

Feature Structures, Unification

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Some grammatical
phenomena

Linguistic features

Feature structures

Operations on
feature structures

Subsumption
Unification

Features in the
NLTK

Creating feature
structures

FeatStruct behavior

Today's lecture

- 1 Some grammatical phenomena
 - Linguistic features
- 2 Feature structures
- 3 Operations on feature structures
 - Subsumption
 - Unification
- 4 Features in the NLTK
 - Creating feature structures
 - FeatStruct behavior

Verb types

Consider the phrase structure rules in the PTB for verbs:

- $VP \rightarrow VBZ NP$
...breaks the jar
- $VP \rightarrow VBP NP$
...break the jar
- $VP \rightarrow VBD NP$
...broke the jar
- $VP \rightarrow VBN NP$
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We could capture the regularity by a rule like this:

$VP \rightarrow VB_x NP$

What exactly is 'x' representing?

Noun types

And for nouns:

- $NP \rightarrow DT NN$
...the book
- $NP \rightarrow DT NNS$
...the books

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We could capture the regularity by a rule like this:

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Subject-Verb Agreement

- *The parrot talks.*

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- **The parrot talk.*

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Subject-Verb Agreement

- *The parrot talks.*
- **The parrot talk.*
- *They know Mary.*

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Subject-Verb Agreement

- *The parrot talks.*
- **The parrot talk.*
- *They know Mary.*
- **They knows Mary.*
- *We sing.*

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Furthermore agreement information is not captured in treebanks such as the PTB:

$S \rightarrow NP VP$

The NN_x in the NP does not depend on the VB_x in the VP .

Features and values

Definition

linguistic feature: a property-like element that changes the grammatical behavior of syntactic constituents; the elements into which linguistic units, such as words, can be broken down. For a given sub-domain of grammar, there is a relevant feature:

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- **noun class** (e.g., Chinese)

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Features are usually modeled as pairs of feature **names** and **feature values**. A **value** refers to the language-specific phenomenon within the sub-domain:

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See <http://grammaticalfeatures.net> for a nice summary of features from a strictly linguistics perspective.

Ontology of features

Whereas NPs, VPs, NNs, etc. are conceived of as categories (first-order “citizens”) in the grammar, features are more **property-like**.

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- The VP has the feature value ‘past tense’
- The verb is a ‘past tense’ verb
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- The noun has a case feature ‘absolutive’.

Just like, for example, an object like a **car** can have a color property **red**.

Bundles of feature (values)

Sometimes features are conceived of as the atomic units that compose more complex categories.

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A noun is a **feature bundle** of semantic, morphological and phonological features:

form	'dog'
number	singular
animacy	animate

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Feature structures

A feature structure is, informally speaking, a set of feature names and values:

$$\left[\begin{array}{l} \text{feature}_1 \text{ value}_1 \\ \text{feature}_2 \text{ value}_2 \\ \text{feature}_3 \text{ value}_3 \end{array} \right]$$

A feature structure is defined according to particular grammatical traditions:

- Functional Unification Grammar
- Lexical Functional Grammar
- Head-Driven Phrase Structure Grammar (Ling566)

Typed feature structures: HPSG formal definition

Definition

A typed feature structure is defined on a finite set of features $Feat$ and a type hierarchy $\langle Type, \sqsubseteq \rangle$. It is a tuple $\langle Q, r, \delta, \theta \rangle$ where:

- Q is a finite set of nodes,
- $r \in Q$ (r is the root node)
- $\theta : Q \rightarrow Type$ is a partial typing function
- $\delta : Q \times Feat \rightarrow Q$ is a partial feature value function

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subject to the following conditions:

- 1 r isn't a θ -descendant.
- 2 all members of Q except r are θ -descendants of r .
- 3 Some systems add an extra (no cycles) condition: there is no node n or path π such that $\delta(n, \pi) = n$.

from Copestake (2000), Appendix.

