

Components of the Grammar

LING566

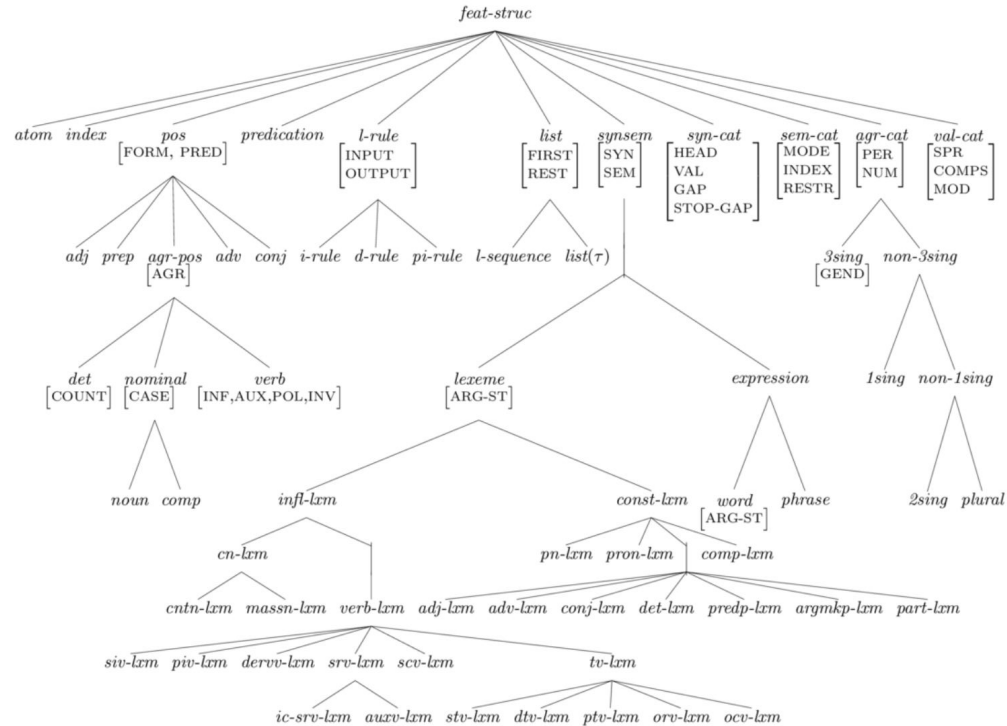


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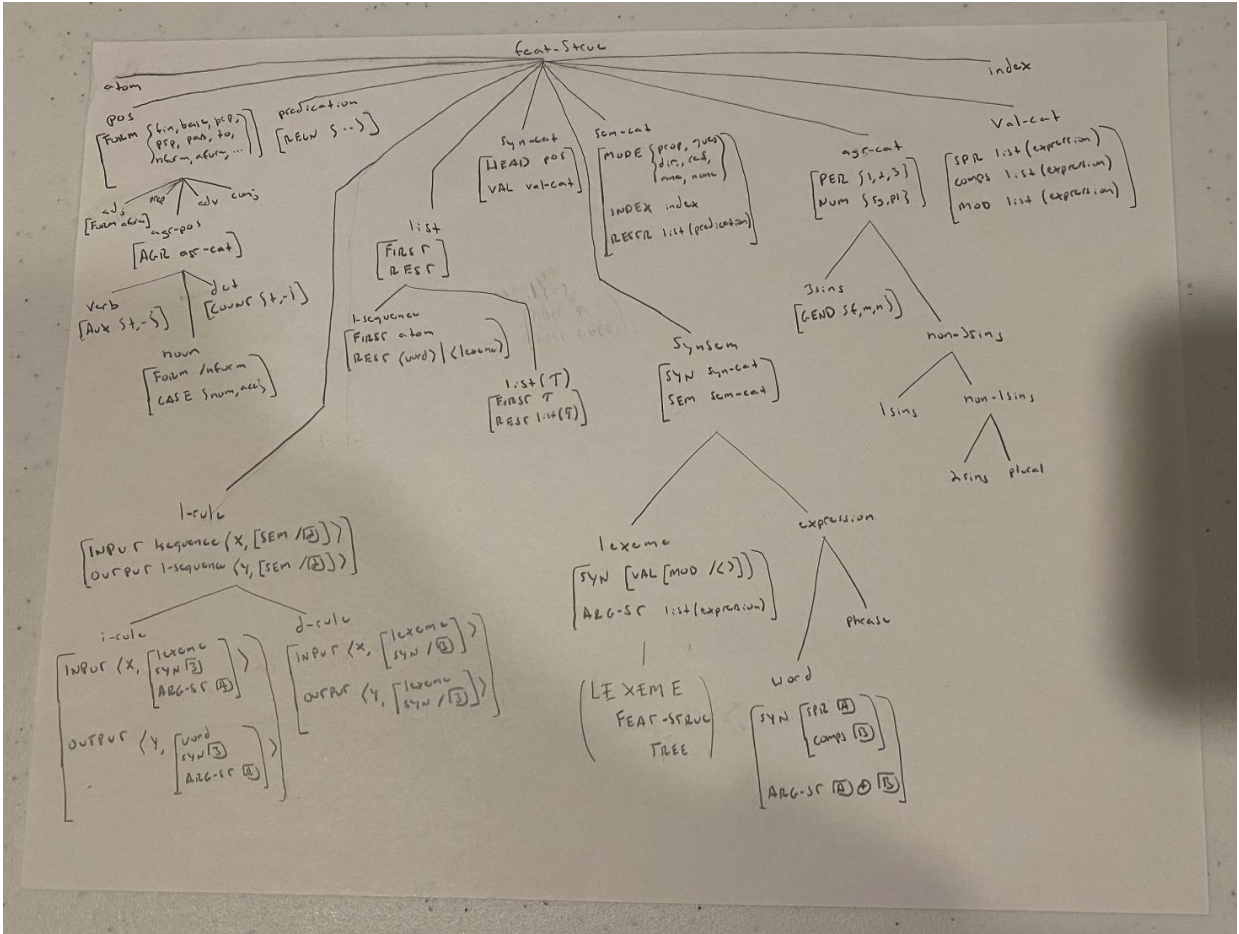
- Type hierarchy
 - this includes lexeme types
- Lexical entries
- Lexical rules
- Grammar rules
- Principles
- Initial symbol

