

PCFG Parsing, Evaluation, & Improvements

Ling 571

Deep Processing Techniques for NLP

January 24, 2011

Roadmap

- Parsing PCGFs:
 - Probabilistic CKY parsing
- Evaluation
 - Parseval
- Issues:
 - Positional and lexical independence assumptions
- Improvements:
 - Lexicalization: PLCFGs

Parsing Problem for PCFGs

- Select T such that:

$$\hat{T}(S) = \operatorname{argmax}_{Ts.t, S=\text{yield}(T)} P(T)$$

- String of words S is *yield* of parse tree over S
 - Select tree that maximizes probability of parse
-
- Extend existing algorithms: CKY & Earley
 - Most modern PCFG parsers based on CKY
 - Augmented with probabilities

Probabilistic CKY

- Like regular CKY
 - Assume grammar in Chomsky Normal Form (CNF)
 - Productions:
 - $A \rightarrow BC$ or $A \rightarrow w$
 - Represent input with indices b/t words
 - E.g., $_0$ Book $_1$ that $_2$ flight $_3$ through $_4$ Houston $_5$
- For input string length n and non-terminals V
 - Cell $[i,j,A]$ in $(n+1) \times (n+1) \times V$ matrix contains
 - Probability that constituent A spans $[i,j]$

Probabilistic CKY Algorithm

```
function PROBABILISTIC-CKY(words, grammar) returns most probable parse
                                     and its probability

for  $j \leftarrow$  from 1 to LENGTH(words) do
    for all  $\{ A \mid A \rightarrow \text{words}[j] \in \text{grammar} \}$ 
         $\text{table}[j-1, j, A] \leftarrow P(A \rightarrow \text{words}[j])$ 
    for  $i \leftarrow$  from  $j-2$  downto 0 do
        for  $k \leftarrow i+1$  to  $j-1$  do
            for all  $\{ A \mid A \rightarrow BC \in \text{grammar},$ 
                    and  $\text{table}[i, k, B] > 0$  and  $\text{table}[k, j, C] > 0 \}$ 
                if  $(\text{table}[i, j, A] < P(A \rightarrow BC) \times \text{table}[i, k, B] \times \text{table}[k, j, C])$  then
                     $\text{table}[i, j, A] \leftarrow P(A \rightarrow BC) \times \text{table}[i, k, B] \times \text{table}[k, j, C]$ 
                     $\text{back}[i, j, A] \leftarrow \{k, B, C\}$ 
    return BUILD_TREE( $\text{back}[1, \text{LENGTH}(\text{words}), S]$ ),  $\text{table}[1, \text{LENGTH}(\text{words}), S]$ 
```

PCKY Grammar Segment

$S \rightarrow NP VP$.80	$Det \rightarrow the$.40
$NP \rightarrow Det N$.30	$Det \rightarrow a$.40
$VP \rightarrow V NP$.20	$N \rightarrow meal$.01
$V \rightarrow includes$.05	$N \rightarrow flight$.02

PCKY Matrix:

The flight includes a meal

Det: 0.4				
[0,1]				

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	N: 0.2 [1,2]	[1,3]	[1,4]	
		V: 0.05 [2,3]	[2,4]	
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	N: 0.2 [1,2]	[1,3]	[1,4]	
		V: 0.05 [2,3]	[2,4]	
			Det: 0.4 [3,4]	NP: $0.3 \times 0.4 \times 0.01$ =0.0012 [3,5]
				N: 0.01 [4,5]

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	N: 0.2 [1,2]	[1,3]	[1,4]	[1,5]
		V: 0.05 [2,3]	[2,4]	VP: $0.2 \times 0.05 \times$ $0.0012 = 0.0$ 00012 [2,5]
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Probabilistic Parser Development Paradigm

- Training:
 - (Large) Set of sentences with associated parses (Treebank)
 - E.g., Wall Street Journal section of Penn Treebank, sec 2-21
 - 39,830 sentences
 - Used to estimate rule probabilities

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- Development (dev):
 - (Small) Set of sentences with associated parses (WSJ, 22)
 - Used to tune/verify parser; check for overfitting, etc.
- Test:
 - (Small-med) Set of sentences w/parses (WSJ, 23)
 - 2416 sentences
 - Held out, used for final evaluation

