## 15-2 LIGAND EQUILIBRIA

# Macroscopic and microscopic constants

Before discussing the association of ligands with multiple sites on macromolecules, it is useful to discuss briefly the distinction between microscopic and macroscopic equilibrium constants. A concrete example is provided by the titration of the amino acid glycine. This can be viewed as a dibasic acid. We define GH2+, GH, and G as the forms bearing two, one, and no protons, respectively. The macroscopic equilibria are

$$GH_{+}^{+} \rightleftharpoons GH + H^{+} \tag{15-1a}$$

$$GH = G^- + H^+ \tag{15-1b}$$

and the two macroscopic dissociation constants are given by

$$K_1 = (GH)(H^+)/(GH_2^+)$$
 (15-2a)

$$K_2 = (G^-)(H^+)/(GH)$$
 (15-2b)

The two pK values can be obtained from a titration; at 25°C, extrapolated to zero ionic strength, they are  $pK_1 = 2.35$ , and  $pK_2 = 9.78$ .

We now examine the microscopic states of glycine during the titration. Altogether there are four forms, where

$$GH_2^+ = {}^{\downarrow}H_3NCH_2COOH$$
 (15-3a)

$$GH = {}^{+}H_{3}NCH_{2}COO^{-} + H_{2}NCH_{2}COOH$$
 (15-3b)

$$G^{-} = H_1NCH_1COO^{-}$$
 (15-3c)

and the microscopic ionization equilibria are

where the  $k_i$  values are microscopic dissociation constants. According to Equations 15-2 and 15-3,

$$K_1 = [(^+H_3NCH_2COO^-) + (H_2NCH_2COOH)](H^+)/(^+H_3NCH_2COOH)$$
  
=  $k_1 + k_2$  (15-5a)

$$K_2 = (H_2NCH_2COO^-)(H^+)/[(^+H_3NCH_2COO^-) + (H_2NCH_2COOH)]$$
  
=  $1/(k_3^{-1} + k_4^{-1})$  (15-5b)

Equation 15-5 shows the relationships between the microscopic and macroscopic dissociation constants.

The four microscopic constants are not independent. In particular,

$$k_1 k_3 = k_2 k_4 \tag{15-6}$$

Equation 15-6 is easy to verify; it is a direct consequence of detailed balancing. Equations 15-5 and 15-6 give three relationships involving the four microscopic constants. A fourth relationship may be obtained by assuming that  $k_2$  has the same value as the single dissociation constant for the methyl ester of glycine (+H3NCH2CO2CH3 ≈  $H_2NCH_2CO_2CH_3 + H^+$ ). This assumption gives  $pk_2 = 7.7$ . With the values of  $pK_1$ and pK2 given earlier, it then is easy to calculate from Equations 15-5 and 15-6 that  $pk_1 = 2.35$ ,  $pk_3 = 9.78$ , and  $pk_4 = 4.43$ . From these values, the reader should be able to deduce whether dissociation from +H3NCH2COOH to neutral glycine proceeds predominantly by the top path or the bottom path in Equation 15-4.

This simple illustration serves as a concrete example of the meanings of microscopic and macroscopic constants, and of their interrelationships. As a second example, we treat a situation in which statistical effects come into play. Consider a molecule A, which has two equivalent sites for a specific ligand. For instance, A might be a long-chain aliphatic dicarboxylic acid in which the microscopic dissociation constant is the same for each carboxylic group, regardless of the ionization state of the other group (this condition can be fulfilled if the aliphatic chain is long enough that electrostatic interactions between the two carboxyl groups are negligible). The macroscopic equilibria are

$$A + H^+ \rightleftharpoons A(H^+)_1 \tag{15-7a}$$

$$A(H^+)_1 + H^+ \rightleftharpoons A(H^+)_2$$
 (15-7b)

and the macroscopic dissociation constants are given by

$$K_1 = (A)(H^+)/(A(H^+)_1)$$
 (15-8a)

$$K_2 = (A(H^+)_1)(H^+)/(A(H^+)_2)$$
 (15-8b)

The microscopic equilibria can be written schematically as

$$A + H^+ \rightleftharpoons AH^+ \tag{15-9a}$$

$$A + H^{+} \rightleftharpoons {}^{+}HA \tag{15-9b}$$

litration. Alto-

$$AH^+ + H^+ \rightleftharpoons ^+ HAH^+ \tag{15-9c}$$

$$^{\dagger}HA + H^{\dagger} \rightleftharpoons ^{\dagger}HAH^{\dagger} \tag{15-9d}$$

where the microscopic dissociation constant k is the same for each step. In Equation 15-9 we have distinguished between microspecies by assigning one ionization site to the left and the other to the right side of A. In terms of microscopic species, the macroscopic forms are defined as

$$A = A \tag{15-10a}$$

$$A(H^+)_1 = AH^+ + {}^+HA$$
 (15-10b)

$$A(H^+)_2 = {}^+HAH^+$$
 (15-10c)

From Equations 15-8 to 15-10, we conclude that

$$K_1 = k/2$$
 (15-11a)

$$K_2 = 2k$$
 (15-11b)

$$K_1/K_2 = 1/4$$
 (15-11c)

Thus, even though the microscopic dissociation constant is the same for each ionization, statistical effects make the first apparent macroscopic dissociation constant four times smaller than that of the second one.