Combinations of constraints from these components determine what trees are licensed by our grammar

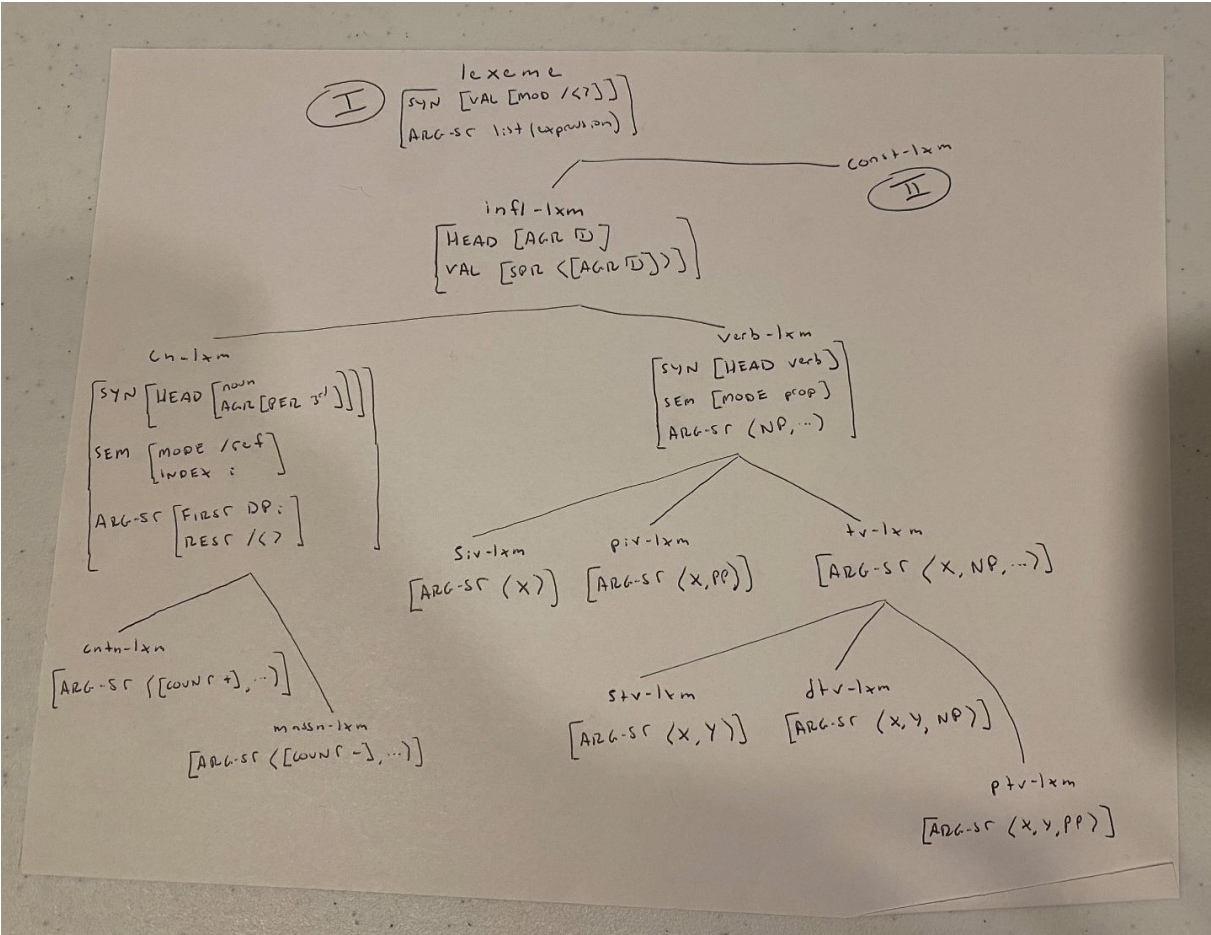
Type Hierarchy



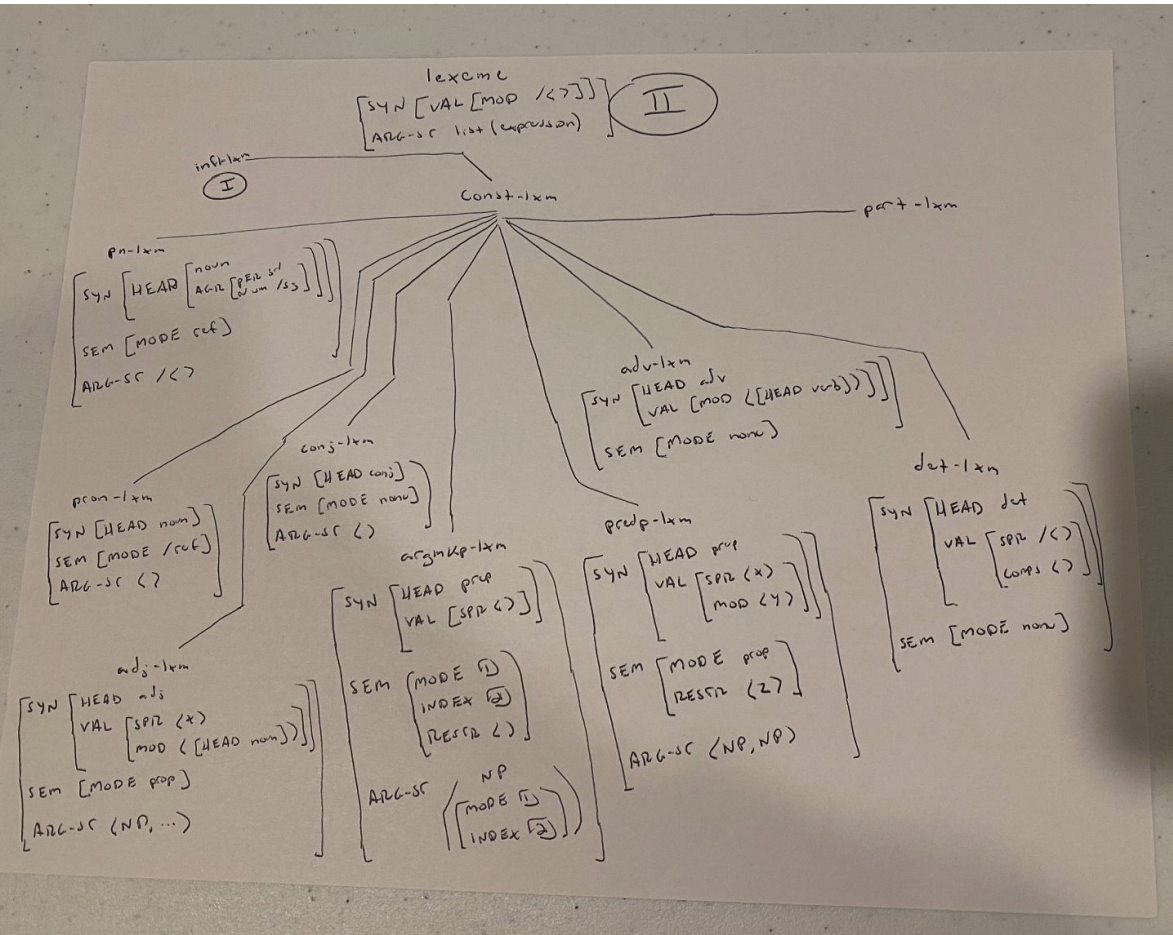
Type Hierarchy by the end of the book (1/3)



