# Semantic Roles & Semantic Role Labeling

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Deep Processing Techniques for NLP
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# Roadmap

- Semantic role labeling (SRL):
  - Motivation:
    - Between deep semantics and slot-filling
  - Thematic roles
  - Thematic role resources
    - PropBank, FrameNet
  - Automatic SRL approaches

# Semantic Analysis

- Two extremes:
  - Full, deep compositional semantics
    - Creates full logical form
    - Links sentence meaning representation to logical world model representation
    - Powerful, expressive, Al-complete
  - Domain-specific slot-filling:
    - Common in dialog systems, IE tasks
    - Narrowly targeted to domain/task
    - Often pattern-matching
    - Low cost, but lacks generality, richness, etc

# Semantic Role Labeling

- Typically want to know:
  - Who did what to whom, where, when, and how
- Intermediate level:
  - Shallower than full deep composition
  - Abstracts away (somewhat) from surface form
  - Captures general predicate-argument structure info
  - Balance generality and specificity

# Example

- Yesterday Tom chased Jerry.
- Yesterday Jerry was chased by Tom.
- Tom chased Jerry yesterday.
- Jerry was chased yesterday by Tom.
- Semantic roles:
  - Chaser: Tom
  - ChasedThing: Jerry
  - TimeOfChasing: yesterday
- Same across all sentence forms

#### Full Event Semantics

- Neo-Davidsonian style:
  - exists e. Chasing(e) & Chaser(e,Tom) & ChasedThing(e,Jerry) & TimeOfChasing(e,Yesterday)
- Same across all examples
- Roles: Chaser, ChasedThing, TimeOfChasing
  - Specific to verb "chase"
  - Aka "Deep roles"

#### Issues

- Challenges:
  - How many roles for a language?
    - Arbitrarily many deep roles
      - Specific to each verb's event structure
  - How can we acquire these roles?
    - Manual construction?
    - Some progress on automatic learning
      - Still only successful on limited domains (ATIS, geography)
  - Can we capture generalities across verbs/events?
    - Not really, each event/role is specific
- Alternative: thematic roles

#### Thematic Roles

- Describe semantic roles of verbal arguments
  - Capture commonality across verbs
  - E.g. subject of break, open is AGENT
    - AGENT: volitional cause
    - THEME: things affected by action
  - Enables generalization over surface order of arguments
    - John<sub>AGENT</sub> broke the window<sub>THEME</sub>
    - The rock<sub>INSTRUMENT</sub> broke the window<sub>THEME</sub>
    - The window<sub>THEME</sub> was broken by John<sub>AGENT</sub>

#### Thematic Roles

- Thematic grid,  $\theta$ -grid, case frame
  - Set of thematic role arguments of verb
    - E.g. Subject: AGENT; Object: THEME, or
    - Subject: INSTR; Object: THEME
- Verb/Diathesis Alternations
  - Verbs allow different surface realizations of roles
    - Doris<sub>AGENT</sub> gave the book<sub>THEME</sub> to Cary<sub>GOAL</sub>
    - Doris<sub>AGENT</sub> gave Cary<sub>GOAL</sub> the book<sub>THEME</sub>
  - Group verbs into classes based on shared patterns

# Canonical Roles

| Thematic Role | Example  |
|---------------|--|
| AGENT         | The waiter spilled the soup.                                 |
| EXPERIENCER   | John has a headache.   |
| FORCE         | The wind blows debris from the mall into our yards.          |
| THEME         | Only after Benjamin Franklin broke the ice                   |
| RESULT        | The French government has built a regulation-size baseball   |
|               | diamond  |
| CONTENT       | Mona asked "You met Mary Ann at a supermarket?"              |
| INSTRUMENT    | He turned to poaching catfish, stunning them with a shocking |
|               | device   |
| BENEFICIARY   | Whenever Ann Callahan makes hotel reservations for her boss  |
| SOURCE        | I flew in from Boston.                                       |
| GOAL          | I drove to Portland.   |

#### Thematic Role Issues

- Hard to produce
  - Standard set of roles
    - Fragmentation: Often need to make more specific
      - E,g, INSTRUMENTS can be subject or not
  - Standard definition of roles
    - Most AGENTs: animate, volitional, sentient, causal
    - But not all....
- Strategies:
  - Generalized semantic roles: PROTO-AGENT/PROTO-PATIENT
    - Defined heuristically: PropBank
  - Define roles specific to verbs/nouns: FrameNet