In this chapter and in Chapter 17, we frequently use the concepts of microscopic and macroscopic constants, and it will be important to keep firmly in mind the distinctions between them that are illustrated in the preceding examples.

## 15-3 IDENTICAL INDEPENDENT SITES

## Calculating the number of microscopic species

We first consider a macromolecule M, which contains n sites for the ligand L. Each site has the same microscopic ligand dissociation constant k. The sites also are assumed to be independent—that is, the microscopic dissociation constant k for a particular site is the same regardless of the state of occupancy of the other sites. The equilibria that characterize the interaction may be written as

$$M_0 + L \rightleftharpoons M_1$$

$$M_1 + L \rightleftharpoons M_2$$

$$I_{n-1} + L \rightleftharpoons M_n \tag{15-12}$$

where the index on M denotes the total number of molecules of L that are bound. Thus, Mi is taken to mean the total set of microscopic species that have i bound molecules of L. For example, if n = 4, and we schematically represent our macromolecule as a square with four sites,

$$M_{2} = \boxed{\begin{array}{c|c} L \\ L \end{array}} + \boxed{\begin{array}{c|c} L \\ L \end{array}}$$
(15-13)

where each microscopic form is present in equal amounts. Thus, with n = 4, there are six microscopic species that comprise  $M_2$ . In general, there are  $\Omega_{n,i}$  distinct ways to put i ligands on n sites, where

$$\Omega_{n,l} = \frac{n \times (n-1) \times (n-2) \times \dots \times (n-i+1)}{i!} = \frac{n!}{(n-i)!i!}$$
(15-14)

Consequently, there are  $\Omega_{n,i}$  microscopic forms that make up  $M_i$ .

### Calculation of v

Equilibrium measurements of ligand binding typically yield the moles of ligand bound per mole of macromolecule. This parameter generally is designated v; it is given by

$$v = \sum_{i=0}^{n} i(M_i) / \sum_{i=0}^{n} (M_i)$$
 (15-15)

Our goal is to express v in terms of the free ligand concentration, (L). In general, we can express the concentration of any form M<sub>i</sub> in terms of any

Note also that  $\Omega_{n,t}$  is the binomial coefficient of  $x^t$  in the expansion of  $(1+x)^n$ .

<sup>&</sup>lt;sup>6</sup> Equation 15-14 is easy to derive. There are n different sites in which to place the first ligand; after it has been placed, there are n-1 sites available for the second, then n-2 for the third, and so on, with n-i+1 sites available for the ith ligand. The product  $n \times (n-1) \times \cdots \times (n-i+1)$  would give the total arrangements possible except that there is a redundancy; this arises because we have counted each distinct arrangement of i ligands in n sites more than once. For example, if we place the first ligand in site 2 and the second in site 4, this gives the same end result as if we had placed the first in site 4 and the second in site 2. In the product  $n \times (n-1) \times \cdots \times (n-i+1)$ , we have counted each distinct arrangement il times, so a correction must be made.

other form by making use of the macroscopic dissociation constants. For example,

$$K_1 = (M_0)(L)/(M_1)$$
 (15-16a)

$$\dot{K}_i = (M_{i-1})(L)/(M_i)$$
 (15-16b)

$$\dot{K}_n = (M_{n-1})\dot{(L)}/(M_n)$$
 (15-16c)

and

$$(M_i) = (M_{i-1})(L)/K_i = (M_0)(L)^i / \prod_{j=1}^i K_j$$
 (15-17)

The macroscopic constant  $K_i$  is to be distinguished from the single microscopic constant k that characterizes all of the sites. The dissociation constant k refers to the equilibrium with respect to particular microscopic species, whereas the macroscopic constant  $K_i$  involves the entire ensemble of species represented by  $M_i$  and  $M_{i-1}$ . For example, with n = 4, and again using the format of the schematic illustration from Equation 15-13,

$$k = \frac{\left(\begin{array}{c} L \\ \end{array}\right)(L)}{\left(\begin{array}{c} L \\ \end{array}\right)} = \frac{\left(\begin{array}{c} L \\ \end{array}\right)(L)}{\left(\begin{array}{c} L \\ \end{array}\right)} = \frac{\left(\begin{array}{c} L \\ \end{array}\right)(L)}{\left(\begin{array}{c} L \\ \end{array}\right)} = \cdots$$
(15-18)

whereas  $K_1$ , for example, is

$$K_{1} = \frac{\left(\begin{array}{c} \\ \\ \end{array}\right)(L)}{\left(\begin{array}{c} \\ \end{array}\right) + \left(\begin{array}{c} \\ \end{array}\right) + \left(\begin{array}{c} \\ \end{array}\right) + \left(\begin{array}{c} \\ \end{array}\right) + \left(\begin{array}{c} \\ \end{array}\right)}$$
(15-19)