Type Hierarchy by the end of the book (2/3)



Type Hierarchy by the end of the book (3/3)



Type Hierarchy

- Lists all of the types and their subtypes
- Specifies what features are appropriate for each type
- Specifies what values are appropriate for each feature

<i>syn-cat</i>	$\left[\begin{array}{ll} \text{HEAD} & \textit{pos} \\ \text{VAL} & \textit{val-cat} \\ \text{GAP} & \textit{list(expression)} \\ \text{STOP-GAP} & \textit{list(expression)} \end{array} \right]$	<i>feat-struct</i>
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<i>pos</i>	$\left[\begin{array}{ll} \text{FORM} & \left\{ \textit{fin, base, prp, psp, pass,} \right. \\ & \left. \textit{to, nform, aform, cform, ...} \right\} \\ \text{PRED} & \{+, -\} \end{array} \right]$	<i>feat-struct</i>
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Type Hierarchy

- **NOTE: Defeasibility can only be declared in the type hierarchy**
- Features with defeasible values can be overwritten in subtypes, in particular lexical entries, or particular lexical rules

<i>verb-lxm</i>	<table border="1"> <tr> <td>SYN</td> <td> <table border="1"> <tr> <td>HEAD</td> <td> <table border="1"> <tr> <td><i>verb</i></td> <td></td> </tr> <tr> <td>PRED</td> <td>-</td> </tr> <tr> <td>INF</td> <td>/ -</td> </tr> <tr> <td>AUX</td> <td>/ -</td> </tr> <tr> <td>POL</td> <td>-</td> </tr> </table> </td> </tr> <tr> <td>SEM</td> <td>[MODE prop]</td> </tr> <tr> <td>ARG-ST</td> <td> <table border="1"> <tr> <td>HEAD</td> <td><i>nominal</i></td> </tr> <tr> <td>VAL</td> <td> <table border="1"> <tr> <td>SPR</td> <td>< ></td> </tr> <tr> <td>COMPS</td> <td>< ></td> </tr> </table> </td> </tr> </table> </td> </tr> </table> </td> </tr> </table>	SYN	<table border="1"> <tr> <td>HEAD</td> <td> <table border="1"> <tr> <td><i>verb</i></td> <td></td> </tr> <tr> <td>PRED</td> <td>-</td> </tr> <tr> <td>INF</td> <td>/ -</td> </tr> <tr> <td>AUX</td> <td>/ -</td> </tr> <tr> <td>POL</td> <td>-</td> </tr> </table> </td> </tr> <tr> <td>SEM</td> <td>[MODE prop]</td> </tr> <tr> <td>ARG-ST</td> <td> <table border="1"> <tr> <td>HEAD</td> <td><i>nominal</i></td> </tr> <tr> <td>VAL</td> <td> <table border="1"> <tr> <td>SPR</td> <td>< ></td> </tr> <tr> <td>COMPS</td> <td>< ></td> </tr> </table> </td> </tr> </table> </td> </tr> </table>	HEAD	<table border="1"> <tr> <td><i>verb</i></td> <td></td> </tr> <tr> <td>PRED</td> <td>-</td> </tr> <tr> <td>INF</td> <td>/ -</td> </tr> <tr> <td>AUX</td> <td>/ -</td> </tr> <tr> <td>POL</td> <td>-</td> </tr> </table>	<i>verb</i>		PRED	-	INF	/ -	AUX	/ -	POL	-	SEM	[MODE prop]	ARG-ST	<table border="1"> <tr> <td>HEAD</td> <td><i>nominal</i></td> </tr> <tr> <td>VAL</td> <td> <table border="1"> <tr> <td>SPR</td> <td>< ></td> </tr> <tr> <td>COMPS</td> <td>< ></td> </tr> </table> </td> </tr> </table>	HEAD	<i>nominal</i>	VAL	<table border="1"> <tr> <td>SPR</td> <td>< ></td> </tr> <tr> <td>COMPS</td> <td>< ></td> </tr> </table>	SPR	< >	COMPS	< >
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Lexical Terminology



