

PCFG Parsing, Evaluation, & Improvements

Ling 571

Deep Processing Techniques for NLP

January 28, 2014

Roadmap

- Parsing PCGFs:
 - Probabilistic CKY parsing
- Evaluation
 - Parseval
- Issues:
 - Positional and lexical independence assumptions
- Improvements:
 - Lexicalization: PLCFGs

Parsing Problem for PCFGs

- Select T such that:

$$\hat{T}(S) = \operatorname{argmax}_{Ts.t, S=\text{yield}(T)} P(T)$$

- String of words S is *yield* of parse tree over S
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- Extend existing algorithms: CKY & Earley
 - Most modern PCFG parsers based on CKY
 - Augmented with probabilities

Probabilistic CKY

- Like regular CKY
 - Assume grammar in Chomsky Normal Form (CNF)
 - Productions:
 - $A \rightarrow BC$ or $A \rightarrow w$
 - Represent input with indices b/t words
 - E.g., $_0$ Book $_1$ that $_2$ flight $_3$ through $_4$ Houston $_5$

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 - E.g., $_0$ Book $_1$ that $_2$ flight $_3$ through $_4$ Houston $_5$
- For input string length n and non-terminals V
 - Cell $[i,j,A]$ in $(n+1) \times (n+1) \times V$ matrix contains
 - Probability that constituent A spans $[i,j]$

Probabilistic CKY Algorithm

```
function PROBABILISTIC-CKY(words, grammar) returns most probable parse
                                     and its probability

for  $j \leftarrow$  from 1 to LENGTH(words) do
    for all  $\{ A \mid A \rightarrow \text{words}[j] \in \text{grammar} \}$ 
         $\text{table}[j-1, j, A] \leftarrow P(A \rightarrow \text{words}[j])$ 
    for  $i \leftarrow$  from  $j-2$  downto 0 do
        for  $k \leftarrow i+1$  to  $j-1$  do
            for all  $\{ A \mid A \rightarrow BC \in \text{grammar},$ 
                    and  $\text{table}[i, k, B] > 0$  and  $\text{table}[k, j, C] > 0 \}$ 
                if  $(\text{table}[i, j, A] < P(A \rightarrow BC) \times \text{table}[i, k, B] \times \text{table}[k, j, C])$  then
                     $\text{table}[i, j, A] \leftarrow P(A \rightarrow BC) \times \text{table}[i, k, B] \times \text{table}[k, j, C]$ 
                     $\text{back}[i, j, A] \leftarrow \{k, B, C\}$ 
    return BUILD_TREE( $\text{back}[1, \text{LENGTH}(\text{words}), S]$ ),  $\text{table}[1, \text{LENGTH}(\text{words}), S]$ 
```

PCKY Grammar Segment

$S \rightarrow NP VP$.80	$Det \rightarrow the$.40
$NP \rightarrow Det N$.30	$Det \rightarrow a$.40
$VP \rightarrow V NP$.20	$N \rightarrow meal$.01
$V \rightarrow includes$.05	$N \rightarrow flight$.02

PCKY Matrix:

The flight includes a meal

Det: 0.4				
[0,1]				

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Det: 0.4 [0,1]	NP: $0.3 \times 0.4 \times 0.02$ =.0024 [0,2]	[0,3]	[0,4]	
	N: 0.2 [1,2]	[1,3]	[1,4]	
		V: 0.05 [2,3]	[2,4]	
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	N: 0.2 [1,2]	[1,3]	[1,4]	
		V: 0.05 [2,3]	[2,4]	
			Det: 0.4 [3,4]	NP: $0.3 \times 0.4 \times 0.01$ =0.0012 [3,5]
				N: 0.01 [4,5]

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	N: 0.2 [1,2]	[1,3]	[1,4]	
		V: 0.05 [2,3]	[2,4]	VP: $0.2 \times 0.05 \times$ $0.0012 = 0.0$ 00012 [2,5]
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PCKY Matrix:

The flight includes a meal

Det: 0.4 [0,1]	NP: 0.3*0.4*0.02 =.0024 [0,2]	[0,3]	[0,4]	S: 0.8* 0.000012* 0.0024 [0,5]
	N: 0.2 [1,2]	[1,3]	[1,4]	[1,5]
		V: 0.05 [2,3]	[2,4]	VP: 0.2*0.05* 0.0012=0.0 00012 [2,5]
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Probabilistic Parser Development Paradigm

- Training:
 - (Large) Set of sentences with associated parses (Treebank)
 - E.g., Wall Street Journal section of Penn Treebank, sec 2-21
 - 39,830 sentences
 - Used to estimate rule probabilities

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- Test:
 - (Small-med) Set of sentences w/parses (WSJ, 23)
 - 2416 sentences
 - Held out, used for final evaluation

Parser Evaluation

- Assume a ‘gold standard’ set of parses for test set
- How can we tell how good the parser is?
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- How can we tell how good a parse is?
 - Maximally strict: identical to ‘gold standard’
 - Partial credit:
 - Constituents in output match those in reference
 - Same start point, end point, non-terminal symbol

