

# CKY Parsing

Ling571

Deep Processing Approaches to NLP

January 11, 2017

# Roadmap

- Motivation:
  - Inefficiencies of parsing-as-search
- Strategy: Dynamic Programming
- Chomsky Normal Form
  - Weak and strong equivalence
- CKY parsing algorithm

# Bottom-Up Parsing

- Try to find all trees that span the input
  - Start with input string
    - Book that flight.
  - Use all productions with current subtree(s) on RHS
    - E.g.,  $N \rightarrow \text{Book}$ ;  $V \rightarrow \text{Book}$
- Stop when spanned by S (or no more rules apply)

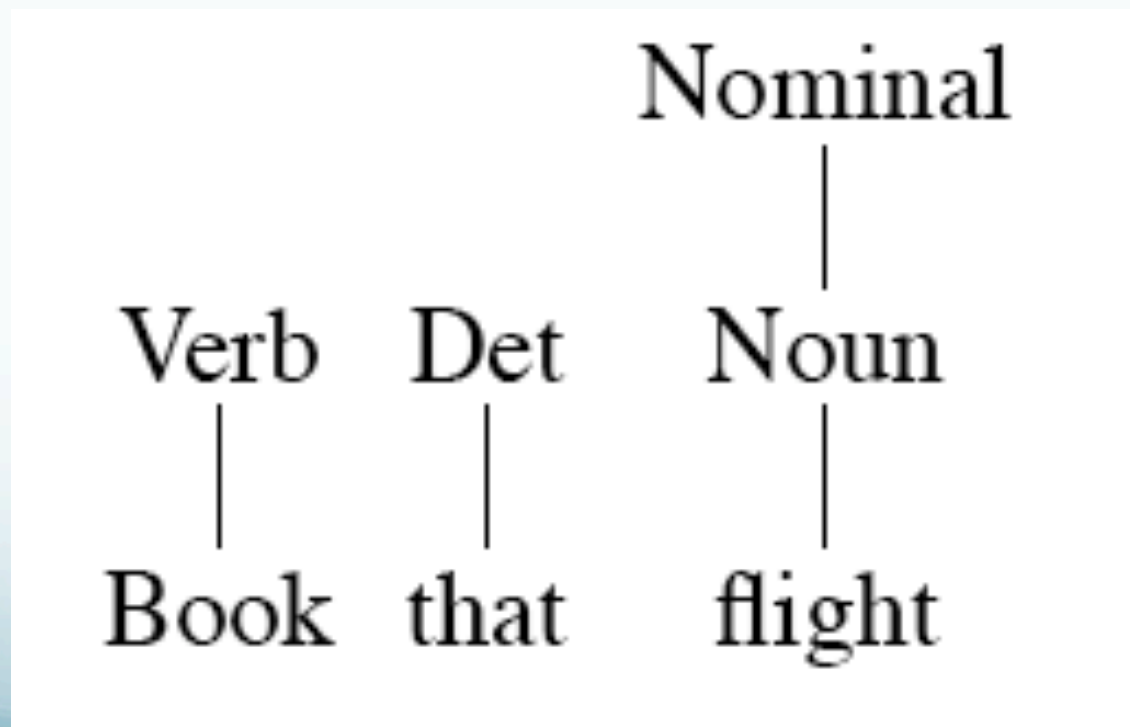
# Bottom-Up Search

Book that flight

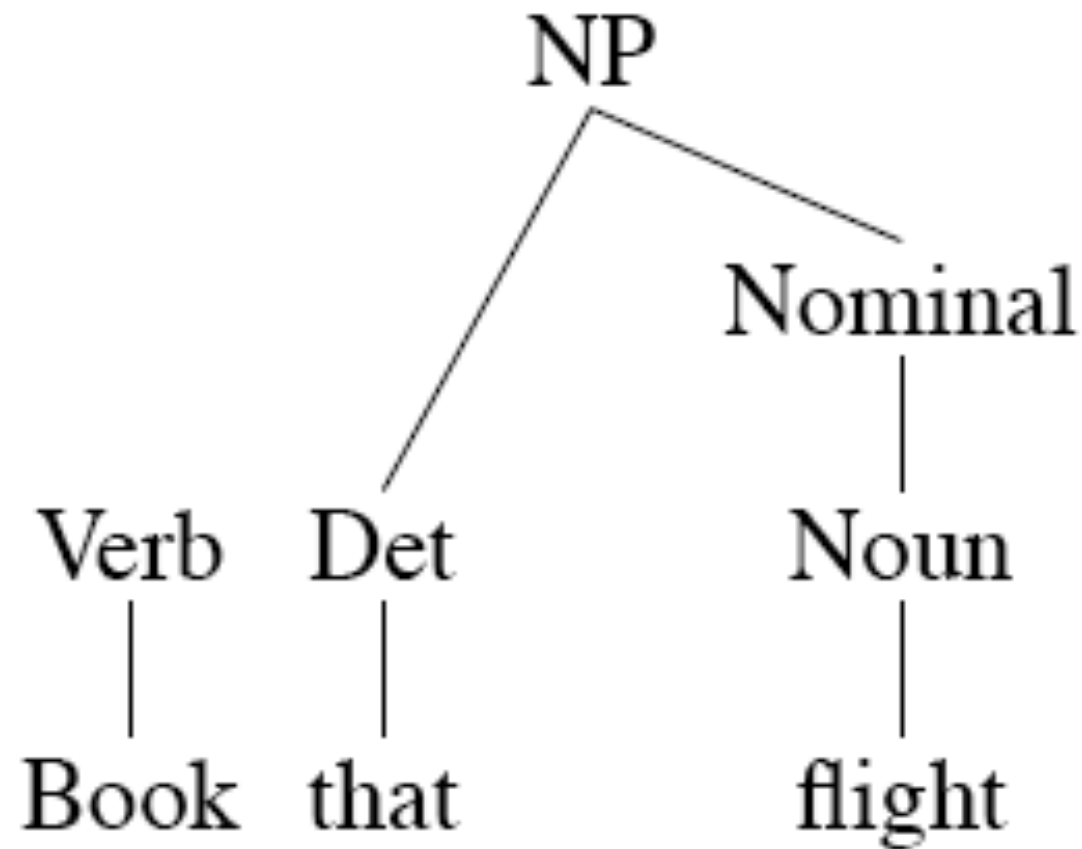
# Bottom-Up Search

Verb	Det	Noun
Book	that	flight

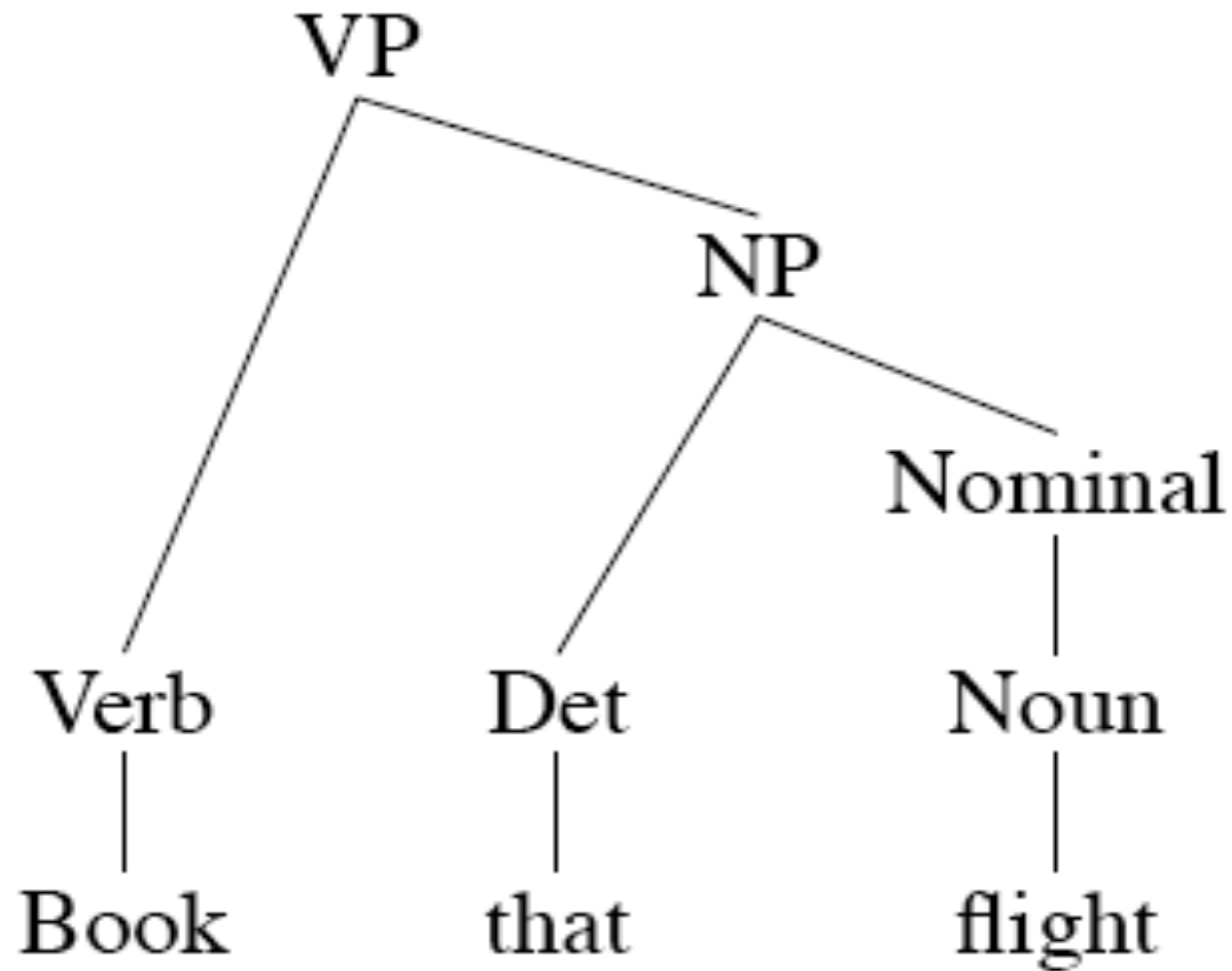
# Bottom-Up Search



# Bottom-Up Search

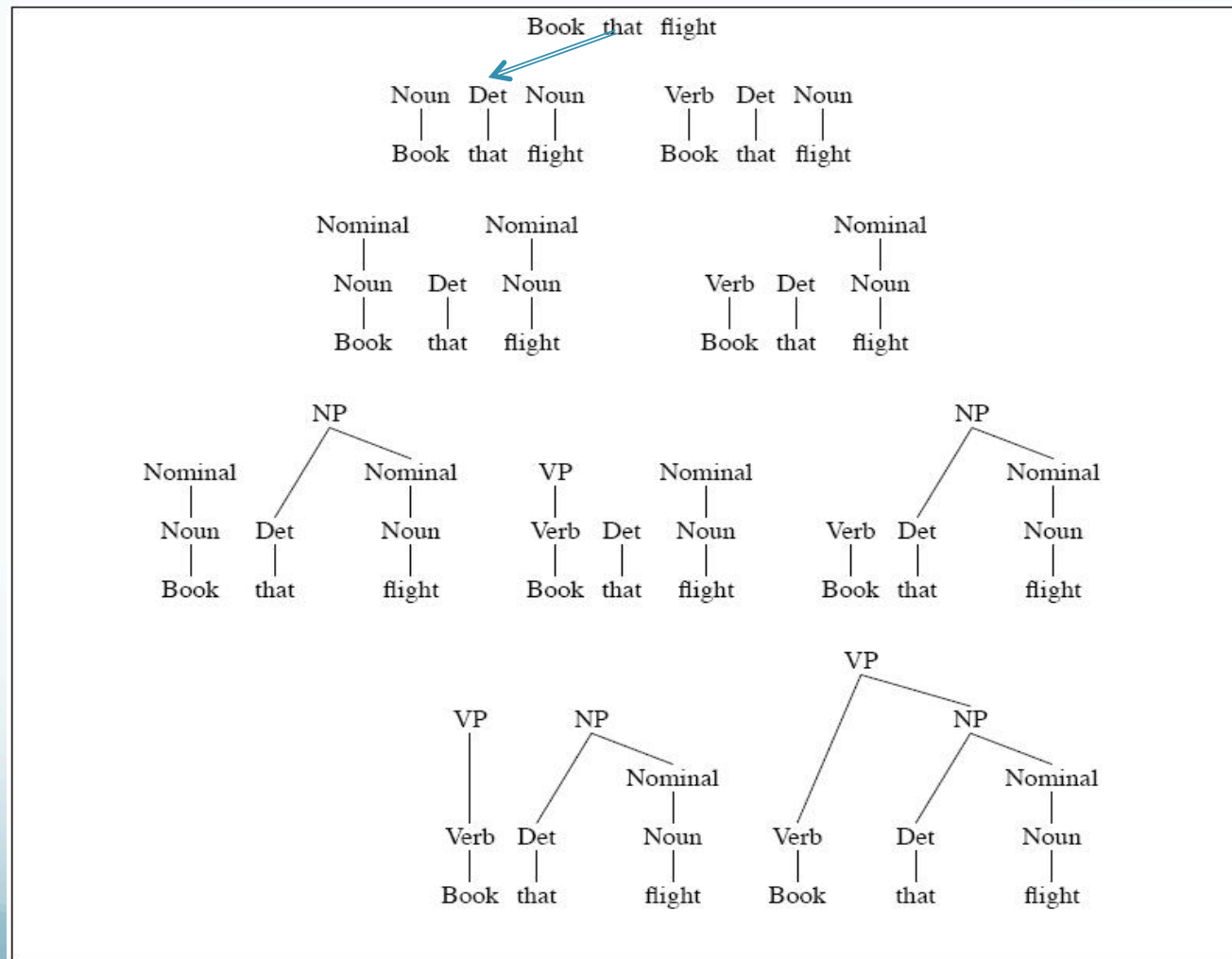


# Bottom-Up Search

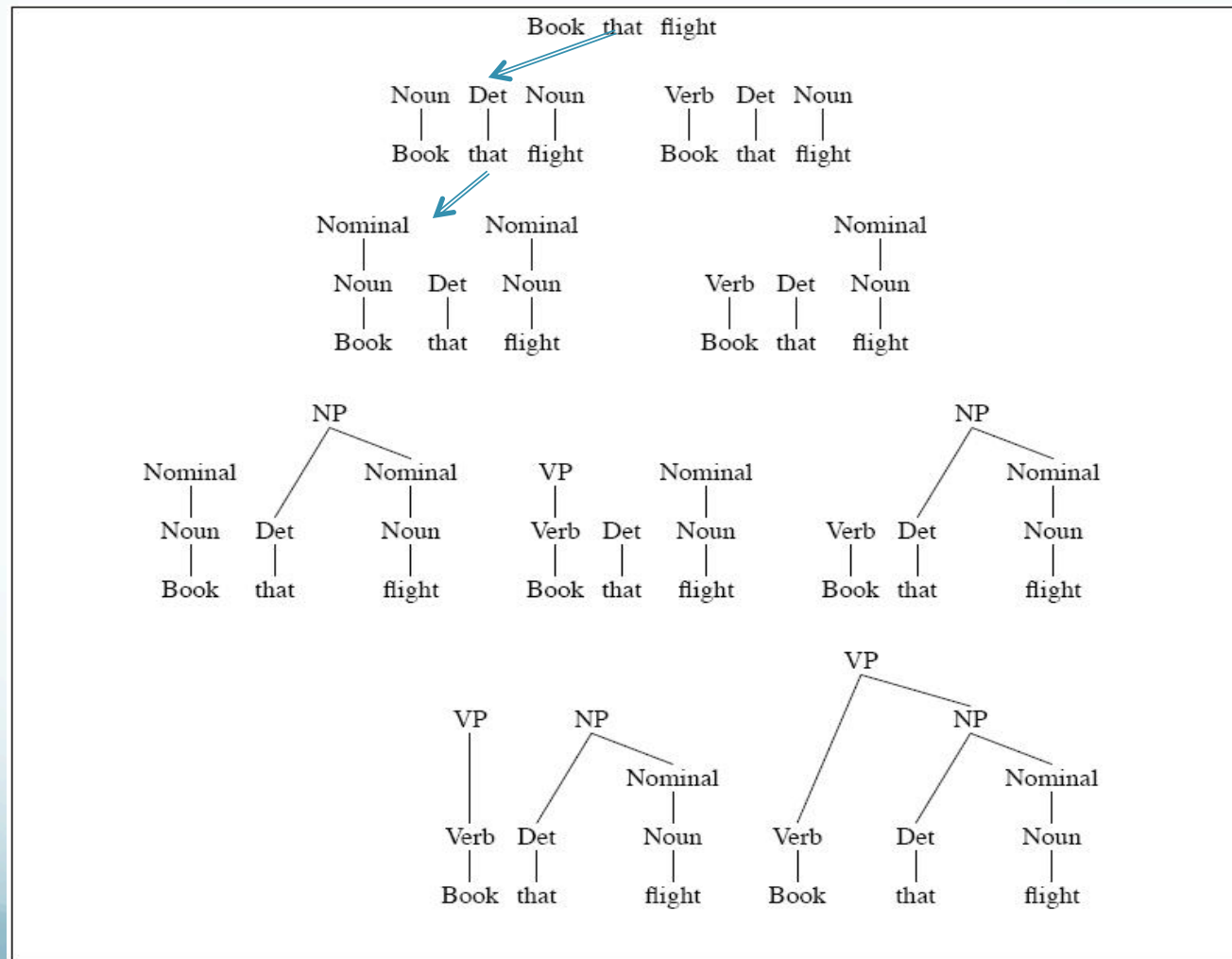