Lexical Terminology

- Lexeme types
- Lexical entries
- Lexical rules

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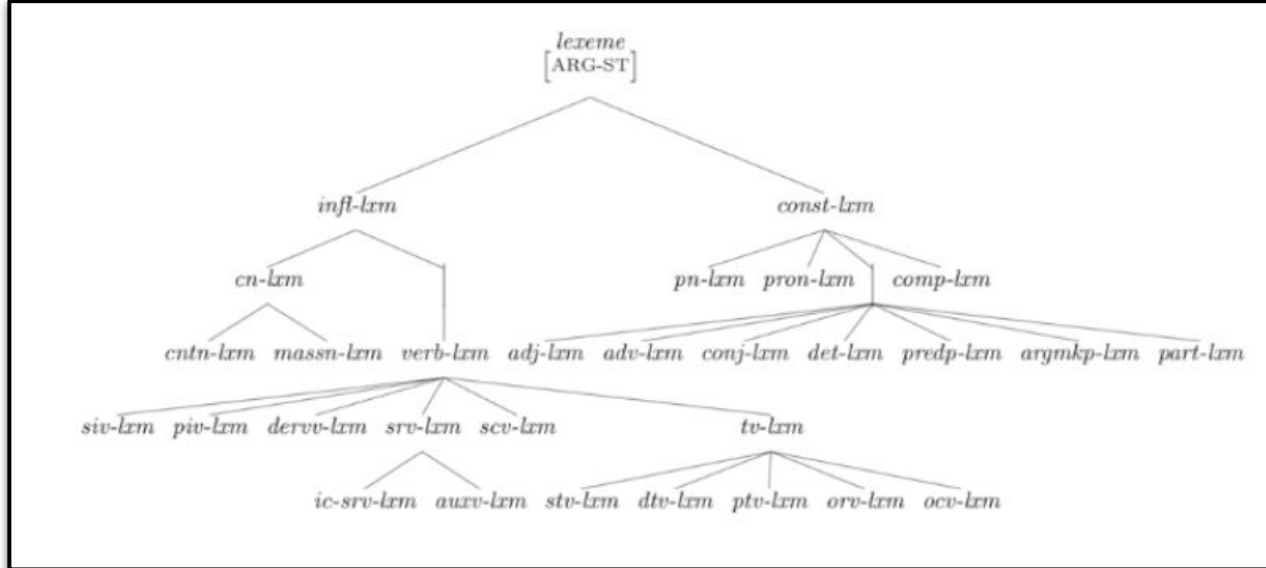
These are elements we posit when writing the grammar

- Lexical sequence
- Family of lexical sequences
- Lexical rule instantiation
- Word structure

These come about as a *consequence* of the way our grammar works

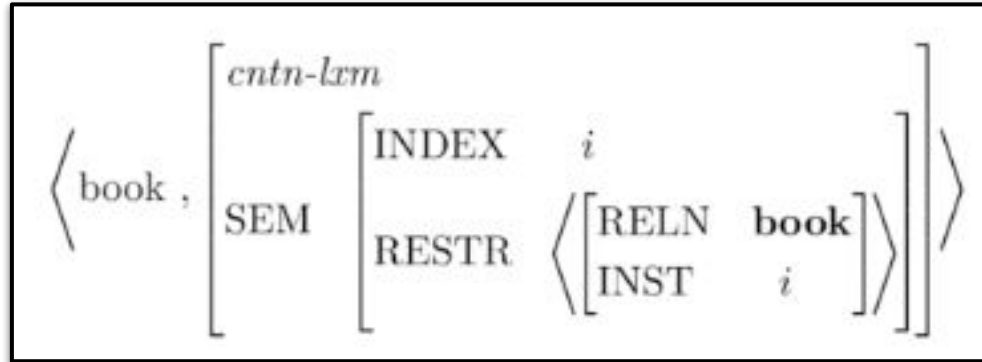
Lexeme

- *lexeme* is a type in our grammar, and it serves as the root of the “subhierarchy” of all lexical types in the type hierarchy



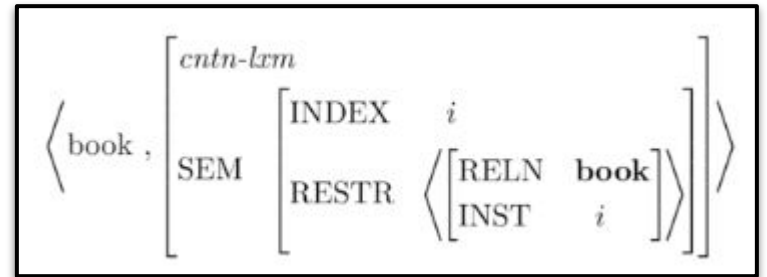
Lexical Entries

- Lexical entries make up the lexicon of the grammar, they are underspecified descriptions of ‘words’
- Each lexical entry is an ordered pair consisting of an orthographic/phonological form and a partial feature structure description



Lexical entry for *book*

<i>cntn-lxm</i>	[ARG-ST < [COUNT +], ... >]	<i>cn-lxm</i>																		
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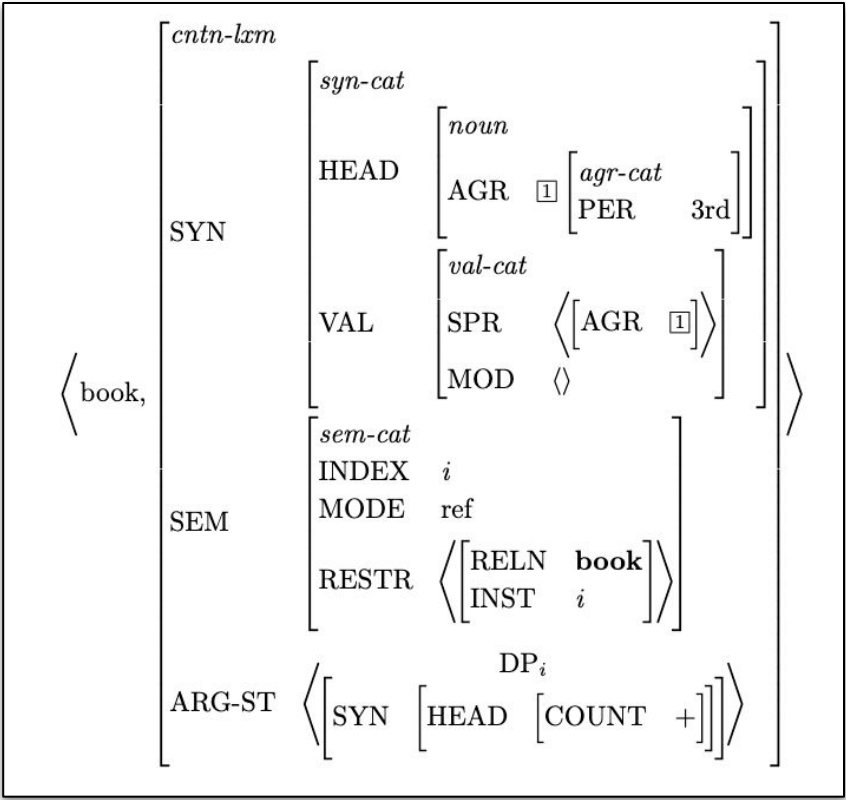
Lexical entry for *book*