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- How can we compute parse score from constituents?
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 - Labeled recall (LR):
 - # of correct constituents in hyp. parse
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- How can we compute parse score from constituents?
- Multiple measures:
 - Labeled recall (LR):
 - # of correct constituents in hyp. parse
 - # of constituents in reference parse
 - Labeled precision (LP):
 - # of correct constituents in hyp. parse
 - # of total constituents in hyp. parse

Parseval (cont'd)

- F-measure:
 - Combines precision and recall

$$F_{\beta} = \frac{(\beta^2 + 1)PR}{\beta^2(P + R)}$$

- F1-measure: $\beta = 1$ $F_1 = \frac{2PR}{(P + R)}$

- Crossing-brackets:
 - # of constituents where reference parse has bracketing ((A B) C) and hyp. has (A (B C))

Precision and Recall

- Gold standard
 - (S (NP (A a)) (VP (B b) (NP (C c)) (PP (D d)))))
- Hypothesis
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- LP: 4/5
- LR: 4/5
- F1: 4/5

State-of-the-Art Parsing

- Parsers trained/tested on *Wall Street Journal* PTB
 - LR: 90%;
 - LP: 90%;
 - Crossing brackets: 1%
- Standard implementation of Parseval: **evalb**

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- Constituents?

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 - Require conversion to PTB format
- Extrinsic evaluation
 - How well does this match semantics, etc?

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- Independence assumptions:
 - Rule expansion is context-independent
 - Allows us to multiply probabilities
- Is this valid?

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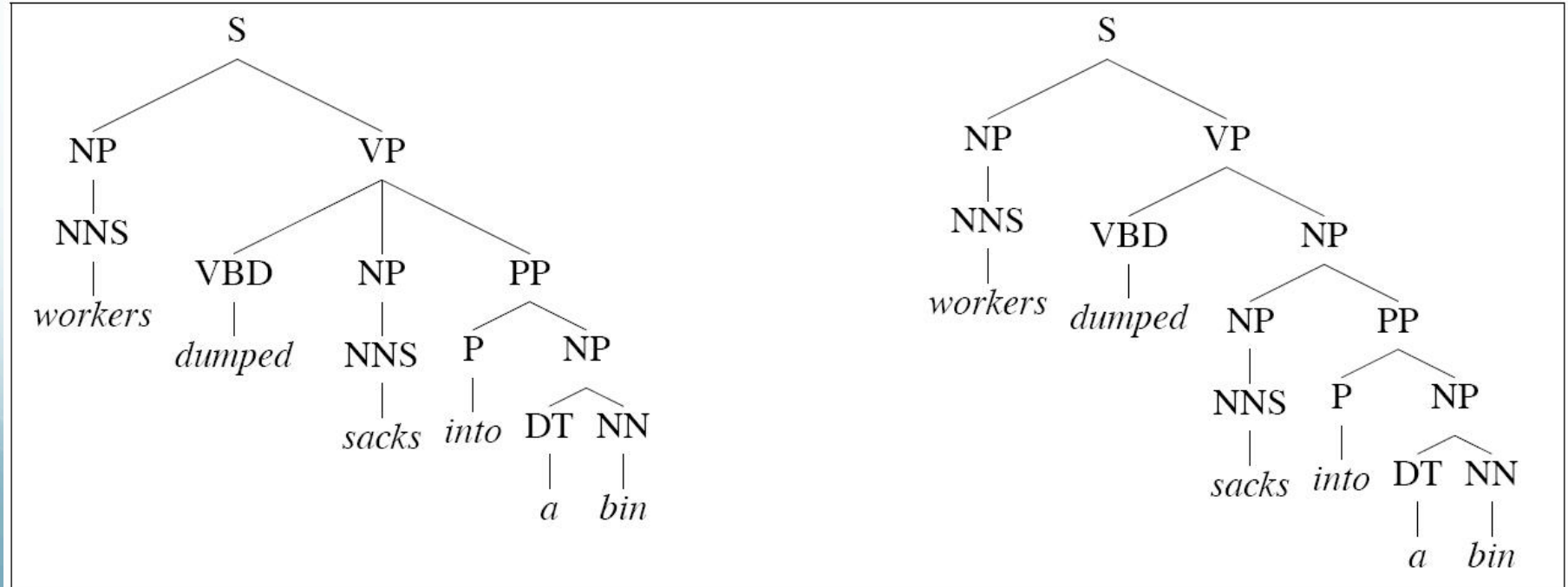
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- How can we handle this?
 - Condition on Subj/Obj with parent annotation

Issues with PCFGs

- Insufficient lexical conditioning
 - Present in pre-terminal rules
- Are there cases where other rules should be conditioned on words?