More about feature values

atomic value: an unstructured value, one with only one part

tense	past
person	2

More about feature values

atomic value: an unstructured value, one with only one part

$$\left[\begin{array}{ll} \text{tense} & \mathbf{past} \\ \text{person} & \mathbf{2} \end{array} \right]$$

complex value: a structured value, itself a feature structure

$$\left[\begin{array}{ll} \text{tense} & \text{past} \\ \text{agreement} & \left[\begin{array}{ll} \mathbf{person} & \mathbf{2} \\ \mathbf{number} & \mathbf{singular} \end{array} \right] \end{array} \right]$$

Graphs or matrices

Feature structures are a type of attribute-value matrix (AVM), a more generalized data structure used to represent all kinds of information. Feature structures (and AVMs) can be represented as graphs with nodes and arcs (cf. text book figures)

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- **Nodes** correspond to variable values and the paths to the variable names.
- **Arcs** represent the feature names.
- A **feature path** is a list of features through a feature structure leading to a particular value.

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Feature path

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Path:

$\langle \text{agreement number} \rangle = \text{singular}$

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Reentrant structures

Definition

Reentrant structure: one in which the attribute has a value that is another feature structure.

$$\left[\begin{array}{l} S \\ NP \\ VP \end{array} \left[\begin{array}{l} \text{head } \boxed{1} \\ \text{head } \left[\text{agr } \boxed{2} \right] \\ \text{head } \boxed{1} \left[\text{agr } \boxed{2} \right] \end{array} \right] \right]$$

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A feature structure can be used to represent partial information.

More linguistic examples

Feature structure for a verb:

cat	VB
lex	<i>GO</i>
tense	past
aspect	progressive
form	“was going”

Feature structure for a noun:

cat	NN
lex	<i>dog</i>
number	PL
form	“dogs”

Linguistic examples

Feature structure for a noun phrase (e.g., *the boy*):

cat	NP		
spec	①	cat	DT
		number	SG
		definite	yes
		form	"the"
head	②	cat	NN
		number	SG
		lex	<i>boy</i>
		form	"boy"
definite	yes		
number	SG		

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$$\left[\begin{array}{ll} \text{number} & \text{PL} \end{array} \right] \sqsubseteq \left[\begin{array}{ll} \text{number} & \text{PL} \\ \text{person} & 3 \end{array} \right]$$

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Subsumption

$\begin{bmatrix} \text{number} & \text{PL} \\ \text{person} & 3 \end{bmatrix}$ $\begin{bmatrix} \text{number} & \text{PL} \end{bmatrix}$

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Subsumption

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Subsumption

Criteria for \sqsubseteq

$F \sqsubseteq G$ iff:

- For every feature x in F , $F(x) \sqsubseteq G(x)$, where $F(x)$ means “the value of the feature x of feature structure F ”.
- For all paths p and q in F such that $F(p) = F(q)$, it is also the case that $G(p) = G(q)$.

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Unification of $f1$ and $f2$ either returns a merged feature structure with the information from both $f1$ and $f2$, or false if $f1$ and $f2$ are incompatible. The unification operator is represented by: \sqcup .

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Unification is a binary operation over two features structures $f1$ and $f2$, used for comparing or combining information.

Unification of $f1$ and $f2$ either returns a merged feature structure with the information from both $f1$ and $f2$, or false if $f1$ and $f2$ are incompatible. The unification operator is represented by: \sqcup .

$$\begin{bmatrix} \text{number} & \text{PL} \end{bmatrix} \sqcup \begin{bmatrix} \text{person} & 2 \end{bmatrix} = \begin{bmatrix} \text{number} & \text{PL} \\ \text{person} & 2 \end{bmatrix}$$

The unification operation

For comparing information in two feature structures, unification can be used. Are two feature structures the same, or are they incompatible?

$$\left[\text{number} \quad \text{PL} \right] \sqcup \left[\text{number} \quad \text{PL} \right] =$$