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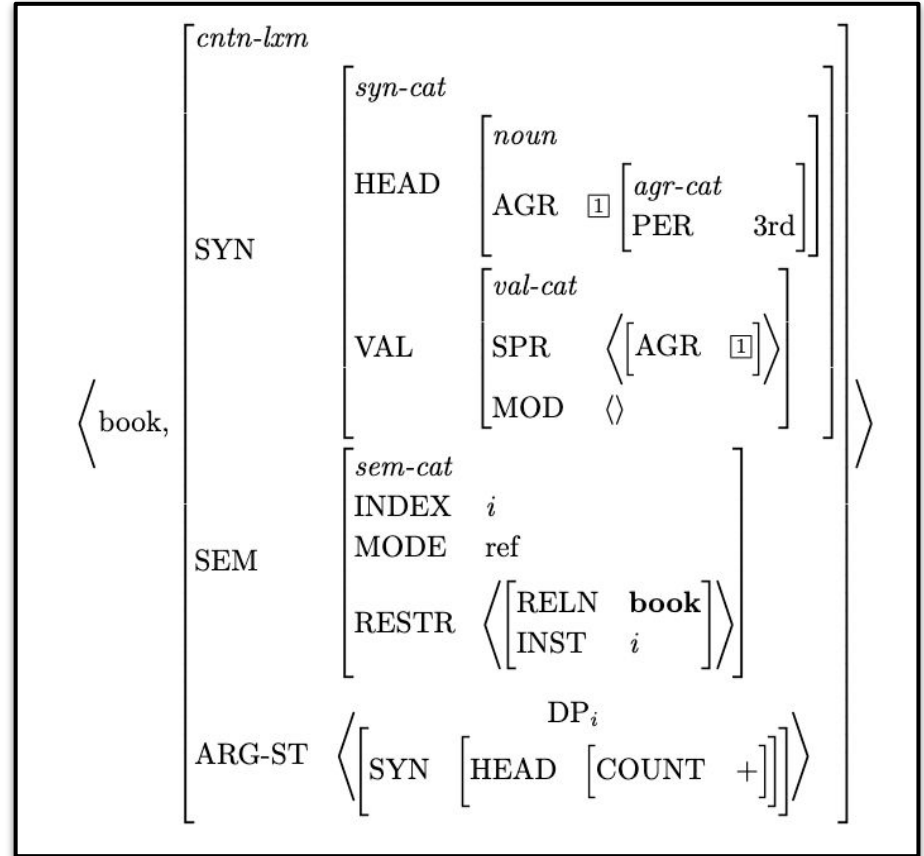


Lexical entry for *book*

<i>syn-cat</i>	[HEAD <i>pos</i> VAL <i>val-cat</i> GAP <i>list(expression)</i> STOP-GAP <i>list(expression)</i>]	<i>feat-struct</i>
<i>noun</i>	[FORM / nform]	<i>nominal</i>
<i>nominal</i>	[CASE {nom, acc}]	<i>agr-pos</i>
<i>agr-pos</i>	[AGR <i>agr-cat</i>]	<i>pos</i>
<i>pos</i>	[FORM {fin, base, prp, psp, pass, to, nform, aform, cform, ...} PRED {+, -}]	<i>feat-struct</i>

<i>val-cat</i>	[SPR <i>list(expression)</i> COMPS <i>list(expression)</i> MOD <i>list(expression)</i>]	<i>feat-struct</i>
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<i>sem-cat</i>	[MODE {prop, ques, dir, ref, ana, none} INDEX { <i>index</i> , none} RESTR <i>list(predication)</i>]	<i>feat-struct</i>
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Lexical entry for *book*