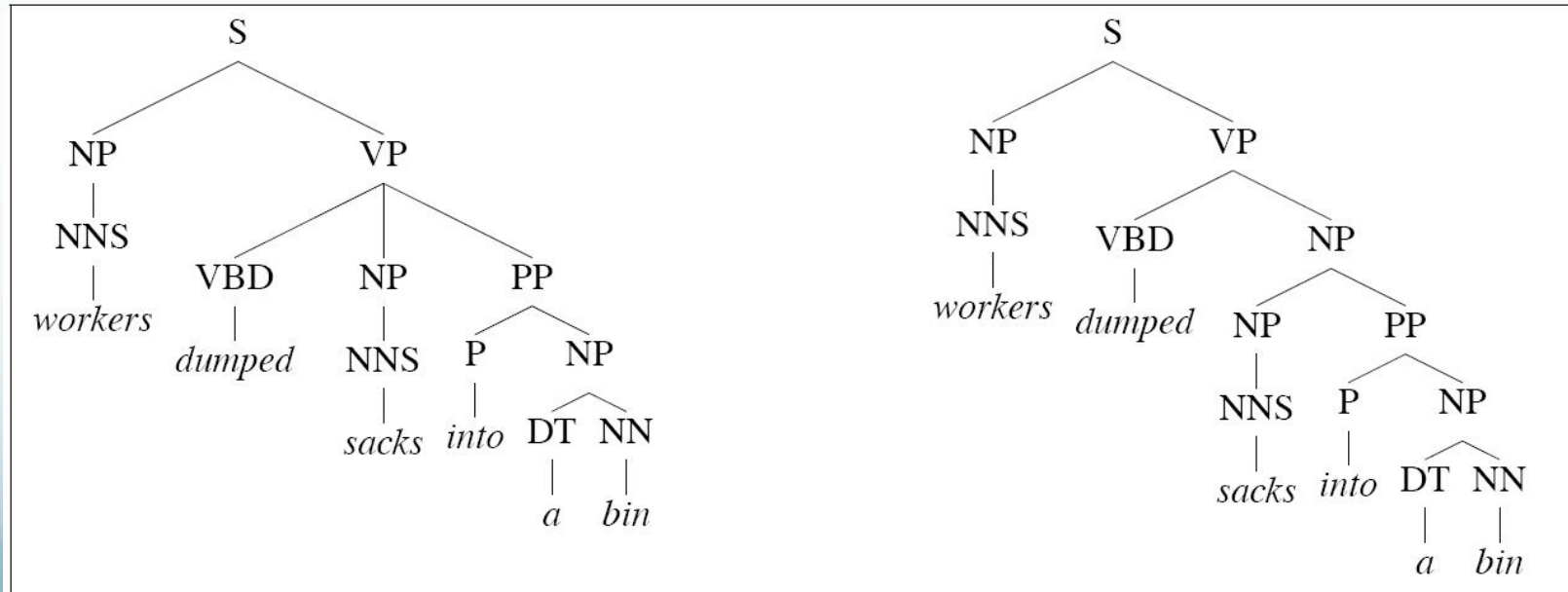
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Different verbs & prepositions have different attachment preferences

Parser Issues

- PCFGs make many (unwarranted) independence assumptions
 - Structural Dependency
 - NP -> Pronoun: much more likely in subject position
 - Lexical Dependency
 - Verb subcategorization
 - Coordination ambiguity

Improving PCFGs: Structural Dependencies

- How can we capture Subject/Object asymmetry?
 - E.g., $NP_{subj} \rightarrow \text{Pron}$ vs $NP_{obj} \rightarrow \text{Pron}$

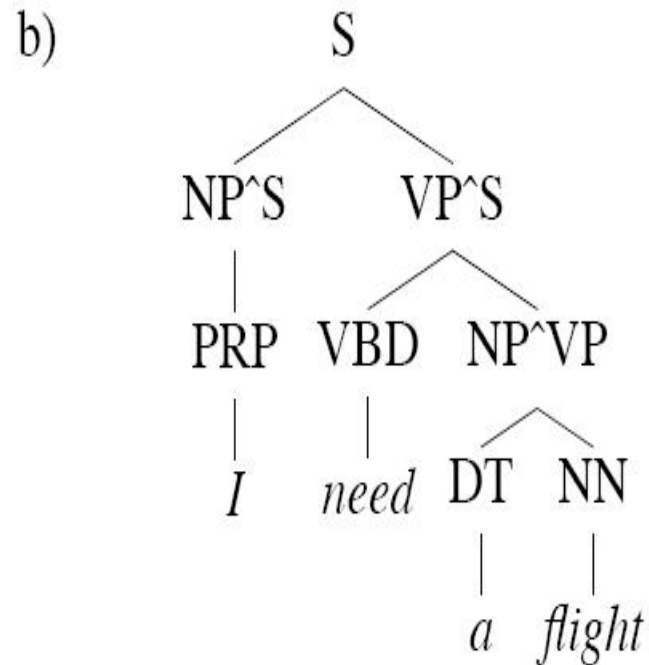
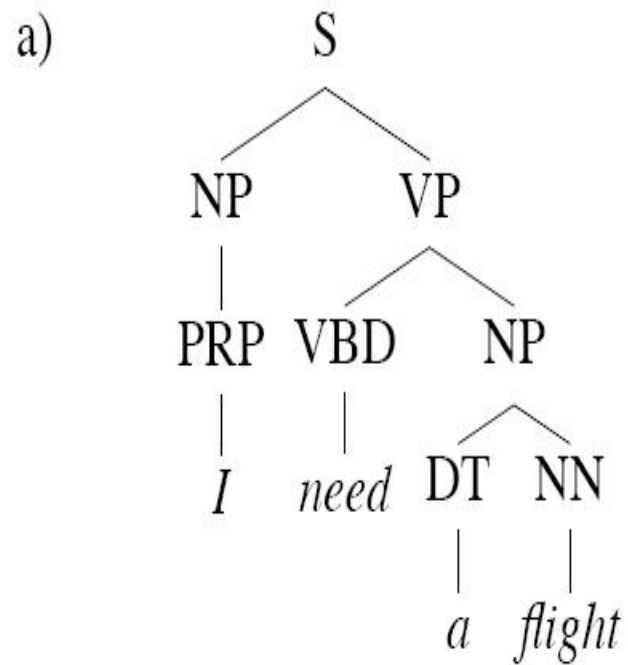
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 - E.g., NP^S vs NP^{VP}