### PropBank

- Sentences annotated with semantic roles
  - Penn and Chinese Treebank
  - Roles specific to verb sense
    - Numbered: Arg0, Arg1, Arg2,...
      - Arg0: PROTO-AGENT; Arg1: PROTO-PATIENT, etc
      - > 1: Verb-specific
  - E.g. agree.01
    - Arg0: Agreer
    - Arg1: Proposition
    - Arg2: Other entity agreeing
    - Ex1: [Arg0 The group] agreed [Arg1 it wouldn't make an offer]

# Propbank

- Resources:
  - Annotated sentences
    - Started w/Penn Treebank
    - Now: Google answerbank, SMS, webtext, etc
      - Also English and Arabic
  - Framesets:
    - Per-sense inventories of roles, examples
    - Span verbs, adjectives, nouns (e.g. event nouns)
- http://verbs.colorado.edu/propbank
- Recent status:
  - 5940 verbs w/ 8121 framesets;
  - 1880 adjectives w/2210 framesets

#### FrameNet (Fillmore et al)

- Key insight:
  - Commonalities not just across diff't sentences w/same verb but across different verbs (and nouns and adjs)
- PropBank
  - [Arg0 Big Fruit Co.] increased [Arg1 the price of bananas].
  - [Arg1 The price of bananas] was increased by [Arg0 BFCo].
  - [Arg1 The price of bananas] increased [Arg2 5%].
- FrameNet
  - [ATTRIBUTE The price] of [ITEM bananas] increased [DIFF 5%].
  - [ATTRIBUTE The price] of [ITEM bananas] rose [DIFF 5%].
  - There has been a [DIFF 5%] rise in [ATTRIBUTE the price] of [ITEM bananas].

#### FrameNet

- Semantic roles specific to Frame
  - Frame: script-like structure, roles (frame elements)
  - E.g. change\_position\_on\_scale: increase, rise
    - Attribute, Initial\_value, Final\_value
  - Core, non-core roles
  - Relationships b/t frames, frame elements
    - Add causative: cause\_change\_position\_on\_scale

# Change of position on scale

| VERBS:   | dwindle   | move      | soar     | escalation  | shift        |
|----------|-----------|-----------|----------|-------------|--------------|
| advance  | edge      | mushroom  | swell    | explosion   | tumble       |
| climb    | explode   | plummet   |          | fall        |              |
| decline  | fall      | reach     | triple   | fluctuation | ADVERBS:     |
| decrease | fluctuate | rise      | tumble   | gain        | increasingly |
| diminish | gain      | rocket    |          | growth      |              |
| dip      | grow      | shift     | NOUNS:   | hike        |              |
| double   | increase  | skyrocket | decline  | increase    |              |
| drop     | jump      | slide     | decrease | rise        |              |

| Core Roles          |  |  |  |  |
|---------------------|--|--|--|--|
| ATTRIBUTE           | The ATTRIBUTE is a scalar property that the ITEM possesses.      |  |  |  |
| DIFFERENCE          | The distance by which an ITEM changes its position on the        |  |  |  |
|                     | scale.   |  |  |  |
| FINAL_STATE         | A description that presents the ITEM's state after the change in |  |  |  |
|                     | the ATTRIBUTE's value as an independent predication.             |  |  |  |
| FINAL_VALUE         | The position on the scale where the ITEM ends up.                |  |  |  |
| INITIAL_STATE       | A description that presents the ITEM's state before the change   |  |  |  |
|                     | in the ATTRIBUTE's value as an independent predication.          |  |  |  |
| INITIAL_VALUE       | The initial position on the scale from which the ITEM moves      |  |  |  |
|                     | away.  |  |  |  |
| ITEM                | The entity that has a position on the scale.                     |  |  |  |
| VALUE_RANGE         | A portion of the scale, typically identified by its end points,  |  |  |  |
|                     | along which the values of the ATTRIBUTE fluctuate.               |  |  |  |
| Some Non-Core Roles |  |  |  |  |
| DURATION            | The length of time over which the change takes place.            |  |  |  |
| SPEED               | The rate of change of the VALUE.                                 |  |  |  |
| GROUP               | The GROUP in which an ITEM changes the value of an               |  |  |  |
|                     | ATTRIBUTE in a specified way.                                    |  |  |  |

#### FrameNet

- Current status:
  - 1216 frames
  - ~13500 lexical units (mostly verbs, nouns)
  - Annotations over:
    - Newswire (WSJ, AQUAINT)
    - American National Corpus
- Under active development
- Still only ~6K verbs, limited coverage

# Semantic Role Labeling

- Aka Thematic role labeling, shallow semantic parsing
- Form of predicate-argument extraction
- Task:
  - For each predicate in a sentence:
    - Identify which constituents are arguments of the predicate
    - Determine correct role for each argument
- Both PropBank, FrameNet used as targets
- Potentially useful for many NLU tasks:
  - Demonstrated usefulness in Q&A, IE