# Bottom-Up Search



# Bottom-Up Search



# Bottom-Up Search

The diagram illustrates the bottom-up search process for the sentence "Book that flight". It shows the hierarchical construction of a parse tree from individual words and phrases up to the full sentence.

**Level 1 (Words):** The words "Book", "that", and "flight" are the starting point. "Book" is a Noun, "that" is a Det, and "flight" is a Noun. "Book" is also a Verb.

**Level 2 (Phrases):**

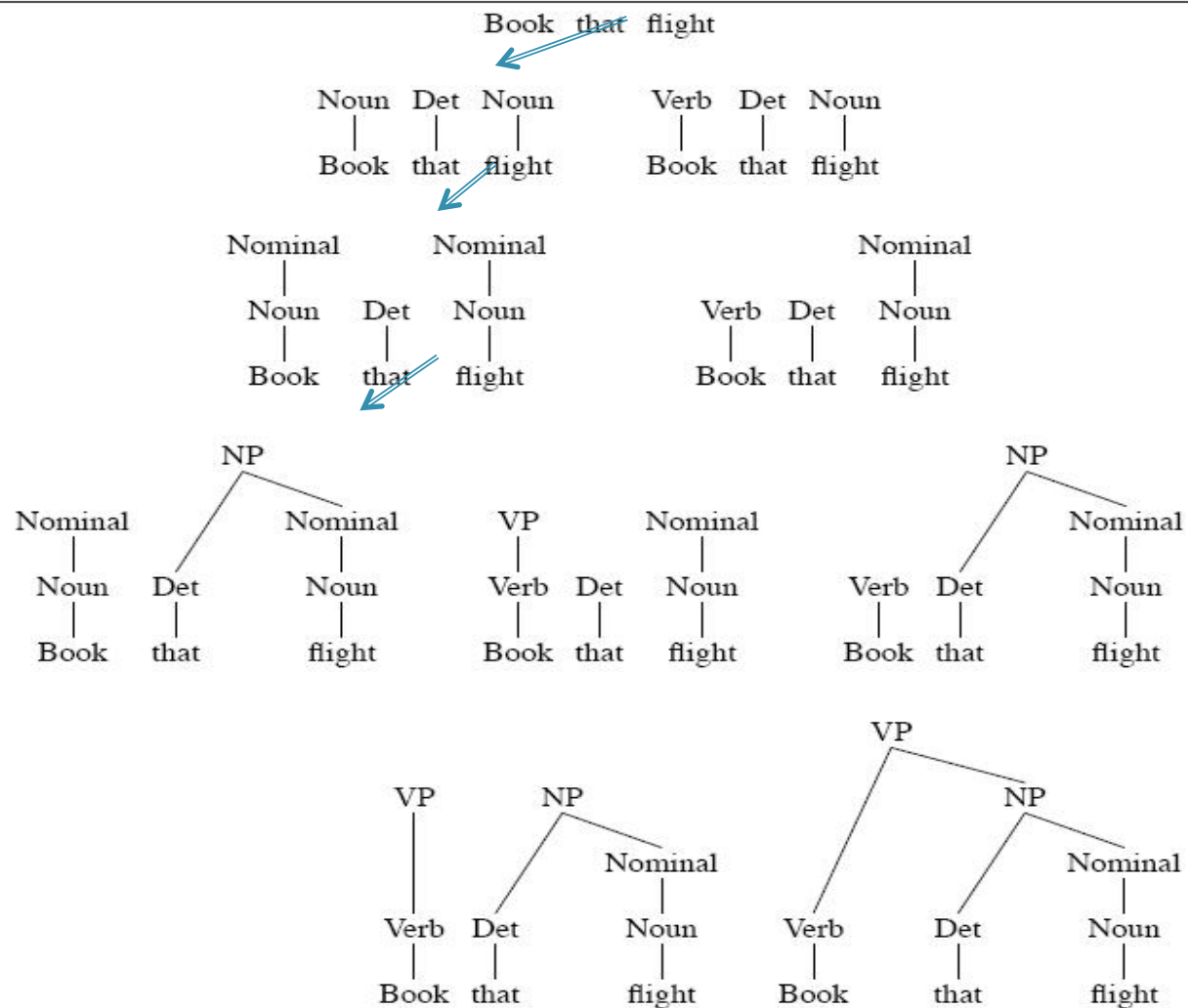
- "Book that flight" is formed by combining "Book" (Noun), "that" (Det), and "flight" (Noun). This is a Nominal.
- "Book that" is formed by combining "Book" (Verb) and "that" (Det). This is a VP.
- "flight" is a single-word Nominal.

**Level 3 (Phrases):**

- "Book that flight" is formed by combining "Book" (Noun), "that" (Det), and "flight" (Noun). This is a Nominal.
- "Book that" is formed by combining "Book" (Verb) and "that" (Det). This is a VP.
- "flight" is a single-word Nominal.