The unification operation

For comparing information in two feature structures, unification can be used. Are two feature structures the same, or are they incompatible?

$$\left[\text{number} \quad \text{PL} \right] \sqcup \left[\text{number} \quad \text{PL} \right] = \left[\text{number} \quad \text{PL} \right]$$

The unification operation

For comparing information in two feature structures, unification can be used. Are two feature structures the same, or are they incompatible?

$$\left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right] \sqcup \left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right] = \left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right]$$

$$\left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right] \sqcup \left[\begin{array}{l} \text{number} \\ \text{SG} \end{array} \right] =$$

The unification operation

For comparing information in two feature structures, unification can be used. Are two feature structures the same, or are they incompatible?

$$\left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right] \sqcup \left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right] = \left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right]$$

$$\left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right] \sqcup \left[\begin{array}{l} \text{number} \\ \text{SG} \end{array} \right] = \text{False}$$

The unification operation

For comparing information in two feature structures, unification can be used. Are two feature structures the same, or are they incompatible?

$$\left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right] \sqcup \left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right] = \left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right]$$

$$\left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right] \sqcup \left[\begin{array}{l} \text{number} \\ \text{SG} \end{array} \right] = \text{False}$$

Unification preserves and possibly adds information to the resulting feature structure. This property is called:

The unification operation

For comparing information in two feature structures, unification can be used. Are two feature structures the same, or are they incompatible?

$$\left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right] \sqcup \left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right] = \left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right]$$

$$\left[\begin{array}{l} \text{number} \\ \text{PL} \end{array} \right] \sqcup \left[\begin{array}{l} \text{number} \\ \text{SG} \end{array} \right] = \text{False}$$

Unification preserves and possibly adds information to the resulting feature structure. This property is called: **monotonicity**

Unification of dissimilar structures

$$\left[\text{agreement} \left[\text{number} \quad \text{PL} \right] \right] \sqcup \left[\text{number} \quad \text{PL} \right] =$$

Feature Structures,
Unification

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Unification of dissimilar structures

$$\left[\begin{array}{l} \text{agreement} \left[\begin{array}{l} \text{number} \quad \text{PL} \end{array} \right] \\ \text{agreement} \left[\begin{array}{l} \text{number} \quad \text{PL} \end{array} \right] \\ \left[\begin{array}{l} \text{number} \quad \text{PL} \end{array} \right] \end{array} \right] \sqcup \left[\begin{array}{l} \text{number} \quad \text{PL} \end{array} \right] =$$

Unification: example

Grammar entry for a sentence

cat	S
voice	active
agent	[¹ cat NP number ⁴]
process	[² cat VB number ⁴]
patient	[³ cat NP]
pattern	[subject ¹ verb ² object ³]

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Unification: example

Grammar entry for a noun phrase

cat	NP								
spec	1	<table><tr><td>cat</td><td>DT</td></tr><tr><td>number</td><td>3</td></tr><tr><td>definite</td><td>4</td></tr></table>	cat	DT	number	3	definite	4	
cat	DT								
number	3								
definite	4								
head	2	<table><tr><td>cat</td><td>NN</td></tr><tr><td>number</td><td>3</td></tr></table>	cat	NN	number	3			
cat	NN								
number	3								
number	3								
definite	4								
pattern		<table><tr><td>first</td><td>1</td></tr><tr><td>second</td><td>2</td></tr></table>	first	1	second	2			
first	1								
second	2								

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Unification: example

Lexical entries in AVM form

cat	DT
definite	yes
number	SG
form	“the”

cat	DT
definite	yes
number	PL
form	“these”

Unification: example

Unifying the right part of a noun phrase with a determiner

$$\begin{bmatrix} \text{cat} & \text{DT} \\ \text{number} & \boxed{3} \\ \text{definite} & \boxed{4} \end{bmatrix} \sqcup \begin{bmatrix} \text{cat} & \text{DT} \\ \text{definite} & \text{yes} \\ \text{number} & \text{PL} \\ \text{form} & \text{"these"} \end{bmatrix} =$$