The relationship between  $K_i$  and k is governed by the simple statistical factors  $\Omega_{n,i}$ . In particular, it is easy to show (Problem 15-1) that

$$K_i = (\Omega_{n,i-1}/\Omega_{n,i})k \tag{15-20}$$

Therefore, we can rewrite Equation 15-17 as

$$(M_i) = (M_{i-1})(L)/K_i = (M_{i-1})[(n-i+1)/i][(L)/k]$$
 (15-21)

With similar expressions for  $(M_{i-1})$ ,  $(M_{i-2})$ , etc., we obtain

$$(M_i) = (M_0) \left\{ \prod_{j=1}^{i} \left[ (n-j+1)/j \right] \right\} \left[ (L)/k \right]^i$$
 (15-22)

Substitution of Equation 15-22 into Equation 15-15 gives

$$v = \frac{\sum_{i=1}^{n} i \left\{ \prod_{j=1}^{i} \left[ (n-j+1)/j \right] \right\} \left[ (L)/k \right]^{i}}{1 + \sum_{i=1}^{n} \left\{ \prod_{j=1}^{i} \left[ (n-j+1)/j \right] \right\} \left[ (L)/k \right]^{i}}$$
(15-23)

Although Equation 15-23 appears algebraically complex, it readily simplifies. The product term is identical to  $\Omega_{n,i}$  (Eqn. 15-14):

$$\prod_{j=1}^{i} [(n-j+1)/j] = n!/(n-i)!i!$$
 (15-24)

Substituting Equation 15-24 into Equation 15-23, we obtain

$$v = \frac{\sum_{i=1}^{n} i[n!/(n-i)!i!][(L)/k]^{i}}{1 + \sum_{i=1}^{n} [n!/(n-i)!i!][(L)/k]^{i}}$$
(15-25)

The denominator of Equation 15-25 is simply the binomial expansion of  $[1 + (L)/k]^n$ :

$$[1 + (L)/k]^n = 1 + \sum_{i=1}^n [n!/(n-i)!i!][(L)/k]^i$$
 (15-26)

Differentiation of Equation 15-26 with respect to (L)/k, followed by multiplication by (L)/k, gives

$$n[(L)/k][1 + (L)/k]^{n-1} = \sum_{i=1}^{n} i[n!/(n-i)!i!][(L)/k]^{i}$$
 (15-27)

The right-hand side of Equation 15-27 corresponds to the numerator of Equation 15-25. Substituting Equations 15-26 and 15-27 into Equation 15-25, we obtain

$$v = \frac{n(L)/k}{1 + (L)/k}$$
 (15-28)

or

$$v/(L) = n/k - v/k \tag{15-29}$$

The simple forms of Equations 15-28 and 15-29 suggest that these expressions can be derived without recourse to the statistical framework we have generated. This is indeed the case, although the derivation just given is useful in that it gives good insight into the statistical features of the binding equilibria.

## A simple derivation

An easy way to derive Equation 15-28 is to focus on the binding equilibrium of site i only. Let  $\Theta_i$  be the fractional saturation of site i. Then,

$$\Theta_i = (\text{Bound site } i) / [(\text{Free site } i) + (\text{Bound site } i)]$$

$$= \frac{(\text{Free site } i) [(\text{Bound site } i) / (\text{Free site } i)]}{(\text{Free site } i) [1 + (\text{Bound site } i) / (\text{Free site } i)]}$$
(15-30)

Because (Bound site i)/(Free site i) = (L)/k, we have

$$\Theta_i = \frac{(L)/k}{1 + (L)/k}$$
 (15-31)

A similar expression may be written for each of the n identical sites. Adding these nexpressions together, we obtain Equation 15-28 (note that  $\sum_{i} \Theta_{i} = v$ ).

#### **Scatchard plot**

Equation 15-29 is a useful representation of the relationship between v and (L) for the simple case of identical independent sites. A plot of v/(L) versus v is sometimes known as a Scatchard plot (see Scatchard, 1949). This plot is linear with an ordinate intercept of n/k, an abscissa intercept of n, and a slope of  $-k^{-1}$  (Fig. 15-1). Clearly, this plot provides a simple and convenient way to obtain the two parameters that characterize the binding equilibria.