**Level 4 (Full Sentence):** The full sentence "Book that flight" is formed by combining the VP "Book that" and the Nominal "flight".

Blue arrows indicate the bottom-up search path: from "Book" and "that" to "Book that", and then from "Book that" and "flight" to "Book that flight".



# Pros and Cons of Bottom-Up Search

- Pros:
  - Will not explore trees that don't match input
  - Recursive rules less problematic
  - Useful for incremental/ fragment parsing
- Cons:
  - Explore subtrees that will not fit full sentences

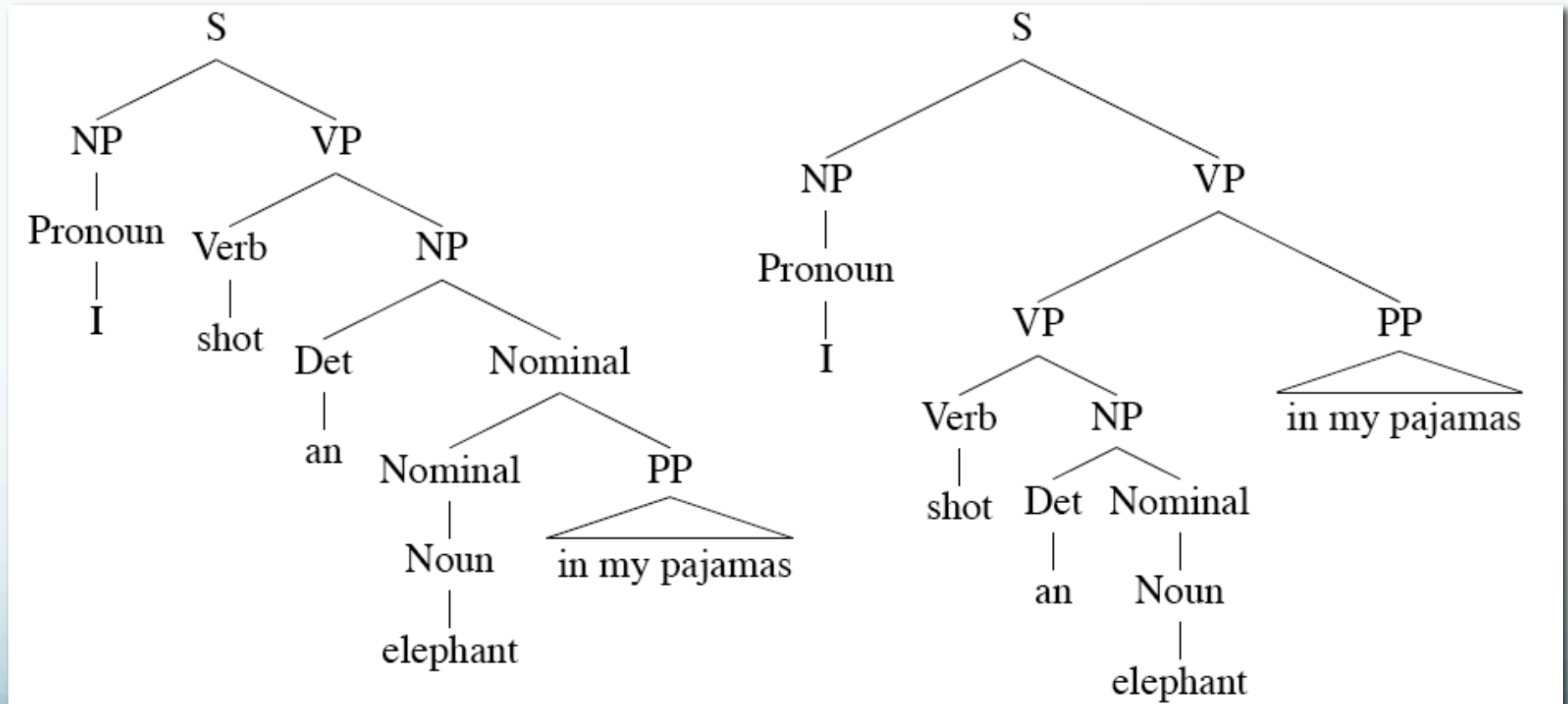
# Parsing Challenges

- Ambiguity
- Repeated substructure
- Recursion

# Parsing Ambiguity

- Many sources of parse ambiguity
  - Lexical ambiguity
    - Book/N; Book/V
  - Structural ambiguity: Main types:
    - Attachment ambiguity
      - Constituent can attach in multiple places
        - *I shot an elephant in my pyjamas.*
    - Coordination ambiguity
      - Different constituents can be conjoined
        - *Old men and women*