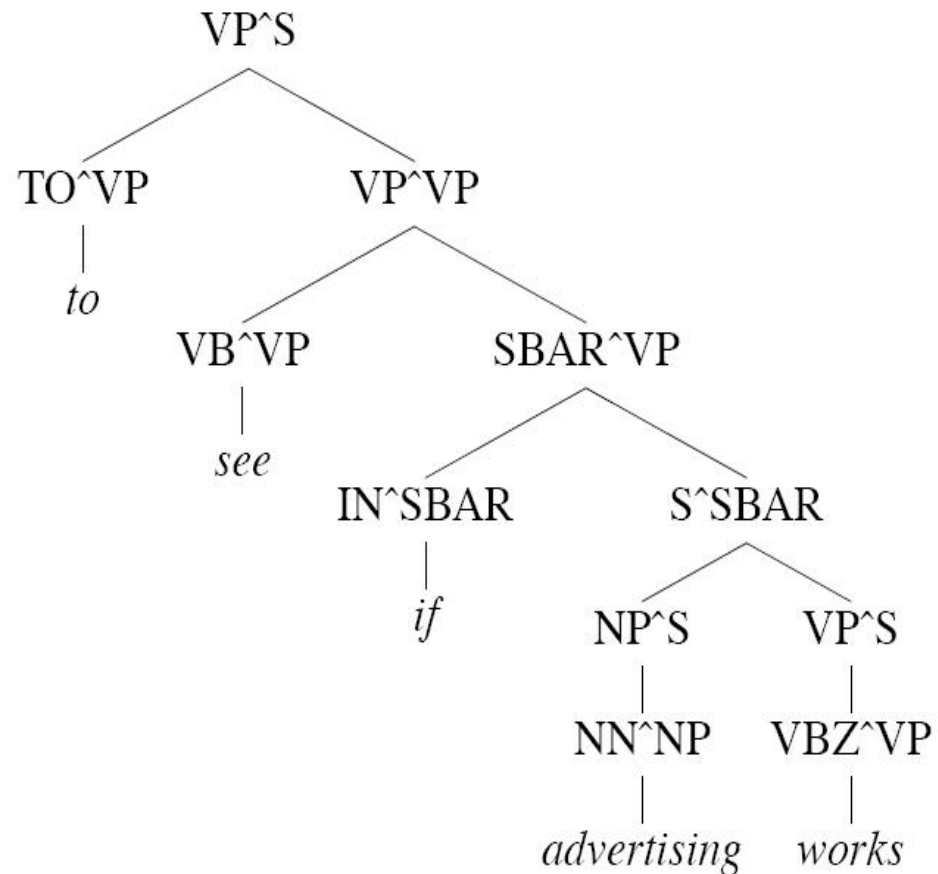
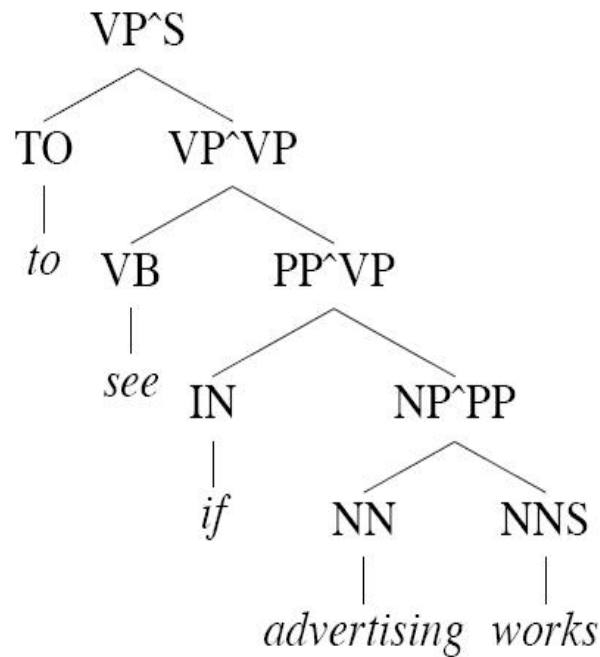
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 - E.g., NP^S vs NP^{VP}
 - Also annotate pre-terminals:
 - RB^{ADVP} vs RB^{VP}
 - IN^{SBAR} vs IN^{PP}
- Can also split rules on other conditions