Unification: example

Unifying the right part of a noun phrase with a determiner

$$\begin{bmatrix} \text{cat} & \text{DT} \\ \text{number} & \boxed{3} \\ \text{definite} & \boxed{4} \end{bmatrix} \sqcup \begin{bmatrix} \text{cat} & \text{DT} \\ \text{definite} & \text{yes} \\ \text{number} & \text{PL} \\ \text{form} & \text{"these"} \end{bmatrix} = \begin{bmatrix} \text{cat} & \text{DT} \\ \text{definite} & \text{yes} \\ \text{number} & \text{PL} \\ \text{form} & \text{"these"} \end{bmatrix}$$

Unification: example

Result of unification

cat	NP									
spec	[1]	[<table><tr><td>cat</td><td>DT</td></tr><tr><td>number</td><td>PL</td></tr><tr><td>definite</td><td>yes</td></tr><tr><td>form</td><td>"these"</td></tr></table>]	cat	DT	number	PL	definite	yes	form	"these"
cat	DT									
number	PL									
definite	yes									
form	"these"									
head	[2]	[<table><tr><td>cat</td><td>NN</td></tr><tr><td>number</td><td>PL</td></tr></table>]	cat	NN	number	PL				
cat	NN									
number	PL									
number	PL									
definite	yes									
pattern	[[<table><tr><td>first</td><td>[1]</td></tr><tr><td>second</td><td>[2]</td></tr></table>]	first	[1]	second	[2]				
first	[1]									
second	[2]									

Today's lecture

- 1 Some grammatical phenomena
 - Linguistic features
- 2 Feature structures
- 3 Operations on feature structures
 - Subsumption
 - Unification
- 4 Features in the NLTK
 - Creating feature structures
 - FeatStruct behavior

The main features module

The NLTK has a module for feature structures and accompanying operations: `nltk.feaststruct`

The basic feature structure `nltk.feaststruct.FeatStruct` is implemented as a mapping (dictionary) from features to values.

```
>>>from nltk.feaststruct import FeatStruct

>>>fs1 = FeatStruct(number='singular', person=3)

>>>print fs1

[ number = 'singular' ]
[ person = 3           ]
```

Feature Structures,
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The main features module

```
>>>fs2 = FeatStruct(type='NP', agr=fs1)
```

```
>>>print fs2
```

```
[ agr = [ number = 'singular' ] ]  
[      [ person = 3          ] ]  
[      ]  
[ type = 'NP'                ]
```

Unification in the NLTK

The NLTK has an implementation of unification with `nltk.featurstruct.FeatStruct.unify()`.

fs1

```
[ agr = [ number = 'singular' ] ]  
[      [ person = 3          ] ]  
[      ]  
[ type = 'NP'                ]
```

fs2

```
[ agr = [ number = ?n ] ]  
[      ]  
[ subj = [ number = ?n ] ]
```

Unification in the NLTK

```
>>>print fs2.unify(fs3)
[ agr  = [ number = 'singular' ] ]
[      [ person = 3           ] ]
[      ]
[ subj = [ number = 'singular' ] ]
[      ]
[ type = 'NP'                   ]
```

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Creating feature structures: FeatStruct

```
fs1 = FeatStruct(agreement= \
    FeatStruct(number='singular', person='third'))
```

Creating feature structures: FeatStruct

```
fs1 = FeatStruct(agreement= \
    FeatStruct(number='singular', person='third'))
```

```
[ agreement = [ number = 'singular' ] ]
[           [ person = 'third'   ] ]
```

Creating feature structures: FeatStruct

```
fs1 = FeatStruct(agreement= \
    FeatStruct(number='singular', person='third'))

[ agreement = [ number = 'singular' ] ]
[           [ person = 'third'   ] ]
```

Use the FeatStruct constructor; note how quotes are used.