# Ambiguity



# Disambiguation

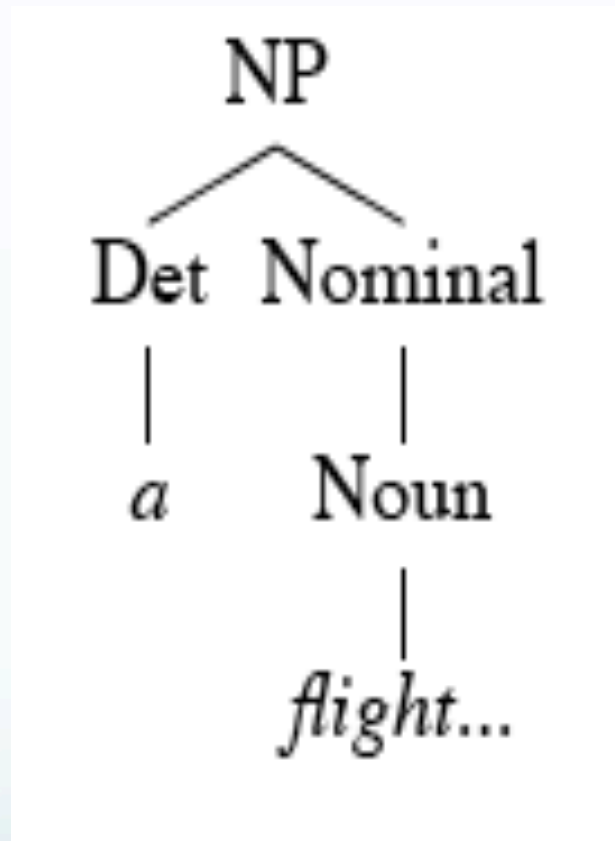
- Global ambiguity:
  - Multiple complete alternative parses
  - Need strategy to select correct one
    - Approaches exploit other information
      - Statistical
        - Some prepositional structs more likely to attach high/low
        - Some phrases more likely, e.g., (old (men and women))
      - Semantic
      - Pragmatic
        - E.g., elephants and pyjamas
    - Alternatively, keep all
- Local ambiguity:
  - Ambiguity in subtree, resolved globally



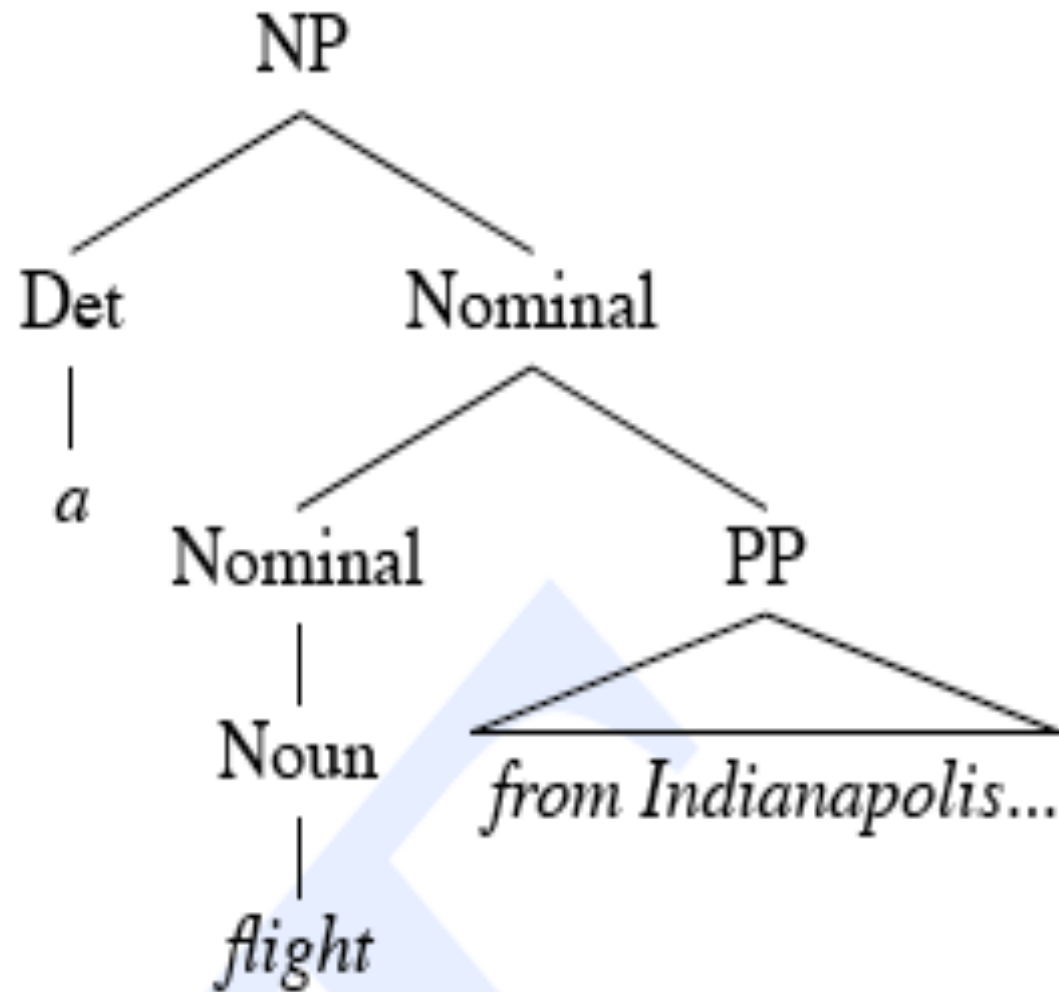
# Repeated Work

- Top-down and bottom-up parsing both lead to repeated substructures
  - Globally bad parses can construct good subtrees
    - But overall parse will fail
    - Require reconstruction on other branch
  - No static backtracking strategy can avoid
- Efficient parsing techniques require storage of shared substructure
  - Typically with dynamic programming
- Example: *a flight from Indianapolis to Houston on TWA*