## **MULTIPLE CLASSES OF INDEPENDENT SITES**

## **Curved Scatchard plots**

In many cases, a Scatchard plot of v(L) versus v proves to be curved rather than linear. This may mean that more than one class of sites are present. If there are  $n_1$ independent sites with the intrinsic microscopic dissociation constant  $k_1$ , and  $n_2$ 

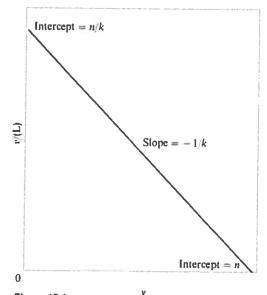


Figure 15-1

Scatchard plot for identical, independent binding sites.

sites with dissociation constant  $k_2$ , and so on, then an equation analogous to Equation 15-28 holds for each class of sites. Thus we obtain

$$v = \sum_{i} \frac{n_{i}(L)/k_{i}}{1 + (L)/k_{i}}$$
 (15-32)

and

$$v/(L) = \sum_{i} \frac{n_i/k_i}{1 + (L)/k_i}$$
 (15-33)

Equations 15-32 and 15-33 are parametric forms that may be used to obtain the parameters  $n_i$  and  $k_i$  from a Scatchard plot. Figure 15-2 is an illustration of a biphasic plot for the case of two classes of independent sites.

## Decomposition of a biphasic Scatchard plot

The plot in Figure 15-2 may be decomposed as follows. A tangent line is drawn to the plot around v = 0. The v/(L) intercept of this line<sup>§</sup> is  $n_1/k_1 + n_2/k_2$ . As a first

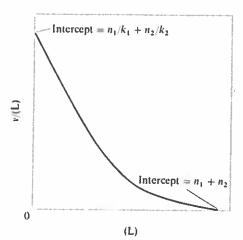


Figure 15-2 A biphasic Scatchard plot.

approximation, we can assume that it is dominated by the smallest k (defined as  $k_1$ ) and estimate that the intercept is equal to  $n_1/k_1$ . Likewise, the  $\nu$  intercept of the tangent line is taken to be a first estimate of  $n_1$ . With estimates of  $n_1$  and  $k_1$ , we can subtract from the data the contribution of the strongest-binding (smallest-k) sites. We then can construct a new plot that can be analyzed according to Equation 15-29 in order to obtain estimates of  $n_2$  and  $k_2$ .

The first estimate of all the parameters may be improved by a refinement process. For example, a new estimate of  $n_t/k_1$  may be obtained by subtracting the approximate values of  $n_2/k_2$  from the v/(L) intercept of the tangent line mentioned above. After this, the process can be continued to obtain a new estimate of  $n_2$  and  $k_2$ . Throughout the procedure, the constraint is used that  $\underline{n_1} + n_2$  equals the observed v intercept. The refinement procedure is continued until  $\sum_{i} (n_i/k_i)$  equals the observed v/(L) intercept.

Figure 15-3 gives data for the binding of Mn<sup>2+</sup> to the 5'-(three-fifths molecule) of a specific transfer RNA in 0.1 m triethanolamine. Based on the tRNA cloverleaf

$$\lim_{(L)\to 0} [v/(L)] = \sum_i n_i/k_i$$

This result also is obtained by letting  $(L) \rightarrow 0$  on the right-hand side of Equation 15-33.

The ratio v(L) appears to go to 0,0 when (L) -+ 0. The value of this indeterminate form can be obtained from l'Hôpital's rule, which says that the limiting ratio is given by the limit of the derivative of the numerator (v) divided by the derivative of the denominator, (L). From Equation 15-32,  $[dv/d(L)]_{(L)\to 0}$  $\sum_{i} n_{i}/k_{i}$ , and d(L)/d(L) = 1; therefore,

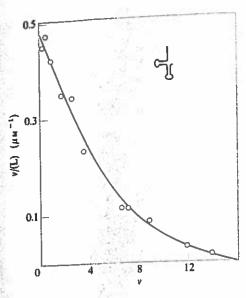


Figure 15-3 Biphasic Scatchard plot of Mn2+ binding to the 5'-(three-fifths molecule) of a specific tRNA. [After A. A. Schreier and P. R. Schimmel, J. Mol. Biol. 86:601 (1974).]

structure, this nucleic acid fragment contains single-stranded regions and a doublehelical hairpin stem and hairpin loop. The data were analyzed as just described to give two classes of sites with  $n_1 = 6$  and  $n_2 = 10$ ; the dissociation constants are  $\ddot{k}_1 = 14~\mu\mathrm{M}$  and  $k_2 = 200~\mu\mathrm{M}$ . The curve is constructed from these calculated parameters, whereas the points are experimental. Good agreement is achieved between the calculated and observed behaviors.

