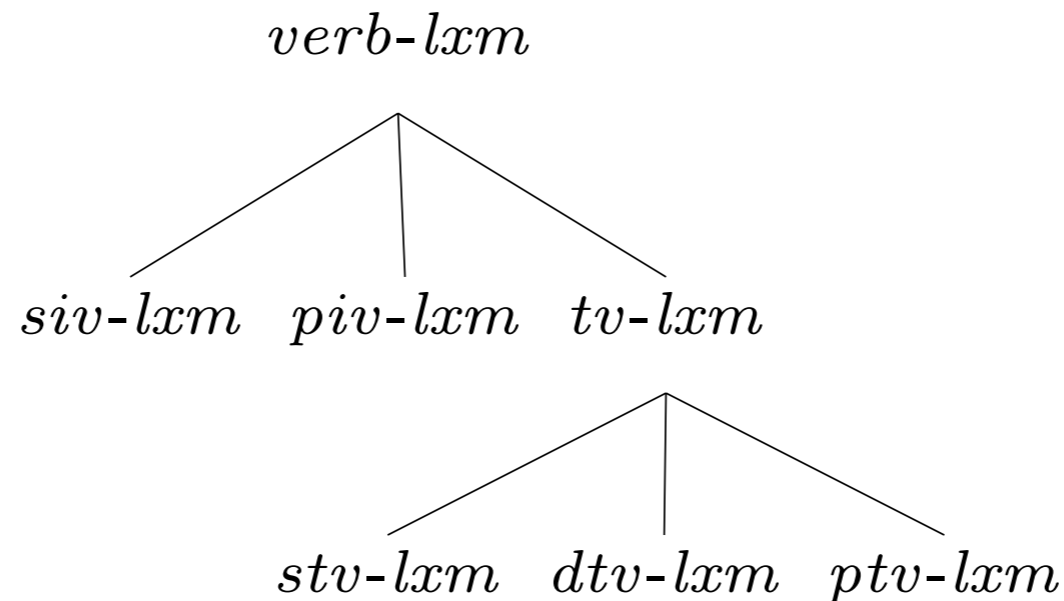
# Constraints on *verb-lxm*



# Constraints on *verb-lxm*

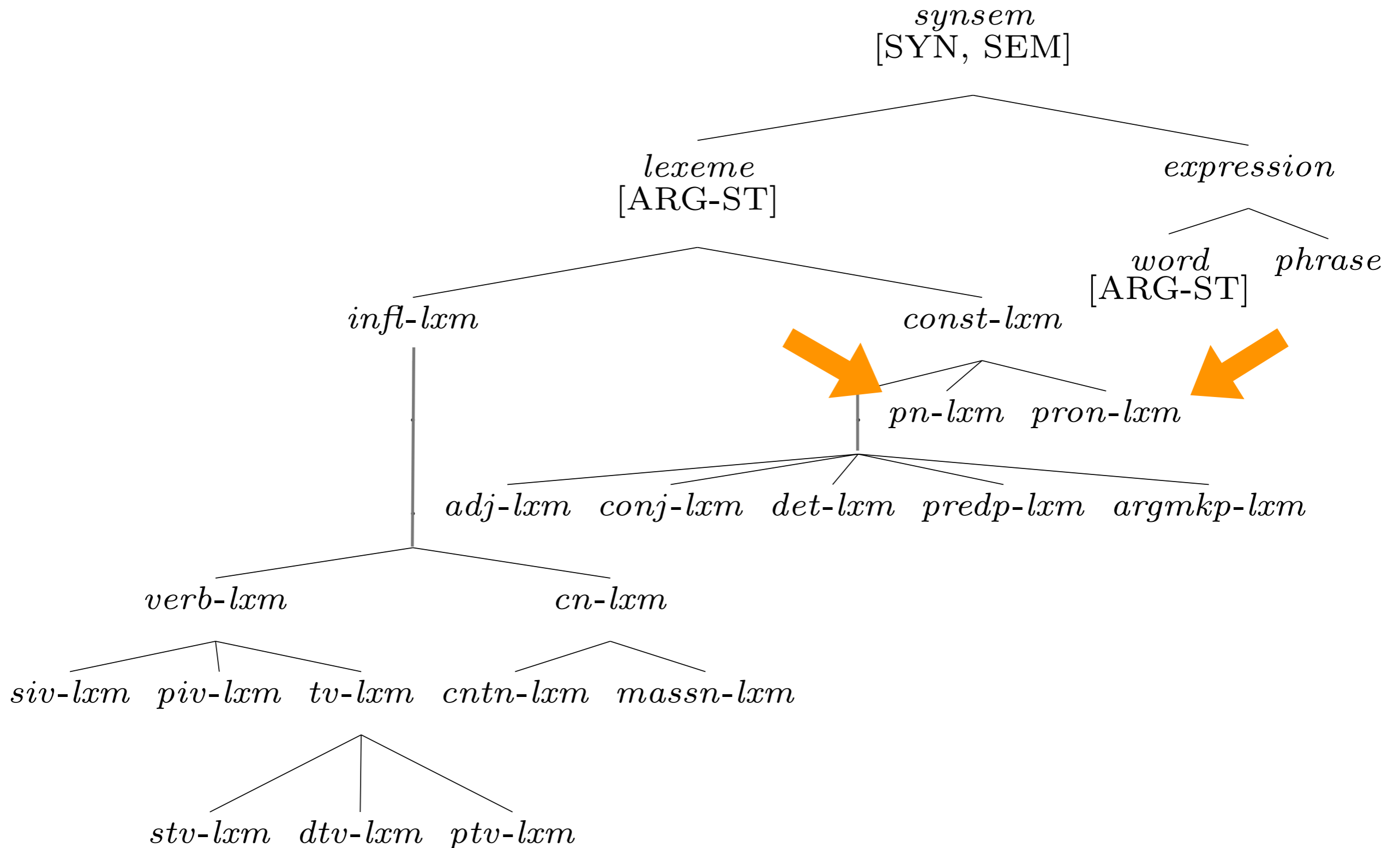
*verb-lxm*: 
$$\left[ \begin{array}{l} \text{SYN} \\ \text{SEM} \\ \text{ARG-ST} \end{array} \left[ \begin{array}{l} \left[ \text{HEAD} \quad \textit{verb} \right] \\ \left[ \text{MODE} \quad \textit{prop} \right] \\ / \langle \text{NP}, \dots \rangle \end{array} \right] \right]$$