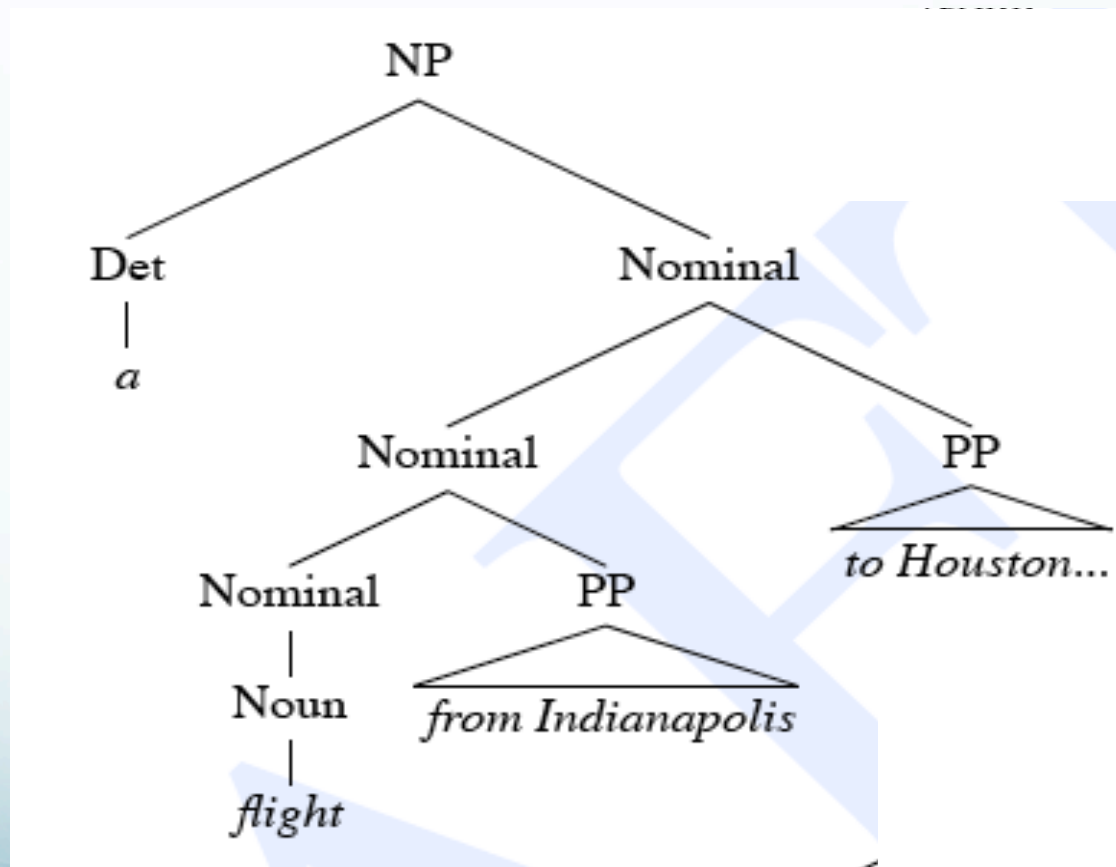
# Shared Sub-Problems



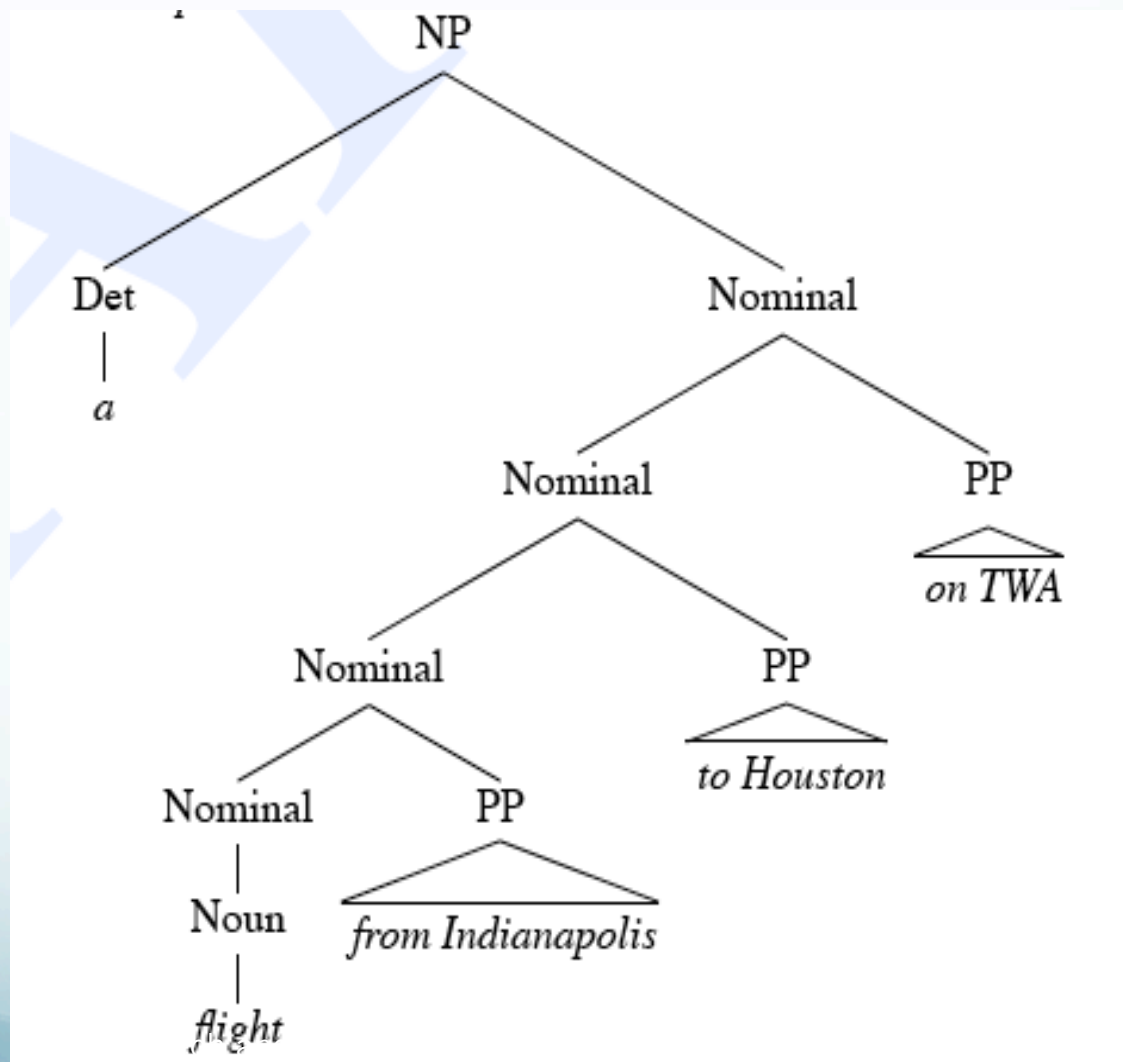
# Shared Sub-Problems



# Shared Sub-Problems



# Shared Sub-Problems



# Recursion

- Many grammars have recursive rules
  - E.g.,  $S \rightarrow S \text{ Conj } S$
- In search approaches, recursion is problematic
  - Can yield infinite searches
    - Esp., top-down

# Dynamic Programming

- Challenge: Repeated substructure → Repeated work
- Insight:
  - Global parse composed of parse substructures
  - Can record parses of substructures
- Dynamic programming avoids repeated work by tabulating solutions to subproblems
  - Here, stores subtrees

# Parsing w/Dynamic Programming

- Avoids repeated work
- Allows implementation of (relatively) efficient parsing algorithms
  - Polynomial time in input length
    - Typically cubic ( $n^3$ ) or less
- Several different implementations
  - Cocke-Kasami-Younger (CKY) algorithm
  - Earley algorithm
  - Chart parsing



# Chomsky Normal Form (CNF)

- CKY parsing requires grammars in CNF
- Chomsky Normal Form
  - All productions of the form:
    - $A \rightarrow BC$ , or
    - $A \rightarrow a$
- However, most of our grammars are not of this form
  - E.g.,  $S \rightarrow \text{Wh-NP Aux NP VP}$
- Need a general conversion procedure
  - Any arbitrary grammar can be converted to CNF

# Grammar Equivalence and Form

- Grammar equivalence
  - Weak: Accept the same language, May produce different analyses
  - Strong: Accept same language, Produce same structure

# CNF Conversion

- Three main conditions:
  - Hybrid rules:
    - $\text{INF-VP} \rightarrow \text{VP}$
  - Unit productions:
    - $A \rightarrow B$
  - Long productions:
    - $A \rightarrow B C D$

# CNF Conversion

- Hybrid rule conversion:
  - Replace all terminals with dummy non-terminals
  - E.g., INF-VP  $\rightarrow$  to VP
    - INF-VP  $\rightarrow$  TO VP; TO  $\rightarrow$  to
- Unit productions:
  - Rewrite RHS with RHS of all derivable non-unit productions
    - If  $A \xRightarrow{*} B$  and  $B \rightarrow w$ , then add  $A \rightarrow w$

# CNF Conversion

- Long productions:
  - Introduce new non-terminals and spread over rules