Are the parameters obtained from a multiphasic Scatchard plot unique? For example, could other  $n_i$  and  $k_i$  values equally well fit the data in Figure 15-3? With the constraint that  $n_1 + n_2 = \text{constant}$ , variations of  $\pm 1$  in  $n_i$  give relatively small (less than  $\pm 50\%$ ) changes in the  $k_i$  values for this particular example. This suggests that the  $k_i$  values are reliable. A related question is whether the data might also be described well by positing more than two classes of sites. Of course, the greater the number of parameters available to fit any data to a model, the better will be the agreement between theory and experiment. The best procedure is to account for data, within the limits of experimental error, with the fewest possible assumptions and parameters. This approach gives a picture of the minimal (and presumably dominant) features of the system.

#### INTERACTION BETWEEN SITES

#### Some general considerations

Now we must ask whether the assumption of separate classes of sites, and the representation of Equations 15-32 and 15-33, is the only way to account for curved

Scatchard plots. It clearly is not. It is possible, for example, that binding of one ligand alters the affinity of the macromolecule for the successive one, and so on, effectively producing a continuous variation in the microscopic dissociation constant.

For the simple case of one class of identical sites, we can define  $k_0$  as the microscopic dissociation constant at v = 0. As v increases, interactions between sites cause a change in k. Let  $\Delta G^0$  be the standard free energy change for dissociation of a bound ligand. This is given by

$$\Delta G^0 = \Delta G_0^0 + RT\phi(v) \tag{15-34}$$

where  $\Delta G_0^0 = -RT \ln k_0$ , and  $\phi(v)$  is a function that, by definition, takes into account the effects of interactions between sites that vary with the degree of saturation. From Equation 15-34, and the relationship  $k(v) = e^{-\Delta G^0/RT}$ , we obtain

$$k(v) = k_0 e^{-\phi(v)} \tag{15-35}$$

where  $\phi(v)$  is zero at v=0.

Equations 15-28 and 15-29 can now be used, but with k replaced by k(v) from Equation 15-35. If  $\phi(v)$  is a decreasing function of v, then k(v) increases as saturation proceeds. In this case, the Scatchard plot according to Equation 15-29 will be curved, concave upwards. On the other hand, if  $\phi(v)$  increases as v increases, then the Scatchard plot can be "humped," or concave downwards. As binding proceeds, successive ligands are bound more strongly (smaller dissociation constants). This situation corresponds to one in which a cooperative interaction between sites occurs as v increases. Figure 15-4 illustrates the two cases.

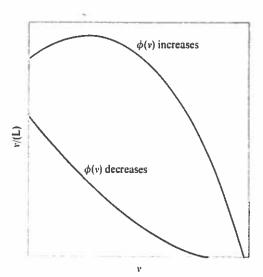


Figure 15-4 Hypothetical Scatchard plots for cases where  $\phi(v)$  decreases or increases with increasing v.

Because  $\phi(v)$  is a completely arbitrary function, it can always be defined so as to explain any data according to Equations 15-28 and 15-35. In an ideal situation, enough is known about the system under investigation that one can reasonably choose between the description of Equation 15-35 or the assumption of independent classes of sites as the best way to account for a curved Scatchard plot. If Equation 15-35 is believed to be the best description, then it is desirable to have a model for the system that permits a theoretical derivation of the functional form of  $\phi(v)$ . For example, simple electrostatic theory can be used to estimate  $\phi(v)$  for the association of ions with a charged macromolecule (see Tanford, 1961). With a definite form assigned to  $\phi(v)$ , one then can test whether the data actually do conform to Equa-

However, in many situations it is not possible to derive an expression for  $\phi(v)$ . Enough information about the system simply is not available.

In the absence of accurate information on (or evidence for) negatively interacting sites as described by Equation 15-35, it is best to treat a concave-up Scatchard plot in terms of independent classes of sites (according to Eqns. 15-32 and 15-33). This, at the least, provides a useful phenomenological description of the system. Moreover, in any given situation, the likelihood of genuinely distinct classes of sites may be self-evident. In the example of Figure 15-3, the macromolecule under investigation presumably contains both single-stranded and double-stranded sections. Because the two types of sections are known to have significantly different ligand (Mn2+) affinities, a model with at least two classes of sites is physically reasonable. If, as in the example, the data can be quantitatively accounted for by the different classes of sites known to exist in the macromolecule, then there is no reason to invoke possible effects due to  $\phi(v)$ .

However, in the event of a concave-down Scatchard plot (Fig. 15-4), separate classes of noninteracting sites cannot be assumed. This is because the description of Equations 15-32 and 15-33 gives only concave-up plots. Therefore, a concave-down plot is definitive evidence for interactions between sites:  $\phi(v)$  decreasing with increasing v. We treat such systems in following subsections.