### SRL in QA

- Intuition:
  - Surface forms obscure Q&A patterns
  - Q: What year did the U.S. buy Alaska?
  - $S_A$ :...before Russia sold Alaska to the United States in 1867
  - Learn surface text patterns?
    - Long distance relations, require huge # of patterns to find
  - Learn syntactic patterns?
    - Different lexical choice, different dependency structure

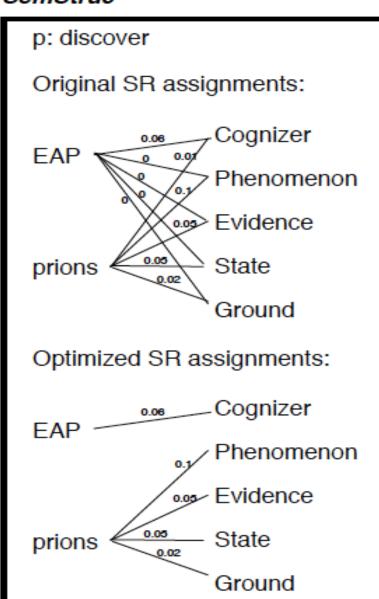
# Semantic Roles & QA

- Approach:
  - Perform semantic role labeling
    - FrameNet
  - Perform structural and semantic role matching
  - Use role matching to select answer

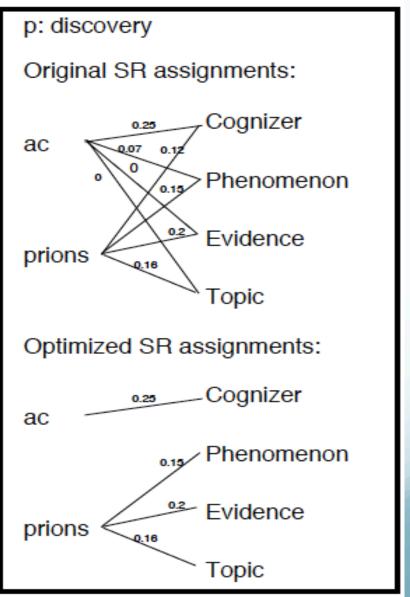
Q: Who discovered prions?

S: 1997: Stanley B. Prusiner, United States, discovery of prions, ...

#### SemStruc 9



SemStruc ac (ac: Stanley B. Prusiner)



# Summary

- FrameNet and QA:
  - FrameNet still limited (coverage/annotations)
  - Bigger problem is lack of alignment b/t Q & A frames
- Even if limited,
  - Substantially improves where applicable
  - Useful in conjunction with other QA strategies
  - Soft role assignment, matching key to effectiveness

#### SRL Subtasks

- Argument identification:
  - The [San Francisco Examiner] issued [a special edition] [yesterday].
  - Which spans are arguments?
    - In general (96%), arguments are (gold) parse constituents
    - 90% arguments are aligned w/auto parse constituents
- Role labeling:
  - The  $[Arg_0]$ San Francisco Examiner] issued  $[Arg_1]$ a special edition]  $[Arg_0]$ H-TMP yesterday].

# Semantic Role Complexities

- Discontinuous arguments:
  - [Arg1 The pearls], [Arg0 she] said, [C-Arg1 are fake].
- Arguments can include referents/pronouns:
  - [Arg0 The pearls], [R-Arg0 that] are [Arg1 fake]

#### SRL over Parse Tree

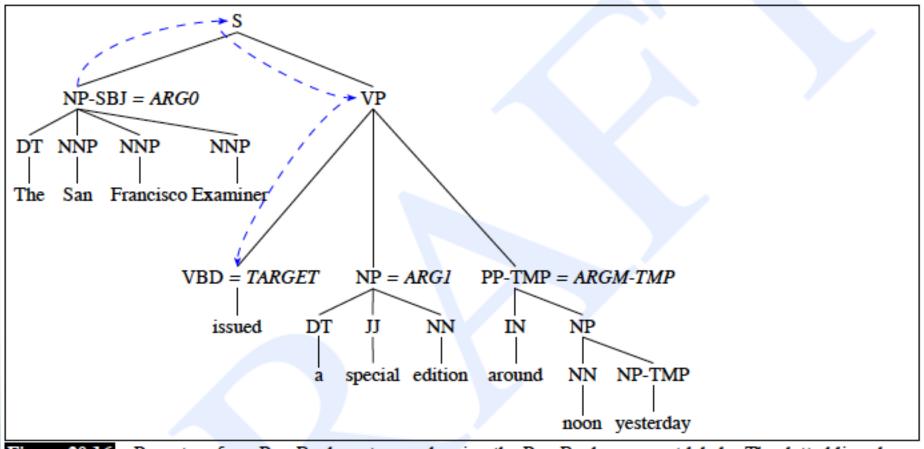


Figure 20.16 Parse tree for a PropBank sentence, showing the PropBank argument labels. The dotted line shows the path feature NP↑S↓VP↓VBD for ARG0, the NP-SBJ constituent the San Francisco Examiner.