<i>syn-cat</i>	$\left[\begin{array}{ll} \text{HEAD} & \textit{pos} \\ \text{VAL} & \textit{val-cat} \\ \text{GAP} & \textit{list(expression)} \\ \text{STOP-GAP} & \textit{list(expression)} \end{array} \right]$	<i>feat-struct</i>
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<i>noun</i>	[FORM / nform]	<i>nominal</i>
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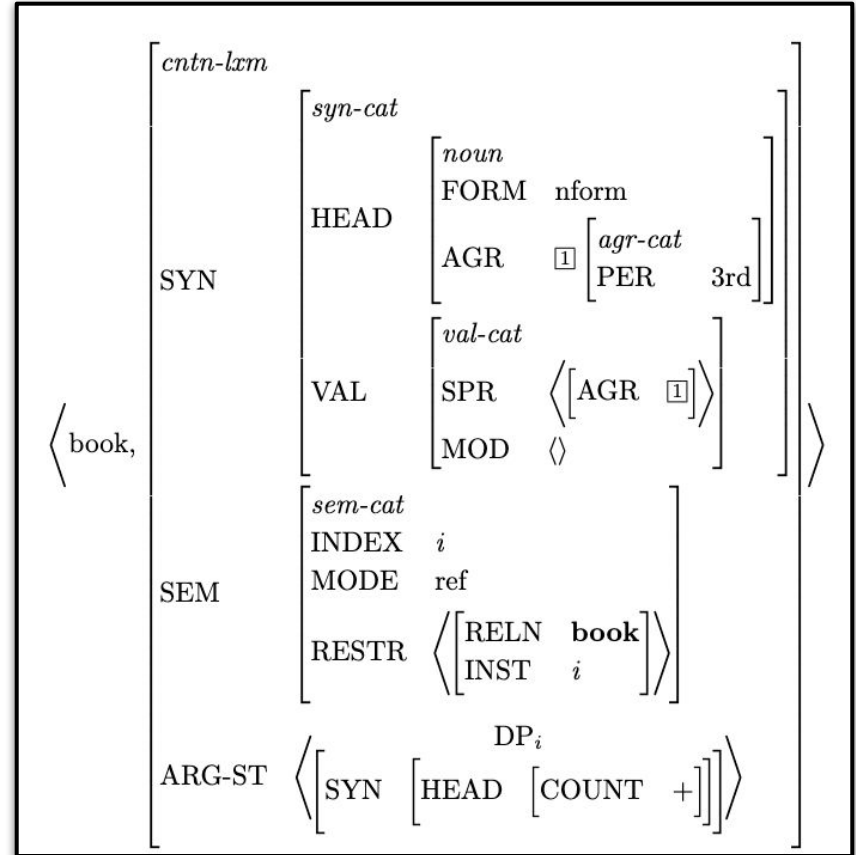
<i>nominal</i>	[CASE {nom, acc}]	<i>agr-pos</i>
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<i>agr-pos</i>	[AGR <i>agr-cat</i>]	<i>pos</i>
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<i>pos</i>	$\left[\begin{array}{l} \text{FORM} \left\{ \begin{array}{l} \textit{fin}, \textit{base}, \textit{prp}, \textit{psp}, \textit{pass}, \\ \textit{to}, \textit{nform}, \textit{aform}, \textit{cform}, \dots \end{array} \right\} \\ \text{PRED} \{+, -\} \end{array} \right]$	<i>feat-struct</i>
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<i>val-cat</i>	$\left[\begin{array}{ll} \text{SPR} & \textit{list(expression)} \\ \text{COMPS} & \textit{list(expression)} \\ \text{MOD} & \textit{list(expression)} \end{array} \right]$	<i>feat-struct</i>
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<i>sem-cat</i>	$\left[\begin{array}{ll} \text{MODE} & \left\{ \textit{prop}, \textit{ques}, \textit{dir}, \textit{ref}, \textit{ana}, \textit{none} \right\} \\ \text{INDEX} & \left\{ \textit{index}, \textit{none} \right\} \\ \text{RESTR} & \textit{list(predication)} \end{array} \right]$	<i>feat-struct</i>
----------------	---	--------------------

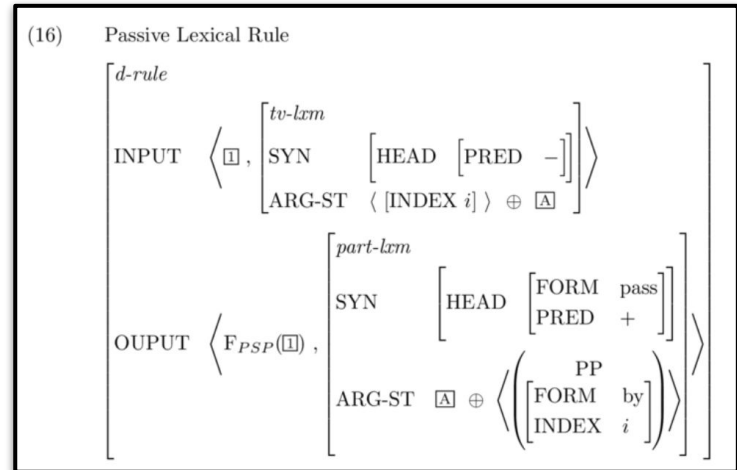
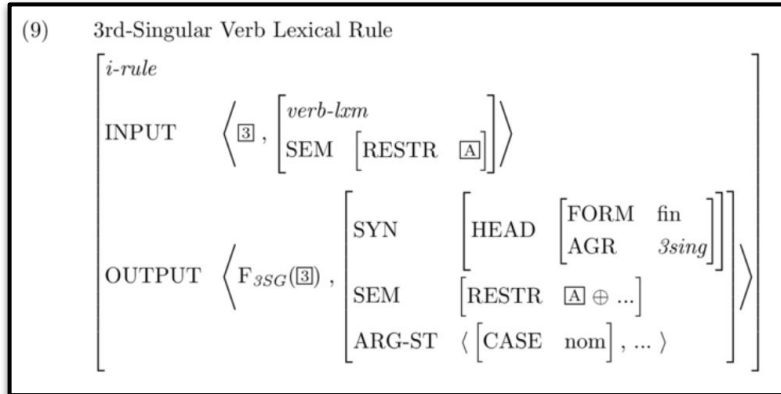


Lexical Terminology

- Lexeme types ✓
- Lexical entries ✓
- Lexical rules
- Lexical sequence
- Family of lexical sequences
- Lexical rule instantiation
- Word structure

Lexical Rules

- Another part of the grammar we write
- Allow us to derive inflected forms (via lexical rules of type *i-rule* or *pi-rule*)
- OR additional lexeme type lexical sequences that can be input to more lexical rules (via lexical rules of type *d-rule*)



Lexical Terminology

- Lexeme types ✓
- Lexical entries ✓
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- Word structure

Lexical Sequence

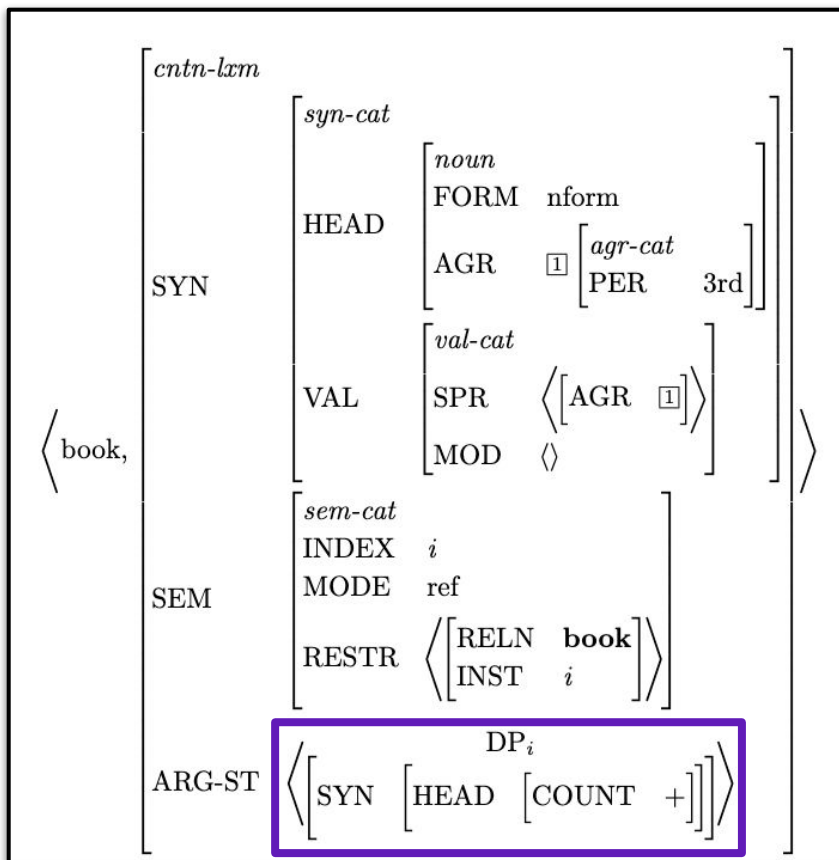
- Ordered pairs that can serve as the INPUT or OUTPUT values of lexical rules
- Any lexical sequence is a pair that consists of an orthographic/phonological form and a fully resolved feature structure