In the general case where there are several classes of interacting sites, then Equations 15-32 and 15-33 apply, with each  $k_i$  replaced by  $k_i(v)$  where, by analogy with Equation 15-35,

$$k_i(v) = k_{0i}e^{-\phi_i(v)} (15-36)$$

where  $k_{0i}$  is the intrinsic microscopic dissociation constant at v = 0 for sites in class i, and  $\phi_i(v)$  is the interaction function for sites in class i. The interaction function for each class of sites may be unique, so that  $\phi_i(v)$  can be different from  $\phi_j(v)$ . Of course, Equations 15-32 and 15-33, in conjunction with Equation 15-36, are useful only if enough information is available that  $\phi_i(v)$  is known for each of the various classes of sites.

The preceding discussion serves to sketch the general issues that must be considered in treating interacting sites. In practice, cooperative interactions are probably

The magnitude of the cooperativity involved in binding two ligands can be cast into units of energy by a simple procedure. Let  $\Delta G_i^0 = RT \ln K_i$  be the apparent standard free energy change for binding the ith ligand in a series. (Recall that  $K_i$  is a dissociation constant, so that  $-RT \ln K_i$  is the free energy change associated with dissociation; therefore,  $+RT \ln K_i$  is that associated with association.) This free energy change contains a pure statistical component given by  $RT \ln (\Omega_{n,i-1}/\Omega_{n,i})$ (cf. Eqn. 15-20). To correct for this, we define the intrinsic standard free energy change associated with binding of the *i*th ligand in a series as  $\Delta \bar{G}_i^0$ , which is

$$\Delta \bar{G}_{i}^{0} = +RT \ln K_{i} - RT \ln(\Omega_{n,i-1}/\Omega_{n,i})$$
 (15-38)

We define the interaction energy  $\Delta G_{Lii}$  per site as the difference in the intrinsic free energies of association of the ith and jth ligands. This interaction energy is

$$\Delta G_{1,ij} = \Delta \bar{G}_j^0 - \Delta \bar{G}_i^0$$

$$= -RT \ln(K_i/K_j) + RT \ln\left(\frac{\Omega_{n,i-1}/\Omega_{n,i}}{\Omega_{n,j-1}/\Omega_{n,j}}\right)$$
(15-39)

With this definition of  $\Delta G_{l,ij}$ , if the jth ligand binds more strongly than the ith (j > i), then as in a cooperative system,  $\Delta G_{LH} < 0$ . Note also that, if each site has the same intrinsic dissociation constant, then the two terms on the right-hand side of Equation 15-39 cancel, and  $\Delta G_{\mathbf{l},ij} = 0$ .

In the case of oxygen binding to human hemoglobin, Equation 15-39 gives  $\Delta G_{1,ij} \cong -2$  kcal mole<sup>-1</sup> site<sup>-1</sup> for i = 1 and j = 4. This means that site-site interactions stabilize a bound oxygen molecule in the saturated hemoglobin tetramer by approximately 2 kcal mole<sup>+1</sup> over an oxygen molecule bound to a hemoglobin species that has three vacant sites.

## A semiempirical approach: the Hill constant

For the purpose of treating and characterizing data on the cooperative association of ligands, it is common practice to use a semiempirical approach and then to interpret the physical significance of the empirical parameters that are obtained. This approach is based on the assumption that the binding over part of the saturation range can be described by equations phenomenologically resembling those for an infinitely cooperative system. In the extreme case of infinite cooperativity, the binding can be represented as an "all-or-none" reaction:

$$M_0 + nL = M_s \tag{15-40}$$

$$K^n = (M_0)(L)^n/(M_n)$$
 (15-41)

the most commonly encountered examples of interacting sites. These are considered next.

#### Prevalence of cooperative interactions

There are many examples in biology of the association of ligands with a macromolecule being a cooperative process. One of the best-studied examples is the association of oxygen with hemoglobin, discussed in greater depth in Chapter 17. In addition, many multisubunit enzymes bind substrates or other molecules in a cooperative fashion. The enzyme aspartate transcarbamoylase (also considered in Chapter 17) exhibits this kind of behavior. And, in some instances, certain nucleic acids cooperatively bind particular ligands. Thus, cooperative interactions are widespread in biological systems.

The cooperative association of ligands with macromolecules has been treated by many authors. Some aspects of these treatments, and some of the models for cooperativity put forth, are discussed in Chapter 17. At this point, however, it is worth considering some of the elementary features of these kind of interactions.