Parent Annotation



Parent Annotation: Pre-terminals



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 - Decreases amount of training per rule
 - Strategies to search for optimal # of rules

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 - Heads propagate up tree
 - Conceptually like adding 1 rule per head value
 - VP(dumped) → VBD(dumped)NP(sacks)PP(into)
 - VP(dumped) → VBD(dumped)NP(cats)PP(into)

Lexicalized PCFGs

- Also, add head tag to non-terminals
 - Head tag: Part-of-speech tag of head word
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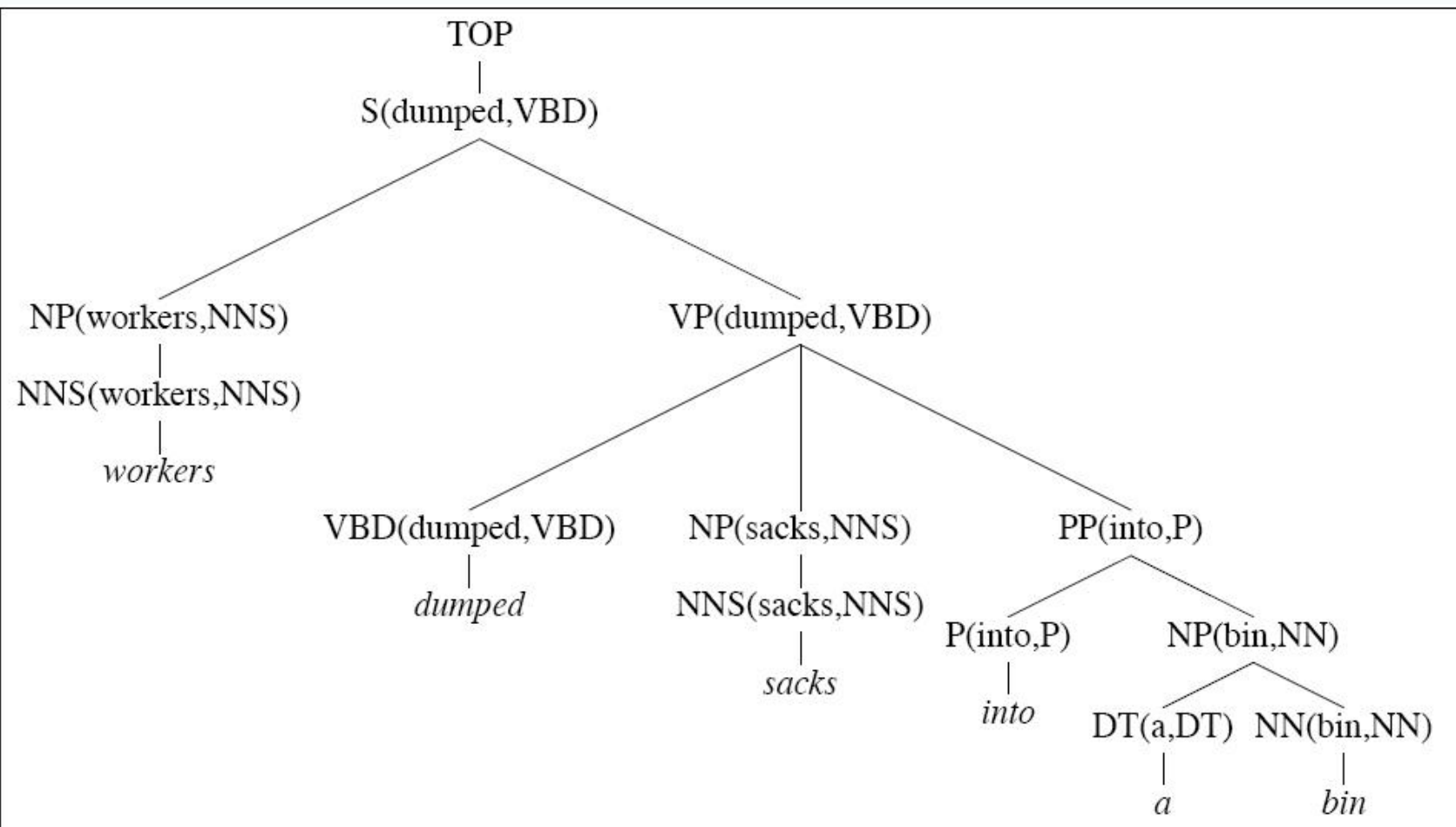
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- Two types of rules:
 - Lexical rules: pre-terminal \rightarrow word
 - Deterministic, probability 1
 - Internal rules: all other expansions
 - Must estimate probabilities