Family of Lexical Sequences

- If you have an ordered pair that consists of an orthographic/phonological form and an **underspecified** feature structure, we say that it “describes a **family of lexical sequences**”

What lexical sequences does this describe?

<i>syn-cat</i>		[HEAD ? <i>pos</i> VAL ? <i>val-cat</i> GAP <i>list(expression)</i> STOP_GAP <i>list(expression)</i>]	<i>feat-struct</i>
<i>noun</i>		[FORM <input checked="" type="checkbox"/> / <i>nform</i>]	<i>nominal</i>
<i>nominal</i>		[CASE ? { <i>nom, acc</i> }]	<i>agr-pos</i>
<i>agr-pos</i>		[AGR? <i>agr-cat</i>]	<i>pos</i>
<i>agr-cat</i>		[PER <input checked="" type="checkbox"/> { <i>1st, 2nd, 3rd</i> } NUM? { <i>sg, pl</i> }]	<i>feat-struct</i>
<i>pos</i>		[FORM <input checked="" type="checkbox"/> { <i>fin, base, prp, psp, pass, to, nform, aform, cform, ...</i> } PRED? { <i>+, -</i> }]	<i>feat-struct</i>
<i>val-cat</i>		[SPR <input checked="" type="checkbox"/> <i>list(expression)</i> COMPS? <i>list(expression)</i> MOD <input checked="" type="checkbox"/> <i>list(expression)</i>]	<i>feat-struct</i>
<i>sem-cat</i>		[MODE <input checked="" type="checkbox"/> { <i>prop, ques, dir, ref, ana, none</i> } INDEX <input checked="" type="checkbox"/> { <i>index, none</i> } RESTR <input checked="" type="checkbox"/> <i>list(predication)</i>]	<i>feat-struct</i>



Lexical Terminology

- Lexeme types ✓
- Lexical entries ✓
- Lexical rules ✓
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- Lexical rule instantiation ✓
- Word structure

Filtering through the “soup” (🙄)

- A certain number of the lexical sequences described by that partial description can be “caught” by these lexical rules
- The INPUT and OUTPUT will then each be associated with a particular **fully resolved** lexical sequence
- Infinitely many other lexical sequences will not unify with these constraints and therefore be ignored, left to float in the “soup”

(7)	Singular Noun Lexical Rule
	$\left[\begin{array}{l} \textit{i-rule} \\ \text{INPUT} \quad \langle \boxed{\square}, \textit{cn-lxm} \rangle \\ \text{OUTPUT} \quad \langle \boxed{\square}, \left[\text{SYN} \left[\text{HEAD} \left[\text{AGR} \left[\text{NUM} \textit{sg} \right] \right] \right] \right] \right] \rangle \end{array} \right]$
(8)	Plural Noun Lexical Rule
	$\left[\begin{array}{l} \textit{i-rule} \\ \text{INPUT} \quad \langle \boxed{\square}, \textit{cntn-lxm} \rangle \\ \text{OUTPUT} \quad \langle \text{F}_{NPL}(\boxed{\square}), \left[\text{SYN} \left[\text{HEAD} \left[\text{AGR} \left[\text{NUM} \textit{pl} \right] \right] \right] \right] \right] \rangle \end{array} \right]$

Lexical Rule Instantiation

- A lexical rule instantiation is a **fully resolved** feature structure that is consistent with the specifications of some lexical rule
- We don't show these in our trees, but when you work through a problem to build a tree, you will step through some number of lexical rule instantiations in order to get the word structure that will sit at each leaf in the tree
- Some implemented HPSG grammars actually represent the lexical rules on the trees
 - [ERG demo](#)

Word Structure

- Found at the bottom of the tree, serve as the leaves of the tree
- A feature structure of type *word* over some string
- “Correspond to lexical sequences that correspond to OUTPUT of some lexical rule instantiation that is a fully resolved version of some lexical rule where INPUT is a lexical sequence that is licensed by a lexical entry (or output of some other lexical rule)”




Lexical Terminology

- Lexeme types ✓
- Lexical entries ✓
- Lexical rules ✓
- Lexical sequence ✓
- Family of lexical sequences ✓
- Lexical rule instantiation ✓
- Word structure ✓

The rest of the grammar lol



Components of the Grammar

- Type hierarchy 
 - this includes lexeme types
- Lexical entries 
- Lexical rules 
- Grammar rules
- Principles
- Initial symbol

Combinations of constraints from these components determine what trees are licensed by our grammar

Grammar Rules

- Also called phrase structure rules
- Build feature structures of type *expression* (or really subtypes) of *expression* from other feature structures of type *expression*
- This is how we get our tree structure

A.4 The Grammar Rules

(All daughters in our grammar rules are expressions, i.e. of type *word* or *phrase*; never of type *lexeme*).