## Statistical effects and interaction energy

For the sake of illustration, consider a macromolecule that combines with four ligands, L. If all of the sites are identical and independent and bind L with a microscopic dissociation constant k, then, according to Equation 15-20, the four macroscopic constants are

$$K_1 = (1/4)k (15-37a)$$

$$K_2 = (2/3)k$$
 (15-37b)

$$K_3 = (3/2)k$$
 (15-37c)

$$K_4 = 4 k$$
 (15-37d)

Therefore, in this case,  $K_1 < K_2 < K_3 < K_4$ ; that is, viewed from the standpoint of the macroscopic constants, the binding appears to become progressively weaker as saturation proceeds, even though the same microscopic constant holds for each site. Thus, from the standpoint of the macroscopic dissociation constants, statistical effects introduce some apparent anticooperativity into the binding equilibria.

In a cooperative system, when corrected for statistical effects, the apparent dissociation constant for one or more of the successive steps decreases as saturation progresses. In the example of a macromolecule with four sites, this means that, if cooperativity occurs between the first and second step, then (as a consequence of Eqn. 15-37)  $4K_1 > (3/2)K_2$ ; if all four steps involve progressively stronger binding, then  $4K_1 > (3/2)K_2 > (2/3)K_3 > (1/4)K_4$ .

where K is the apparent dissociation constant for the interacting sites. For this case, the parameter v is given by

$$v = n(M_n)/[(M_0) + (M_n)]$$
  
=  $[n(L)^n/K^n]/[1 + (L)^n/K^n]$  (15-42a)

$$v/(L) = [n(L)^{n-1}/K^n]/[1 + (L)^n/K^n]$$
 (15-42b)

whereas the fractional saturation  $\overline{y} = v/n$  is

$$\overline{y} = [(L)^n/K^n]/[1 + (L)^n/K^n]$$
 (15-43)

Equations 15-40 through 15-43 are based on the assumption that binding is infinitely cooperative for all n ligands. In practice, infinite cooperatively is not observed. Instead, data on cooperative interactions commonly are described over part of the saturation range (typically 25% to 75%) by semiempirical relationships analogous to Equations 15-40 through 15-43. These semiempirical relationships are

$$v = [n(L)^{z_{\rm H}}/K^{z_{\rm H}}]/[1 + (L)^{z_{\rm H}}/K^{z_{\rm H}}]$$
 (15-44a)

$$v/(L) = \left[ n(L)^{z_{\rm H}-1}/K^{z_{\rm H}} \right] / \left[ 1 + (L)^{z_{\rm H}}/K^{z_{\rm H}} \right]$$
 (15-44b)

$$\bar{y} = [(L)^{z_H}/K^{z_H}]/[1 + (L)^{z_H}/K^{z_H}]$$
 (15-45)

where  $1 \le \alpha_H \le n$ . The parameter  $\alpha_H$  commonly is known as the Hill constant (see Hill, 1910); it is an index to the cooperativity. When  $\alpha_H = n$ , the system behaves as perfectly cooperative, whereas  $\alpha_H = 1$  indicates no cooperativity. Figure 15-5 shows several plots of  $\overline{y}$  versus (L)/K for various values of  $\alpha_H$ . It is clear that the steepness of the curve is very sensitive to  $\alpha_H$ .

From Equation 15-45, the parameter  $\alpha_H$  is given by

$$\frac{d\{\ln[\overline{y}/(1-\overline{y})]\}}{d[\ln(L)]} = \alpha_{H}$$
 (15-46)

Equation 15-46 serves as a convenient definition of the Hill constant. In general, Equation 15-45 does not hold over the entire range of values of  $\overline{y}$ , so that  $\alpha_H$  is a

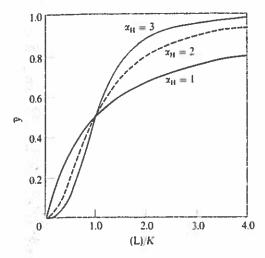


Figure 15-5 Effect of  $\alpha_H$  on fractional saturation curves.

function of the degree of saturation. Often the parameter  $\alpha_H$  is evaluated at  $\overline{y} = 1 - \overline{y} = 1/2$ . In this case, Equation 15-46 becomes (note that  $d \ln x = dx/x$ )

$$\left(\frac{d(\bar{y}/(1-\bar{y})]}{d(L)}\right)_{y=1/2} = \frac{\alpha_{H_11/2}}{(L)_{1/2}}$$
(15-47)

where  $(L)_{1/2}$  is the concentration of L at half-saturation, and  $\alpha_{H,1/2}$  is the value of  $\alpha_H$  when  $\overline{y} = 1/2$ . Equations 15-46 and 15-47 are useful relationships; they show that the Hill constant can be obtained from the slope of a plot of  $\ln[\overline{y}/(1-\overline{y})]$  versus  $\ln(L)$ , which is called a Hill plot.

