

# PCFGs: Parsing & Evaluation

Deep Processing Techniques for NLP  
Ling 571  
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# Roadmap

- PCFGs:
  - Review: Definitions and Disambiguation
  - PCKY parsing
    - Algorithm and Example
  - Evaluation
    - Methods & Issues
  - Issues with PCFGs

# PCFGs

- Probabilistic Context-free Grammars
  - Augmentation of CFGs

$N$  a set of **non-terminal symbols** (or **variables**)

$\Sigma$  a set of **terminal symbols** (disjoint from  $N$ )

$R$  a set of **rules** or productions, each of the form  $A \rightarrow \beta$  [ $p$ ],

where  $A$  is a non-terminal,

$\beta$  is a string of symbols from the infinite set of strings  $(\Sigma \cup N)^*$ ,

and  $p$  is a number between 0 and 1 expressing  $P(\beta|A)$

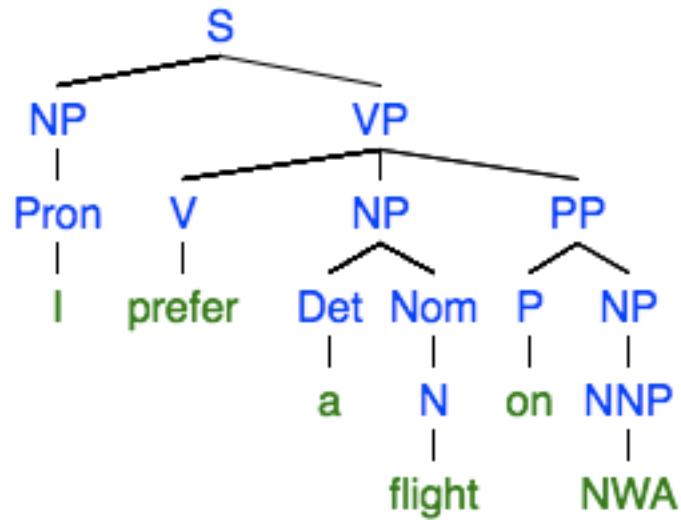
$S$  a designated **start symbol**

# Disambiguation

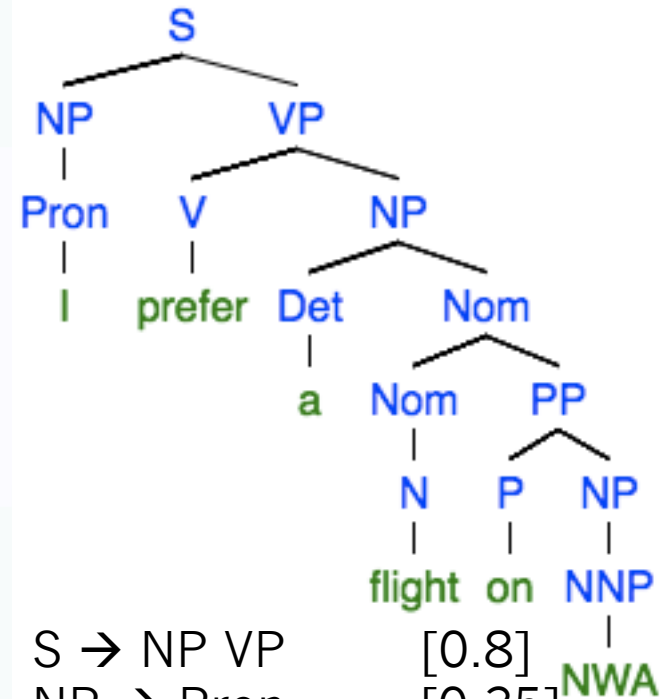
- A PCFG assigns probability to each parse tree  $T$  for input  $S$ .
  - Probability of  $T$ : product of all rules to derive  $T$

$$P(T, S) = \prod_{i=1}^n P(RHS_i | LHS_i)$$

$$P(T, S) = P(T)P(S|T) = P(T)$$



S → NP VP	[0.8]
NP → Pron	[0.35]
Pron → I	[0.4]
VP → V NP PP	[0.1]
V → prefer	[0.4]
NP → Det Nom	[0.2]
Det → a	[0.3]
Nom → N	[0.75]
N → flight	[0.3]
PP → P NP	[1.0]
P → on	[0.2]
NP → NNP	[0.3]
NNP → NWA	[0.4]



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VP → V NP	[0.2]
V → prefer	[0.4]
NP → Det Nom	[0.2]
Det → a	[0.3]
Nom → Nom PP	[0.05]
Nom → N	[0.75]
N → flight	[0.3]
PP → P NP	[1.0]
P → on	[0.2]
NP → NNP	[0.3]
NNP → NWA	[0.4]

# Parsing Problem for PCFGs

- Select  $T$  such that:

$$\hat{T}(S) = \operatorname{argmax}_{Ts.t, S=\text{yield}(T)} P(T)$$

- String of words  $S$  is *yield* of parse tree over  $S$
  - Select tree that maximizes probability of parse
- 
- Extend existing algorithms: e.g., CKY
    - Most modern PCFG parsers based on CKY
      - Augmented with probabilities

# Probabilistic CKY

- Like regular CKY
  - Assume grammar in Chomsky Normal Form (CNF)
    - Productions:
      - $A \rightarrow B C$  or  $A \rightarrow w$
  - Represent input with indices b/t words
    - E.g.,  $_0$  Book  $_1$  that  $_2$  flight  $_3$  through  $_4$  Houston  $_5$
- For input string length  $n$  and non-terminals  $V$ 
  - Cell  $[i,j,A]$  in  $(n+1) \times (n+1) \times V$  matrix contains
    - Probability that constituent  $A$  spans  $[i,j]$