Creating feature structures, short-hand

```
fs1 = FeatStruct("[agreement = \  
    [number='singular',person='third']]")
```

Creating feature structures, short-hand

```
fs1 = FeatStruct("[agreement = \  
    [number='singular',person='third']]")
```

```
[ agreement = [ number = 'singular' ] ]  
[           [ person = 'third'   ] ]
```

Creating feature structures, short-hand

```
fs1 = FeatStruct("[agreement = \  
    [number='singular',person='third']]")
```

```
[ agreement = [ number = 'singular' ] ]  
[           [ person = 'third'   ] ]
```

The feature structure is parsed from the string. Values are quoted.

Creating feature structures, short-hand w/o value quotes

```
fs1 = FeatStruct("[agreement = \  
    [number=singular, person=third]]")
```

Creating feature structures, short-hand w/o value quotes

```
fs1 = FeatStruct("[agreement = \  
    [number=singular, person=third]]")
```

```
[ agreement = [ number = 'singular' ] ]  
[           [ person = 'third'   ] ]
```

Creating feature structures, short-hand w/o value quotes

```
fs1 = FeatStruct("[agreement = \  
    [number=singular, person=third]]")
```

```
[ agreement = [ number = 'singular' ] ]  
[           [ person = 'third'   ] ]
```

Value quotes aren't necessary.

Using indices

```
fs = FeatStruct("[\n  NAME=Lee, \n  ADDRESS=(1) [NUMBER=74, STREET='rue Pascal'],\n  SPOUSE=[NAME=Kim, ADDRESS->(1)],]")
```

Using indices

```
fs = FeatStruct("[\
  NAME=Lee, \
  ADDRESS=(1) [NUMBER=74, STREET='rue Pascal'],\
  SPOUSE=[NAME=Kim, ADDRESS->(1)],]")
```

```
[ ADDRESS = (1) [ NUMBER = 74          ] ]
[                [ STREET = 'rue Pascal' ] ]
[                ]
[ NAME          = 'Lee'                ]
[                ]
[ SPOUSE        = [ ADDRESS -> (1) ]    ]
[                [ NAME          = 'Kim' ] ]
```

Using indices

```
fs = FeatStruct("[\n  NAME=Lee, \n  ADDRESS=(1) [NUMBER=74, STREET='rue Pascal'],\n  SPOUSE=[NAME=Kim, ADDRESS->(1)],]")
```

```
[ ADDRESS = (1) [ NUMBER = 74          ] ]
[                [ STREET = 'rue Pascal' ] ]
[                ]
[ NAME          = 'Lee'                ]
[                ]
[ SPOUSE       = [ ADDRESS -> (1)      ] ]
[                [ NAME          = 'Kim' ] ]
```

The index is assigned a value, and then referenced.

Using indices: reference before assignment

```
fs = FeatStruct("[\  
  NAME=Lee, \  
  SPOUSE=[NAME=Kim, ADDRESS->(1)], \  
  ADDRESS=(1)[NUMBER=74, STREET='rue Pascal']"])
```

Using indices: reference before assignment

```
fs = FeatStruct("[\
  NAME=Lee, \
  SPOUSE=[NAME=Kim, ADDRESS->(1)], \
  ADDRESS=(1) [NUMBER=74, STREET='rue Pascal']]")
```

ValueError: Error parsing feature structure

```
[NAME=Lee,
  SPOUSE=[NAME=Kim, ADDRESS->(1)], ...
          ^ Expected bound identifier
```

Using variables

```
from nltk.sem.logic import Variable

fs10=FeatStruct("\
    [NP=[head=[agreement=?n]], \
    VP=[head=(1)[agreement=?n]]")
```