Internal Rules

TOP	→	S(dumped, VBD)	
S(dumped, VBD)	→	NP(workers, NNS)	VP(dumped, VBD)
NP(workers, NNS)	→	NNS(workers, NNS)	
VP(dumped, VBD)	→	VBD(dumped, VBD)	NP(sacks, NNS) PP(into, P)
PP(into, P)	→	P(into, P)	NP(bin, NN)
NP(bin, NN)	→	DT(a, DT)	NN(bin, NN)

Lexical Rules

NNS(workers, NNS)	→	workers
VBD(dumped, VBD)	→	dumped
NNS(sacks, NNS)	→	sacks
P(into, P)	→	into
DT(a, DT)	→	a
NN(bin, NN)	→	bin

PLCFGs

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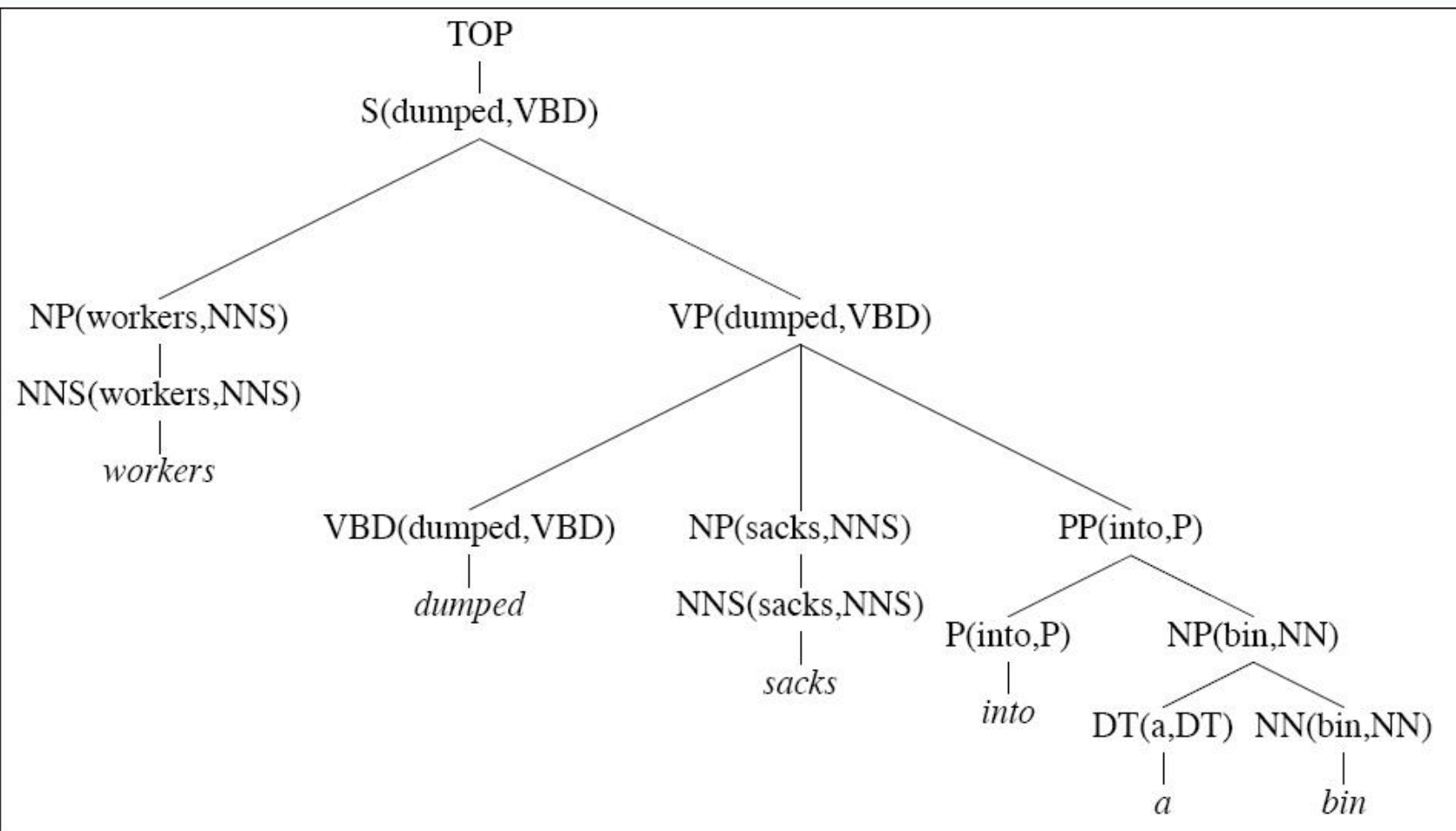
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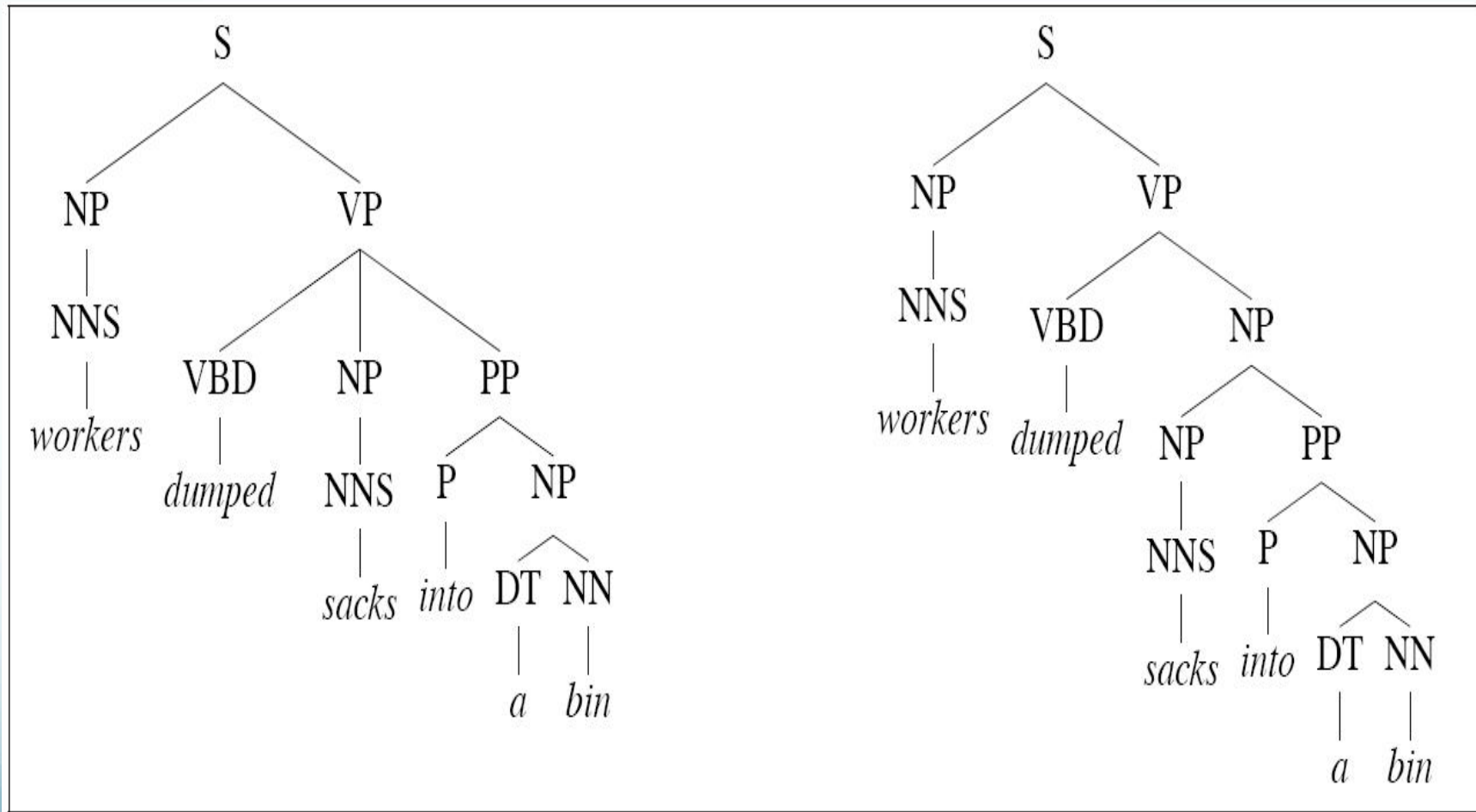
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$$P(T, S) = \prod_{n \in T} p(r(n) | n, h(n)) * p(h(n) | n, h(m(n)))$$



Disambiguation Example



Disambiguation Example