### Basic SRL Approach

- Generally exploit supervised machine learning
- Parse sentence (dependency/constituent)
  - For each predicate in parse:
    - For each node in parse:
      - Create a feature vector representation
      - Classify node as semantic role (or none)
- Much design in terms of features for classification

#### Classification Features

- Gildea & Jurafsky, 2002 (foundational work)
  - Employed in most SRL systems
- Features:
  - specific to candidate constituent argument
  - for predicate generally
- Governing predicate:
  - Nearest governing predicate to the current node
    - Verbs usually (also adj, noun in FrameNet)
    - E.g. 'issued'
  - Crucial: roles determined by predicate

#### SRL Features

- Constituent internal information:
  - Phrase type:
    - Parse node dominating this constituent
      - E.g. NP
    - Different roles tend to surface as different phrase types
  - Head word:
    - E.g. Examiner
    - Words associated w/specific roles e.g. pronouns as agents
  - POS of head word:
    - E.g. NNP

#### SRL Features

- Structural features:
  - Path: Sequence of parse nodes from const to pred
    - E.g. NP↑S↓VP↓VBD
      - Arrows indicate direction of traversal
    - Can capture grammatical relations
  - Linear position:
    - Binary: Is constituent before or after predicate
      - E.g. before
  - Voice:
    - Active or passive of clause where constituent appears
      - E.g. active (strongly influences other order, paths, etc)
  - Verb subcategorization

#### Other SRL Constraints

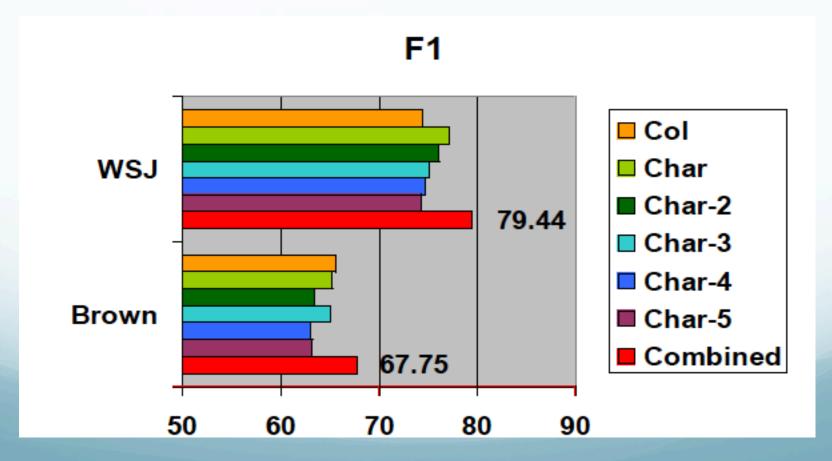
- Many other features employed in SRL
  - E.g. NER on constituents, neighboring words, path info
- Global Labeling constraints:
  - Non-overlapping arguments:
    - FrameNet, PropBank both require
  - No duplicate roles:
    - Labeling of constituents is not independent
      - Assignment to one constituent changes probabilities for others

# Classification Approaches

- Many SRL systems use standard classifiers
  - E.g. MaxEnt, SVM
  - However, hard to effectively exploit global constraints
- Alternative approaches
  - Classification + reranking
  - Joint modeling
  - Integer Linear Programming (ILP)
    - Allows implementation of global constraints over system

#### State-of-the-Art

- Best system from CoNLL shared task (PropBank)
  - ILP-based system (Punyakanok)



# FrameNet "Parsing"

- (Das et al., 2014)
- Identify targets that evoke frames
  - ~ 79.2% F-measure
- Classify targets into frames
  - 61% for exact match
- Identify arguments
  - ~ 50%

# SRL Challenges

- Open issues:
  - SRL degrades significantly across domains
    - E.g. WSJ → Brown: Drops > 12% F-measure
  - SRL depends heavily on effectiveness of other NLP
    - E.g. POS tagging, parsing, etc
    - Errors can accumulate
  - Coverage/generalization remains challenging
    - Resource coverage still gappy (FrameNet, PropBank)
- Publicly available implementations:
  - Shalmaneser, SEMAFOR