Using variables

```
from nltk.sem.logic import Variable

fs10=FeatStruct("\
    [NP=[head=[agreement=?n]], \
    VP=[head=(1)[agreement=?n]]")

[ NP = [ head = [ agreement = ?n ] ] ]
[
[ VP = [ head = [ agreement = ?n ] ] ]
```

Example from textbook

What does this feature structure represent?

$$\left[\begin{array}{l} S \left[\text{head } \boxed{1} \right] \\ NP \left[\text{head } \left[\text{agreement } \boxed{2} \right] \right] \\ VP \left[\text{head } \boxed{1} \left[\text{agreement } \boxed{2} \right] \right] \end{array} \right]$$

Example from textbook

What does this feature structure represent?

$$\left[\begin{array}{l} S \left[\text{head } \boxed{1} \right] \\ NP \left[\text{head } \left[\text{agreement } \boxed{2} \right] \right] \\ VP \left[\text{head } \boxed{1} \left[\text{agreement } \boxed{2} \right] \right] \end{array} \right]$$

$S \rightarrow NPVP$

Example from textbook

What does this feature structure represent?

$$\left[\begin{array}{l} S \left[\text{head } \boxed{1} \right] \\ NP \left[\text{head } \left[\text{agreement } \boxed{2} \right] \right] \\ VP \left[\text{head } \boxed{1} \left[\text{agreement } \boxed{2} \right] \right] \end{array} \right]$$

$S \rightarrow NPVP$

$\langle NP \text{ head agreement} \rangle = \langle VP \text{ head agreement} \rangle$

Example from textbook

What does this feature structure represent?

$$\left[\begin{array}{l} S \left[\text{head } \boxed{1} \right] \\ NP \left[\text{head } \left[\text{agreement } \boxed{2} \right] \right] \\ VP \left[\text{head } \boxed{1} \left[\text{agreement } \boxed{2} \right] \right] \end{array} \right]$$

$S \rightarrow NPVP$

$\langle NP \text{ head agreement} \rangle = \langle VP \text{ head agreement} \rangle$

$\langle S \text{ head} \rangle = \langle VP \text{ head} \rangle$

Need to combine variables and indices

```
fs3=FeatStruct("\
  [NP=[head=[agreement=?n]], \
  VP=[head=(1)[agreement=?n]], \
  S=[head->(1)]")
```

Need to combine variables and indices

```
fs3=FeatStruct("\
    [NP=[head=[agreement=?n]], \
    VP=[head=(1)[agreement=?n]], \
    S=[head->(1)]")
```

```
[ NP = [ head = [ agreement = ?n ] ]      ]
[                                          ]
[ S  = [ head = (1) [ agreement = ?n ] ] ] ]
[                                          ]
[ VP = [ head -> (1) ]                    ]
```

Unification in NLTK

```
[ VP = [ head = [ agreement = [ number = 'singular' ] ] ] ]  
[      [      [      [ person = 'third' ] ] ] ]
```

```
[ NP = [ head = [ agreement = ?n ] ]      ]  
[      ]  
[ S  = [ head = (1) [ agreement = ?n ] ] ]  
[      ]  
[ VP = [ head -> (1) ] ]
```

Unification in NLTK

```
[ VP = [ head = [ agreement = [ number = 'singular' ] ] ] ]  
[      [      [      [ person = 'third' ] ] ] ] ]
```

```
[ NP = [ head = [ agreement = ?n ] ]      ]  
[      ]  
[ S  = [ head = (1) [ agreement = ?n ] ] ]  
[      ]  
[ VP = [ head -> (1) ] ]
```

```
[ NP = [ head = [ agreement = (1) [ number = 'singular' ] ] ] ] ]  
[      [      [      [ person = 'third' ] ] ] ] ]  
[      ]  
[ S  = [ head = (2) [ agreement -> (1) ] ] ]  
[      ]  
[ VP = [ head -> (2) ] ]
```

Printed version looks odd, but graph is intact.