$$\begin{aligned} P(VP \rightarrow VBDNPPP \mid VP, \text{dumped}) \\ &= \frac{C(VP(\text{dumped}) \rightarrow VBDNPPP)}{\sum_{\beta} C(VP(\text{dumped}) \rightarrow \beta)} \\ &= 6/9 = 0.67 \end{aligned}$$

$$\begin{aligned} p(VP \rightarrow VBDNP \mid VP, \text{dumped}) \\ &= \frac{C(VP(\text{dumped}) \rightarrow VBDNP)}{\sum_{\beta} C(VP(\text{dumped}) \rightarrow \beta)} \\ &= 0/9 = 0 \end{aligned}$$

$$\begin{aligned} p(in \mid PP, \text{dumped}) \\ &= \frac{C(X(\text{dumped}) \rightarrow \dots PP(in) \dots)}{\sum_{\beta} C(X(\text{dumped}) \rightarrow \dots PP \dots)} \\ &= 2/9 = 0.22 \end{aligned}$$

$$\begin{aligned} p(in \mid PP, \text{sacks}) \\ &= \frac{C(X(\text{sacks}) \rightarrow \dots PP(in) \dots)}{\sum_{\beta} C(X(\text{sacks}) \rightarrow \dots PP \dots)} \\ &= 0/0 \end{aligned}$$