Parser Evaluation

- Assume a ‘gold standard’ set of parses for test set
- How can we tell how good the parser is?
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- How can we tell how good the parser is?
- How can we tell how good a parse is?
 - Maximally strict: identical to ‘gold standard’
 - Partial credit:
 - Constituents in output match those in reference
 - Same start point, end point, non-terminal symbol

Parseval

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- Multiple measures:
 - Labeled recall (LR):
 - # of correct constituents in hyp. parse
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- How can we compute parse score from constituents?
- Multiple measures:
 - Labeled recall (LR):
 - # of correct constituents in hyp. parse
 - # of constituents in reference parse
 - Labeled precision (LP):
 - # of correct constituents in hyp. parse
 - # of total constituents in hyp. parse

Parseval (cont'd)

- F-measure:
 - Combines precision and recall

$$F_{\beta} = \frac{(\beta^2 + 1)PR}{\beta^2(P + R)}$$

- F1-measure: $\beta = 1$ $F_1 = \frac{2PR}{(P + R)}$

- Crossing-brackets:
 - # of constituents where reference parse has bracketing ((A B) C) and hyp. has (A (B C))

Precision and Recall

- Gold standard
 - (S (NP (A a)) (VP (B b) (NP (C c)) (PP (D d))))
- Hypothesis
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- LP: 4/5

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- LP: 4/5
- LR: 4/5

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- LP: 4/5
- LR: 4/5
- F1: 4/5

State-of-the-Art Parsing

- Parsers trained/tested on *Wall Street Journal* PTB
 - LR: 90%;
 - LP: 90%;
 - Crossing brackets: 1%
- Standard implementation of Parseval: **evalb**

Evaluation Issues

- Constituents?

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 - Other grammar formalisms
 - LFG, Dependency structure, ..
 - Require conversion to PTB format

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- Constituents?
 - Other grammar formalisms
 - LFG, Dependency structure, ..
 - Require conversion to PTB format
- Extrinsic evaluation
 - How well does this match semantics, etc?

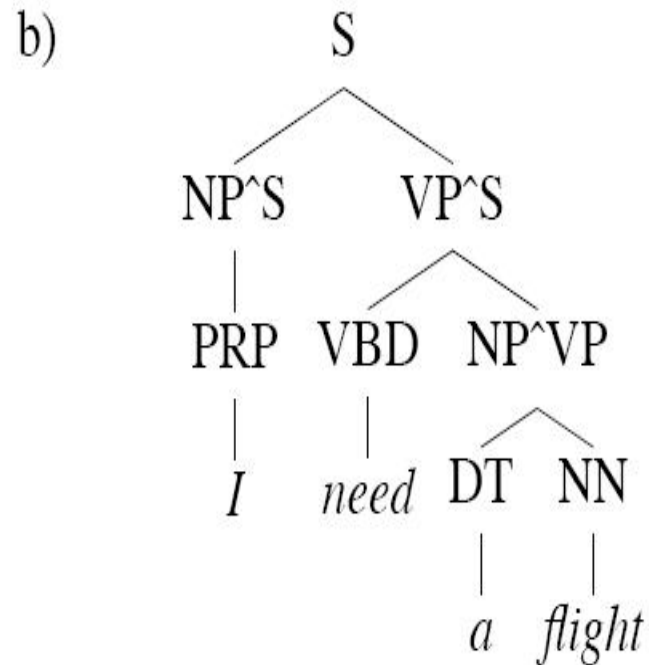
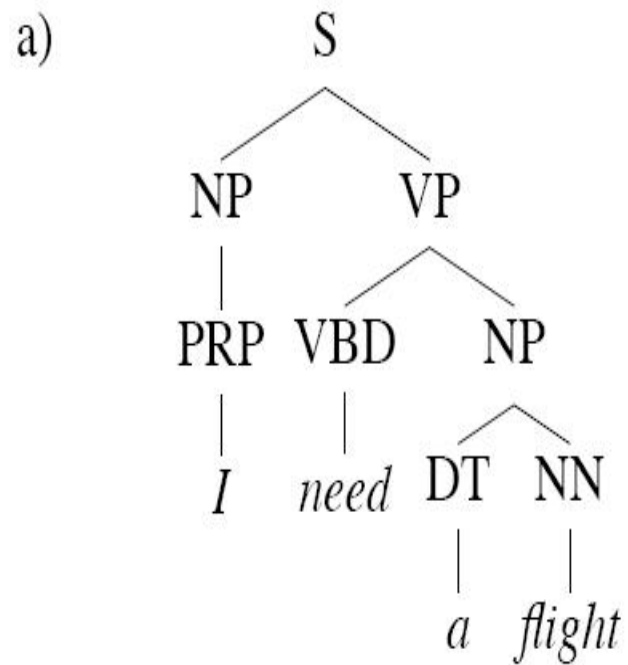
Parser Issues