# Probabilistic CKY Algorithm

**function** **PROBABILISTIC-CKY**(*words*, *grammar*) **returns** most probable parse  
and its probability

```
j ← from 1 to LENGTH(words) do
  for all { A |  $A \rightarrow words[j] \in grammar$  }
     $table[j-1, j, A] \leftarrow P(A \rightarrow words[j])$ 
  for i ← from j - 2 downto 0 do
    for k ← i + 1 to j - 1 do
      for all { A |  $A \rightarrow BC \in grammar,$ 
        and  $table[i, k, B] > 0$  and  $table[k, j, C] > 0$  }
        if ( $table[i, j, A] < P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C]$ ) then
           $table[i, j, A] \leftarrow P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C]$ 
           $back[i, j, A] \leftarrow \{k, B, C\}$ 
return BUILD_TREE( $back[1, LENGTH(words), S]$ ),  $table[1, LENGTH(words), S]$ 
```



# PCKY Grammar Segment

- $S \rightarrow NP VP$  [0.80]
- $NP \rightarrow Det N$  [0.30]
- $VP \rightarrow V NP$  [0.20]
- $V \rightarrow includes$  [0.05]
- $Det \rightarrow the$  [0.40]
- $Det \rightarrow a$  [0.40]
- $N \rightarrow meal$  [0.01]
- $N \rightarrow flight$  [0.02]

# PCKY Matrix:

## The flight includes a meal

Det: 0.4 [0,1]	NP: $0.3 \times 0.4 \times 0.02$ =.0024 [0,2]	[0,3]	[0,4]	S: $0.8 \times$ $0.000012 \times$ 0.0024 [0,5]
	N: 0.02 [1,2]	[1,3]	[1,4]	[1,5]
		V: 0.05 [2,3]	[2,4]	VP: $0.2 \times 0.05 \times$ $0.0012 = 0.0$ 00012 [2,5]
			Det: 0.4 [3,4]	NP: $0.3 \times 0.4 \times 0.01$ =0.0012 [3,5]
				N: 0.01 [4,5]

# Learning Probabilities

- Simplest way:
  - Treebank of parsed sentences
  - To compute probability of a rule, count:
    - Number of times non-terminal is expanded
    - Number of times non-terminal is expanded by given rule

$$P(\alpha \rightarrow \beta | \alpha) = \frac{\text{Count}(\alpha \rightarrow \beta)}{\sum_{\gamma} \text{Count}(\alpha \rightarrow \gamma)} = \frac{\text{Count}(\alpha \rightarrow \beta)}{\text{Count}(\alpha)}$$

- Alternative: Learn probabilities by re-estimating
  - (Later)

# Probabilistic Parser Development Paradigm

- Training:
  - (Large) Set of sentences with associated parses (Treebank)
    - E.g., Wall Street Journal section of Penn Treebank, sec 2-21
      - 39,830 sentences
    - Used to estimate rule probabilities
- Development (dev):
  - (Small) Set of sentences with associated parses (WSJ, 22)
    - Used to tune/verify parser; check for overfitting, etc.
- Test:
  - (Small-med) Set of sentences w/parses (WSJ, 23)
    - 2416 sentences
  - Held out, used for final evaluation

# Parser Evaluation

- Assume a ‘gold standard’ set of parses for test set
- How can we tell how good the parser is?
- How can we tell how good a parse is?
  - Maximally strict: identical to ‘gold standard’
  - Partial credit:
    - Constituents in output match those in reference
      - Same start point, end point, non-terminal symbol

# Parseval

- How can we compute parse score from constituents?
- Multiple measures:
  - Labeled recall (LR):
    - # of correct constituents in hyp. parse
    - # of constituents in reference parse
  - Labeled precision (LP):
    - # of correct constituents in hyp. parse
    - # of total constituents in hyp. parse

# Parseval (cont'd)

- F-measure:
  - Combines precision and recall

$$F_{\beta} = \frac{(\beta^2 + 1)PR}{\beta^2(P + R)}$$

- F1-measure:  $\beta = 1$   $F_1 = \frac{2PR}{(P + R)}$

- Crossing-brackets:
  - # of constituents where reference parse has bracketing ((A B) C) and hyp. has (A (B C))

# Precision and Recall

- Gold standard
  - (S (NP (A a) ) (VP (B b) (NP (C c)) (PP (D d)))))
- Hypothesis
  - (S (NP (A a)) (VP (B b) (NP (C c) (PP (D d)))))
- G: S(0,4) NP(0,1) VP (1,4) NP (2,3) PP(3,4)
- H: S(0,4) NP(0,1) VP (1,4) NP (2,4) PP(3,4)
- LP: 4/5
- LR: 4/5
- F1: 4/5



# State-of-the-Art Parsing

- Parsers trained/tested on *Wall Street Journal* PTB
  - LR: 90%+;
  - LP: 90%+;
  - Crossing brackets: 1%
- Standard implementation of Parseval: **evalb**

# Evaluation Issues

- Constituents?
  - Other grammar formalisms
    - LFG, Dependency structure, ..
    - Require conversion to PTB format
- Extrinsic evaluation
  - How well does this match semantics, etc?