CNF Factorization & Markovization

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 - Converts n-ary branching to binary branching

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- CNF factorization:
 - Converts n-ary branching to binary branching
 - Can maintain information about original structure
 - Neighborhood history and parent
- Issue:
 - Potentially explosive

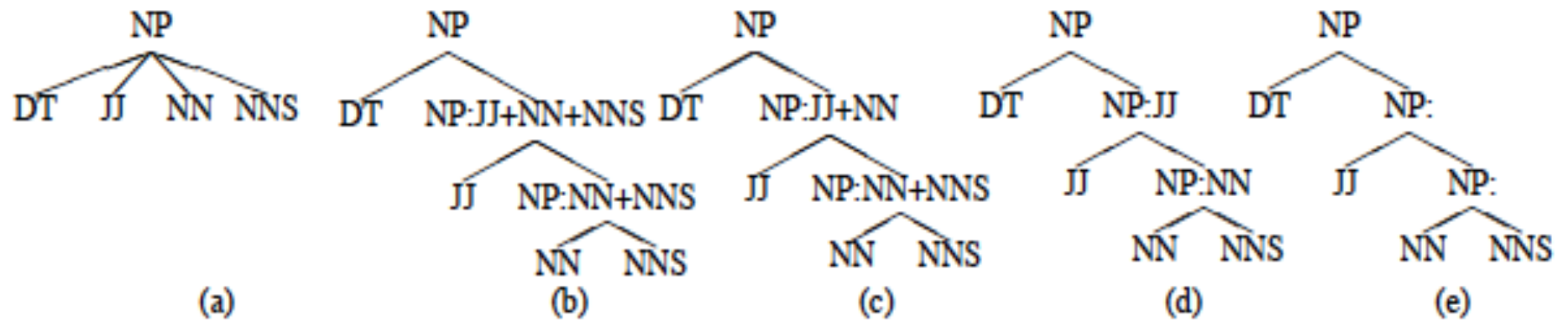
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 - Converts n-ary branching to binary branching
 - Can maintain information about original structure
 - Neighborhood history and parent
- Issue:
 - Potentially explosive
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- How much context should we keep?
 - What Markov order?

Different Markov Orders



Markovization & Costs

(Mohri & Roark 2006)

PCFG	Time (s)	Words/s	$ V $	$ P $	LR	LP	F
Right-factored	4848	6.7	10105	23220	69.2	73.8	71.5
Right-factored, Markov order-2	1302	24.9	2492	11659	68.8	73.8	71.3
Right-factored, Markov order-1	445	72.7	564	6354	68.0	73.0	70.5
Right-factored, Markov order-0	206	157.1	99	3803	61.2	65.5	63.3
Parent-annotated, Right-factored, Markov order-2	7510	4.3	5876	22444	76.2	78.3	77.2

Improving PCFGs: Tradeoffs

- Tensions:
 - Increase accuracy:
 - Increase specificity
 - E.g. Lexicalizing, Parent annotation, Markovization, etc
 - Increases grammar
 - Increases processing times
 - Increases training data requirements
- How can we balance?

Efficiency

- PCKY is $|G|n^3$
 - Grammar can be huge
 - Grammar can be extremely ambiguous
 - 100s of analyses not unusual, esp. for long sentences
- However, only care about best parses
 - Others can be pretty bad
- Can we use this to improve efficiency?

Beam Thresholding

- Inspired by beam search algorithm
- Assume low probability partial parses unlikely to yield high probability overall
 - Keep only top k most probably partial parses
 - Retain only k choices per cell
 - For large grammars, could be 50 or 100
 - For small grammars, 5 or 10

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 - Low relative probability:
 - Exclude x if there exists y s.t. $p(y) > 100 * p(x)$

Notes on HW#3

- Outline:
 - Induce grammar from (small) treebank
 - Implement Probabilistic CKY
 - Evaluate parser
 - Improve parser

Treebank Format

- Adapted from Penn Treebank Format
 - Rules simplified:
 - Removed traces and other null elements
 - Removed complex tags
 - Reformatted POS tags as non-terminals

Reading the Parses

- POS unary collapse:
 - (NP_NNP Ontario)
 - was
 - (NP (NNP Ontario))
- Binarization:
 - $VP \rightarrow VP' PP$; $VP' \rightarrow VB PP$
 - Was
 - $VP \rightarrow VB PP PP$



Start Early!