- PCFGs make many (unwarranted) independence assumptions
 - Structural Dependency
 - NP -> Pronoun: much more likely in subject position
 - Lexical Dependency
 - Verb subcategorization
 - Coordination ambiguity

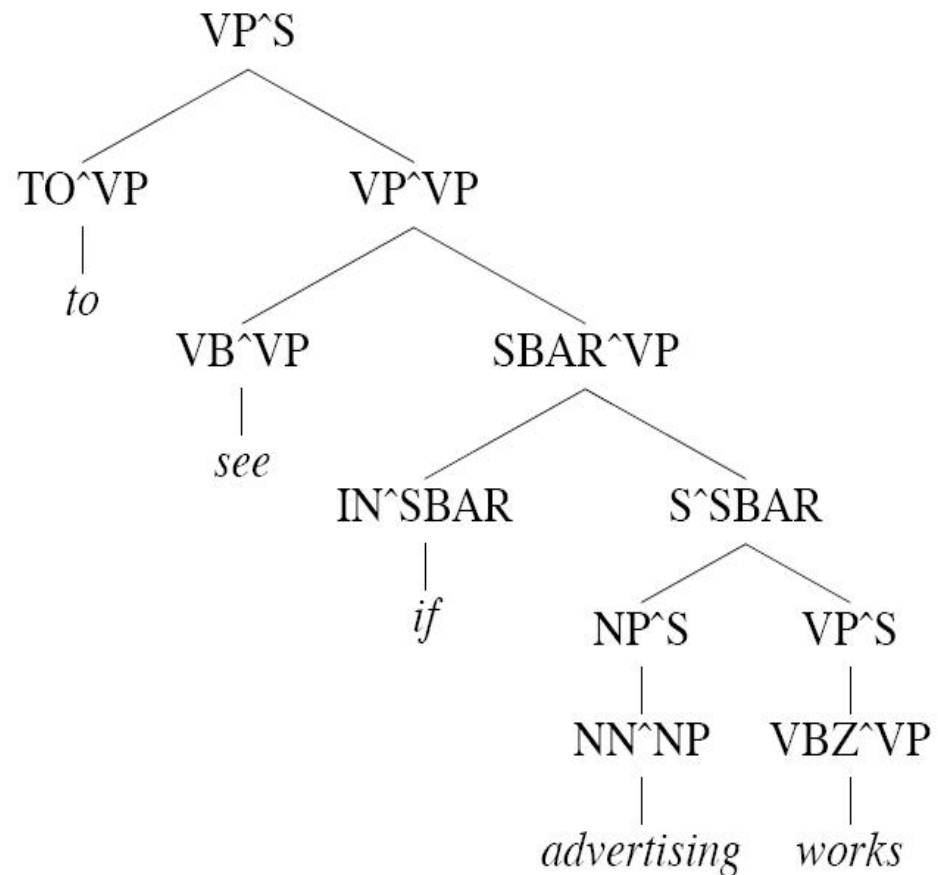
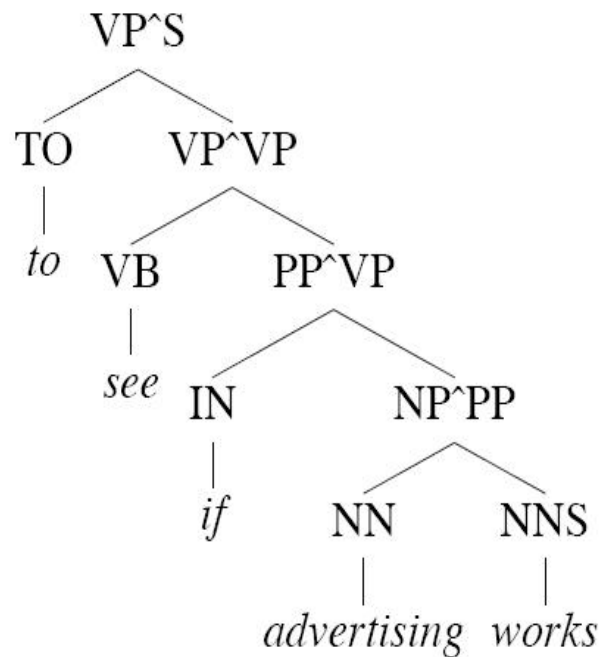
Improving PCFGs: Structural Dependencies