(1) Head-Specifier Rule

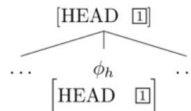
$$\left[\begin{array}{l} \textit{phrase} \\ \text{SPR} \quad \langle \ \rangle \end{array} \right] \rightarrow \boxed{\text{I}} \quad \mathbf{H} \left[\begin{array}{l} \text{VAL} \left[\begin{array}{l} \text{SPR} \quad \langle \boxed{\text{I}} \rangle \\ \text{COMPS} \quad \langle \ \rangle \end{array} \right] \\ \text{STOP-GAP} \quad \langle \ \rangle \end{array} \right]$$

A phrase can consist of a (lexical or phrasal) head preceded by its specifier.

Principles

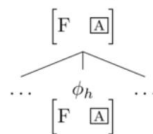
- What principles do we have so far?
 - Head Feature Principle
 - Valence Principle
 - Semantic Inheritance Principle
 - Semantic Compositionality Principle
- Are outlined in the “squiggly bits”

(72) Φ satisfies the Head Feature Principle with respect to a headed rule ρ if and only if Φ satisfies:



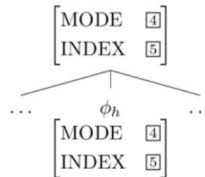
where ϕ_h is the head daughter of Φ .

(73) Φ satisfies the Valence Principle with respect to a headed rule ρ if and only if, for any VAL feature F, Φ satisfies:



where ϕ_h is the head daughter of Φ and ρ does not specify incompatible F values for ϕ_h and ϕ_0 .

(74) Φ satisfies the Semantic Inheritance Principle with respect to a headed rule ρ if and only if Φ satisfies:



where ϕ_h is the head daughter of Φ .

Initial Symbol

A.7.6 Structures Defined by the Grammar

(65) Well-Formed Tree Structure:

Φ is a Well-Formed Tree Structure according to G if and only if:







1. Φ is a tree structure,
2. the label of Φ 's root node satisfies the constraint:

$$\left[\begin{array}{l} \text{SYN} \\ \text{HEAD} \\ \text{VAL} \\ \text{GAP} \end{array} \left[\begin{array}{l} \left[\begin{array}{l} \text{verb} \\ \text{FORM fin} \end{array} \right] \\ \left[\begin{array}{l} \text{COMPS } \langle \rangle \\ \text{SPR } \langle \rangle \end{array} \right] \\ \langle \rangle \end{array} \right] \right]$$

and

3. each local subtree within Φ is either phrasally licensed or lexically licensed.

Components of the Grammar

- Type hierarchy 
 - this includes lexeme types
- Lexical entries 
- Lexical rules 
- Grammar rules 
- Principles 
- Initial symbol 

Combinations of constraints from these components determine what trees are licensed by our grammar

Abbreviations??

- Abbreviations are partial descriptions of feature structures
- They serve as a notational convenience because it's easier to write "V" than it is to write the details of what the abbreviation V entails

A.3 Abbreviations

$S = \left[\text{SYN} \left[\begin{array}{l} \text{HEAD } \textit{verb} \\ \text{VAL} \left[\begin{array}{l} \text{COMPS } \langle \rangle \\ \text{SPR } \langle \rangle \end{array} \right] \end{array} \right] \right]$	$NP_i = \left[\begin{array}{l} \text{SYN} \left[\begin{array}{l} \text{HEAD } \textit{noun} \\ \text{VAL} \left[\begin{array}{l} \text{COMPS } \langle \rangle \\ \text{SPR } \langle \rangle \end{array} \right] \end{array} \right] \\ \text{SEM} \left[\text{INDEX } i \right] \end{array} \right]$
$VP = \left[\text{SYN} \left[\begin{array}{l} \text{HEAD } \textit{verb} \\ \text{VAL} \left[\begin{array}{l} \text{COMPS } \langle \rangle \\ \text{SPR } \langle X \rangle \end{array} \right] \end{array} \right] \right]$	$NOM = \left[\text{SYN} \left[\begin{array}{l} \text{HEAD } \textit{noun} \\ \text{VAL} \left[\begin{array}{l} \text{COMPS } \langle \rangle \\ \text{SPR } \langle X \rangle \end{array} \right] \end{array} \right] \right]$
$V = \left[\begin{array}{l} \textit{word} \\ \text{SYN} \left[\text{HEAD } \textit{verb} \right] \end{array} \right]$	$N = \left[\begin{array}{l} \textit{word} \\ \text{SYN} \left[\text{HEAD } \textit{noun} \right] \end{array} \right]$
$PP = \left[\text{SYN} \left[\begin{array}{l} \text{HEAD } \textit{prep} \\ \text{VAL} \left[\text{COMPS } \langle \rangle \right] \end{array} \right] \right]$	$CP = \left[\text{SYN} \left[\begin{array}{l} \text{HEAD } \textit{comp} \\ \text{VAL} \left[\begin{array}{l} \text{COMPS } \langle \rangle \\ \text{SPR } \langle \rangle \end{array} \right] \end{array} \right] \right]$
$P = \left[\begin{array}{l} \textit{word} \\ \text{SYN} \left[\text{HEAD } \textit{prep} \right] \end{array} \right]$	$C = \left[\begin{array}{l} \textit{word} \\ \text{SYN} \left[\text{HEAD } \textit{comp} \right] \end{array} \right]$
$AP = \left[\text{SYN} \left[\begin{array}{l} \text{HEAD } \textit{adj} \\ \text{VAL} \left[\text{COMPS } \langle \rangle \right] \end{array} \right] \right]$	$DP = \left[\text{SYN} \left[\begin{array}{l} \text{HEAD } \textit{det} \\ \text{VAL} \left[\begin{array}{l} \text{COMPS } \langle \rangle \\ \text{SPR } \langle \rangle \end{array} \right] \end{array} \right] \right]$
$A = \left[\begin{array}{l} \textit{word} \\ \text{SYN} \left[\text{HEAD } \textit{adj} \right] \end{array} \right]$	$ADV_{pot} = \left[\begin{array}{l} \text{SYN} \left[\text{HEAD } \textit{adv} \right] \\ \text{SEM} \left[\text{RESTR } \langle [\text{RELN } \textit{not} \mid \textit{reaffirm}] \rangle \right] \end{array} \right]$

yay!