  - $S \rightarrow \text{Aux NP VP}$ 
    - $S \rightarrow X1 \text{ VP}; X1 \rightarrow \text{Aux NP}$
- For all non-conforming rules,
  - Convert terminals to dummy non-terminals
  - Convert unit productions
  - Binarize all resulting rules

$\mathcal{L}_1$ Grammar	$\mathcal{L}_1$ in CNF
$S \rightarrow NP VP$	$S \rightarrow NP VP$
$S \rightarrow Aux NP VP$	$S \rightarrow X1 VP$
	$X1 \rightarrow Aux NP$
$S \rightarrow VP$	$S \rightarrow book \mid include \mid prefer$
	$S \rightarrow Verb NP$
	$S \rightarrow X2 PP$
	$S \rightarrow Verb PP$
	$S \rightarrow VP PP$
$NP \rightarrow Pronoun$	$NP \rightarrow I \mid she \mid me$
$NP \rightarrow Proper-Noun$	$NP \rightarrow TWA \mid Houston$
$NP \rightarrow Det Nominal$	$NP \rightarrow Det Nominal$
$Nominal \rightarrow Noun$	$Nominal \rightarrow book \mid flight \mid meal \mid money$
$Nominal \rightarrow Nominal Noun$	$Nominal \rightarrow Nominal Noun$
$Nominal \rightarrow Nominal PP$	$Nominal \rightarrow Nominal PP$
$VP \rightarrow Verb$	$VP \rightarrow book \mid include \mid prefer$
$VP \rightarrow Verb NP$	$VP \rightarrow Verb NP$
$VP \rightarrow Verb NP PP$	$VP \rightarrow X2 PP$
	$X2 \rightarrow Verb NP$
$VP \rightarrow Verb PP$	$VP \rightarrow Verb PP$
$VP \rightarrow VP PP$	$VP \rightarrow VP PP$
$PP \rightarrow Preposition NP$	$PP \rightarrow Preposition NP$

# CKY Parsing

- Cocke-Kasami-Younger parsing algorithm:
  - (Relatively) efficient bottom-up parsing algorithm based on tabulating substring parses to avoid repeated work
- Approach:
  - Use a CNF grammar
  - Build an  $(n+1) \times (n+1)$  matrix to store subtrees
    - Upper triangular portion
  - Incrementally build parse spanning whole input string

# Dynamic Programming in CKY

- Key idea:
  - For a parse spanning substring  $[i,j]$ , there exists some  $k$  such there are parses spanning  $[i,k]$  and  $[k,j]$ 
    - We can construct parses for whole sentence by building up from these stored partial parses
- So,
  - To have a rule  $A \rightarrow B C$  in  $[i,j]$ ,
    - We must have  $B$  in  $[i,k]$  and  $C$  in  $[k,j]$ , for some  $i < k < j$ 
      - CNF grammar forces this for all  $j > i+1$