- How can we capture Subject/Object asymmetry?
 - E.g., $NP_{subj} \rightarrow Pron$ vs $NP_{obj} \rightarrow Pron$
- Parent annotation:
 - Annotate each node with parent in parse tree
 - E.g., NP^S vs NP^{VP}
 - Also annotate pre-terminals:
 - RB^{ADVP} vs RB^{VP}
 - IN^{SBAR} vs IN^{PP}
- Can also split rules on other conditions

Parent Annotation



Parent Annotation: Pre-terminals



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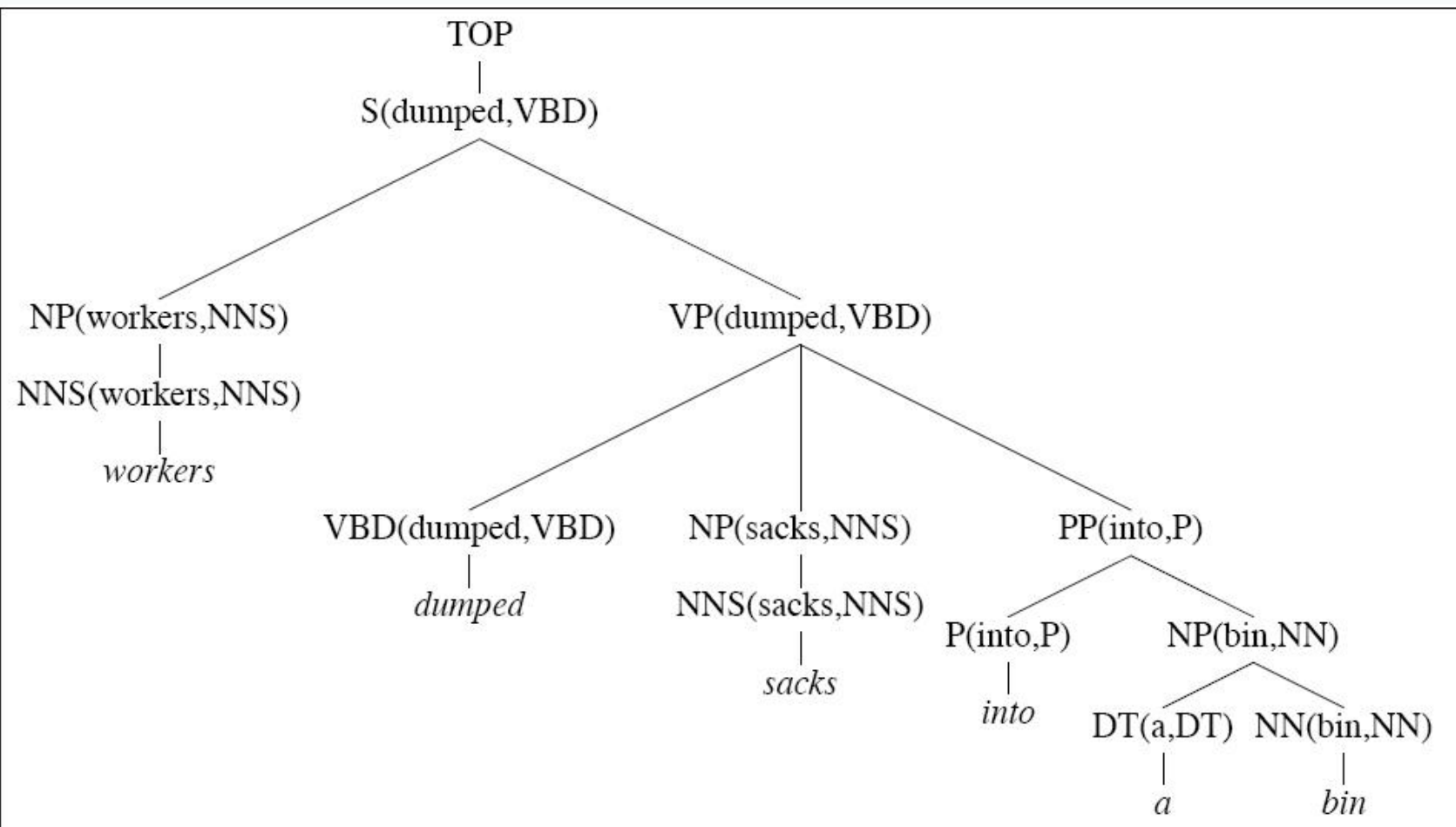
- Advantages:
 - Captures structural dependency in grammars
- Disadvantages:
 - Increases number of rules in grammar
 - Decreases amount of training per rule
 - Strategies to search for optimal # of rules