# Subtypes of *verb-lxm*



- *verb-lxm*: [ARG-ST / < NP, ... >]
  - *siv-lxm*: [ARG-ST / < NP >]
  - *piv-lxm*: [ARG-ST / < NP, PP >]
  - *tv-lxm*: [ARG-ST / < NP, NP, ... >]
    - *stv-lxm*: [ARG-ST / < NP, NP, >]
    - *dtv-lxm*: [ARG-ST / < NP, NP, NP >]
    - *ptv-lxm*: [ARG-ST / < NP, NP, PP >]

# Proper Nouns and Pronouns



# Proper Nouns and Pronouns

*pn-lxm:*

$$\left[ \begin{array}{l} \text{SYN} \left[ \text{HEAD} \left[ \begin{array}{l} \textit{noun} \\ \text{AGR} \left[ \begin{array}{l} \text{PER} \quad \text{3rd} \\ \text{NUM} \quad / \text{sg} \end{array} \right] \end{array} \right] \right] \\ \text{SEM} \left[ \text{MODE} \quad \text{ref} \right] \\ \text{ARG-ST} \quad / \langle \rangle \end{array} \right]$$

*pron-lxm:*

$$\left[ \begin{array}{l} \text{SYN} \left[ \text{HEAD} \quad \textit{noun} \right] \\ \text{SEM} \left[ \text{MODE} \quad / \text{ref} \right] \\ \text{ARG-ST} \quad \langle \rangle \end{array} \right]$$



# The Case Constraint

An outranked NP is [CASE acc].

- object of verb ✓
- second object of verb ✓
- object of argument-marking preposition ✓
- object of predicational preposition (✓)

# The Case Constraint, continued

An outranked NP is [CASE acc].

- Subjects of verbs
  - Should we add a clause to cover nominative subjects?
    - No.  
*We expect them to leave.* (Chapter 12)
  - Lexical rules for finite verbs will handle nominative subjects.
- Any other instances of case marking in English?
- Does it apply to case systems in other languages?

No: The Case Constraint is an English-specific constraint.

# Apparent redundancy

- Why do we need both the *pos* subhierarchy and lexeme types?
- *pos*:
  - Applies to words and phrases; models relationship between them
  - Constrains which features are appropriate (no AUX on *noun*)
- *lexeme*:
  - Generalizations about combinations of constraints

# Lexical Types & Lexical Rules

- Lexemes capture the similarities among *run*, *runs*, *running*, and *run*.
- The lexical type hierarchy captures the similarities among *run*, *sleep*, and *laugh*, among those and other verbs like *devour* and *hand*, and among those and other words like *book*.
- Lexical rules capture the similarities among *runs*, *sleeps*, *devours*, *hands*,...

# Overview

- Motivation for lexical hierarchy
- Default inheritance
- Tour of the lexeme hierarchy
- The Case Constraint
- *pos vs. lexeme*