Improving PCFGs: Lexical Dependencies

- Lexicalized rules:
 - Best known parsers: Collins, Charniak parsers
 - Each non-terminal annotated with its lexical head
 - E.g. verb with verb phrase, noun with noun phrase
 - Each rule must identify RHS element as head
 - Heads propagate up tree
 - Conceptually like adding 1 rule per head value
 - VP(dumped) -> VBD(dumped)NP(sacks)PP(into)
 - VP(dumped) -> VBD(dumped)NP(cats)PP(into)

Lexicalized PCFGs

- Also, add head tag to non-terminals
 - Head tag: Part-of-speech tag of head word
 - $VP(\text{dumped}) \rightarrow VBD(\text{dumped})NP(\text{sacks})PP(\text{into})$
 - $VP(\text{dumped}, VBD) \rightarrow VBD(\text{dumped}, VBD)NP(\text{sacks}, NNS)PP(\text{into}, IN)$
- Two types of rules:
 - Lexical rules: pre-terminal \rightarrow word
 - Deterministic, probability 1
 - Internal rules: all other expansions
 - Must estimate probabilities



Internal Rules

TOP	→	S(dumped, VBD)	
S(dumped, VBD)	→	NP(workers, NNS)	VP(dumped, VBD)
NP(workers, NNS)	→	NNS(workers, NNS)	
VP(dumped, VBD)	→	VBD(dumped, VBD)	NP(sacks, NNS) PP(into, P)
PP(into, P)	→	P(into, P)	NP(bin, NN)
NP(bin, NN)	→	DT(a, DT)	NN(bin, NN)

Lexical Rules

NNS(workers, NNS)	→	workers
VBD(dumped, VBD)	→	dumped
NNS(sacks, NNS)	→	sacks
P(into, P)	→	into
DT(a, DT)	→	a
NN(bin, NN)	→	bin

PLCFGs

- Issue:

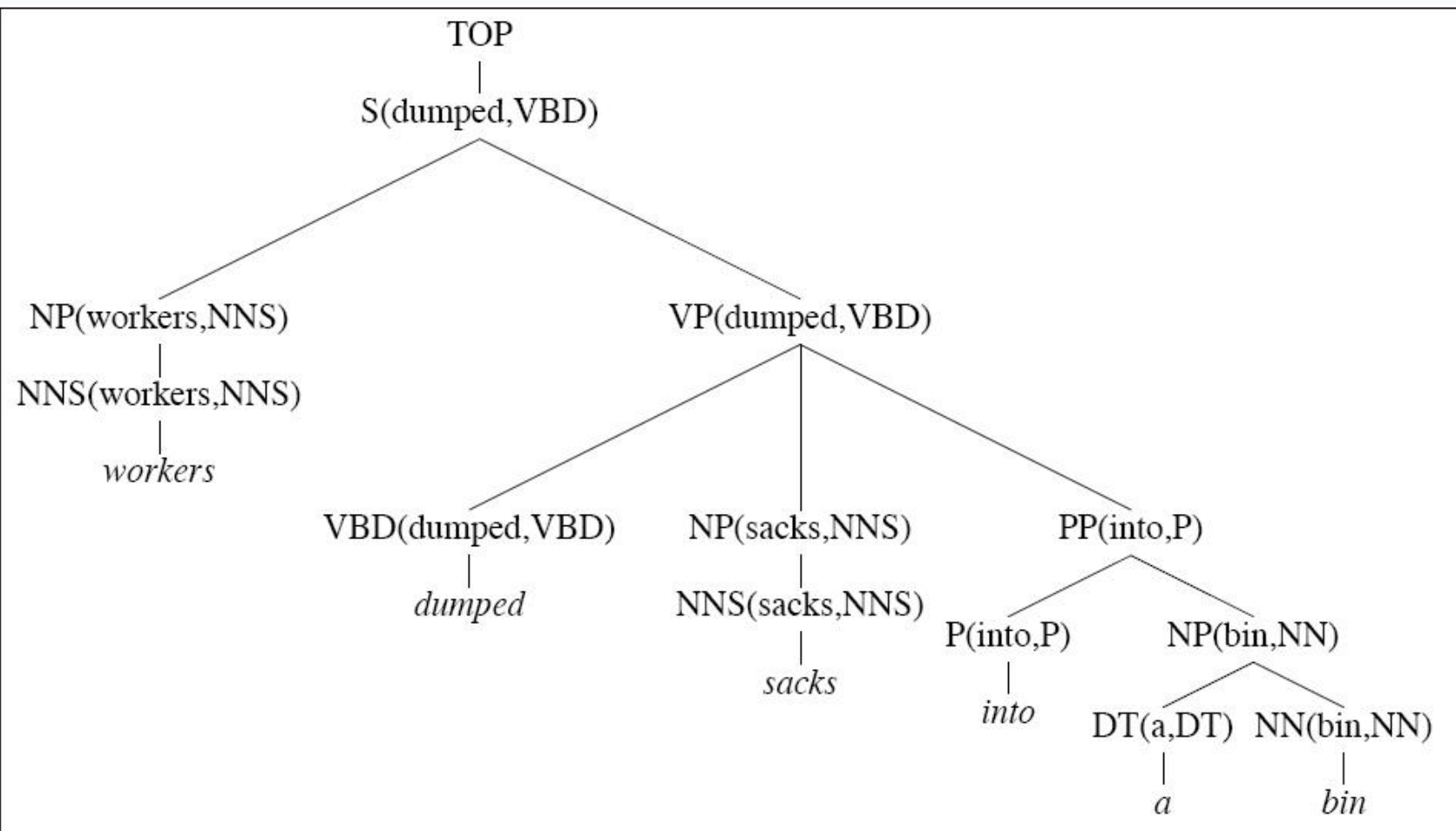
PLCFGs

- Issue: Too many rules
 - No way to find corpus with enough examples

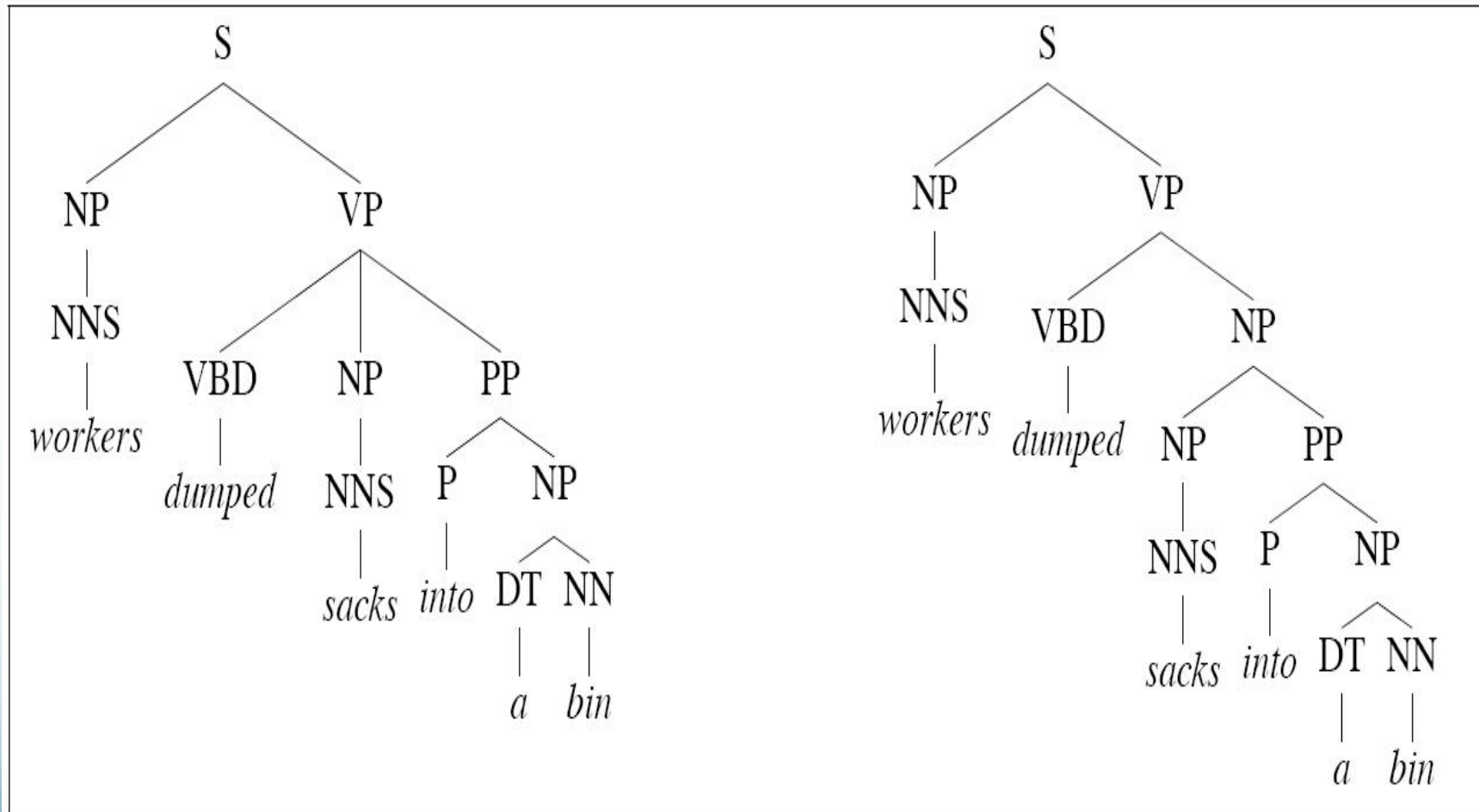
PLCFGs

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- (Partial) Solution: Independence assumed
 - Condition rule on
 - Category of LHS, head
 - Condition head on
 - Category of LHS and parent's head

$$P(T, S) = \prod_{n \in T} p(r(n) | n, h(n)) * p(h(n) | n, h(m(n)))$$



Disambiguation Example



Disambiguation Example

$$\begin{aligned} P(VP \rightarrow VBDNPPP \mid VP, \text{dumped}) \\ &= \frac{C(VP(\text{dumped}) \rightarrow VBDNPPP)}{\sum_{\beta} C(VP(\text{dumped}) \rightarrow \beta)} \\ &= 6/9 = 0.67 \end{aligned}$$

$$\begin{aligned} p(VP \rightarrow VBDNP \mid VP, \text{dumped}) \\ &= \frac{C(VP(\text{dumped}) \rightarrow VBDNP)}{\sum_{\beta} C(VP(\text{dumped}) \rightarrow \beta)} \\ &= 0/9 = 0 \end{aligned}$$

$$\begin{aligned} p(in \mid PP, \text{dumped}) \\ &= \frac{C(X(\text{dumped}) \rightarrow \dots PP(in) \dots)}{\sum_{\beta} C(X(\text{dumped}) \rightarrow \dots PP \dots)} \\ &= 2/9 = 0.22 \end{aligned}$$

$$\begin{aligned} p(in \mid PP, \text{sacks}) \\ &= \frac{C(X(\text{sacks}) \rightarrow \dots PP(in) \dots)}{\sum_{\beta} C(X(\text{sacks}) \rightarrow \dots PP \dots)} \\ &= 0/0 \end{aligned}$$