Equation 15-44 gives parametric relationships that can be used to analyze Scatchard plots of cooperative associations, sometimes over a broad range of values of  $\nu$  and (L). These plots are markedly different from those discussed earlier for independent, noninteracting sites. According to Equation 15-44b, for  $\alpha_H > 1$  the plot actually passes through the origin—as when  $\nu = 0$  [or (L) = 0] and  $\nu/(L) = 0$ . At low values of  $\nu$  or (L), the curve rises and reaches a maximum at  $\nu_{max} = n(\alpha_H - 1)/\alpha_H$ , and then descends to intercept the  $\nu$  axis at  $\nu = n$ .

Figure 15-6 shows an example of this kind of Scatchard plot. This figure gives data on the cooperative association of  $Mn^{2+}$  to transfer RNA. The concave-down character of the plot is clearly evident. Parameters that characterize the interaction may be obtained by defining  $K^{z_{11}}$  in terms of experimentally determined variables as follows:

$$K^{\alpha_{H}} = (L)^{\alpha_{H}} [n(M)_{0} - (L)_{b}]/(L)_{b}$$
 (15-48)

and rearranging Equation 15-48 to give

$$\ln(L) = -(1/\alpha_H) \ln[(n/v) - 1] + \ln K$$
 (15-49)

to Equations

# LINKAGE OF LIGAND BINDING FROM AN ENERGETIC VIEWPOINT

## Coupling free energy

Gregorio Weber (1975) has used a different viewpoint for examining coupled ligand equilibria, treating them in terms of energetic considerations. This treatment helps us to think concretely in terms of the energies involved in the linked reactions.

For the sake of illustration, consider a simple system in which a macromolecule binds one molecule each of ligands  $L_1$  and  $L_2$ . The reactions are

$$M + L_1 \rightleftharpoons ML_1$$
  $\Delta G_1^0$  (15-82a)

$$M + L_2 \rightleftharpoons L_2 M \qquad \Delta G_2^0 \qquad (15-82b)$$

$$L_2M + L_1 \rightleftharpoons L_2ML_1 \qquad \Delta G_1^0(2)$$
 (15-82c)

$$ML_1 + L_2 \rightleftharpoons L_2ML_1 \qquad \Delta G_2^0(1) \tag{15-82d}$$

Standard free energies for each of the reactions are indicated on the right-hand side; for example,  $\Delta G_1^0(\bar{2})$  is the standard free energy change for binding  $L_1$  to the macromolecule saturated with  $L_{2+}$ 

The free energies in Equation 15-82 are not independent, but are tied together because

$$\Delta G_1^0 + \Delta G_2^0(1) = \Delta G_2^0 + \Delta G_1^0(2) = \Delta G^0(1, 2)$$
 (15-83)

where  $\Delta G^0(1,2)$  is the standard free energy change for the reaction

$$M + L_1 + L_2 \rightleftharpoons L_2ML_1 \tag{15-84}$$

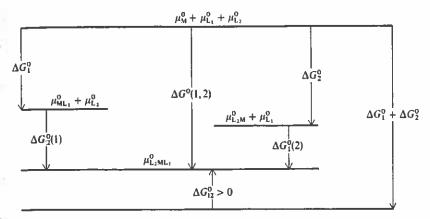
Figure 15-8 is a diagram of these relationships. Note that there is no requirement that  $\Delta G_1^0 = \Delta G_1^0(2)$  or that  $\Delta G_2^0 = \Delta G_2^0(1)$ . From Equation 15-83, we have

$$\Delta G_1^0(2) - \Delta G_1^0 = \Delta G_2^0(1) - \Delta G_2^0 \equiv \Delta G_{12}^0$$
 (15-85)

The meaning of this equation is similar to the linkage relationship of Equation 15-65 for the case m = n = 1. It says that the effect (in terms of free energy) of  $L_2$  on the binding of  $L_1$  is the same as the effect of  $L_1$  on the binding of  $L_2$ . This mutual effect of one ligand on the other can be put in terms of a coupling free energy  $\Delta G_{12}^0$  (defined in Eqn. 15-85).

Combining Equations 15-83 and 15-85, we obtain another expression for  $\Delta G_{12}^0$ :

$$\Delta G_{12}^0 = \Delta G^0(1,2) - \Delta G_1^0 - \Delta G_2^0 \tag{15-86}$$



Free energy diagram for a system of two ligands, L1 and L2, and a macromolecule M. Each ligand has one site on the macromolecule. Standard chemical potentials are designated  $\mu^0$  with subscripts referring to particular species. [After G. Weber, Adv. Protein Chem. 29:1 (1975).]

Thus,  $\Delta G_{12}^0$  is the difference between (a) the standard free energy for the overall reaction  $M + L_1 + L_2 \rightleftharpoons L_2 M L_1$ , and (b) the sum of the standard free energies for the reactions M +  $L_1 \rightleftharpoons ML_1$  and M +  $L_2 \rightleftharpoons L_2M$ . Figure 15-8 shows the definition of  $\Delta G_{12}^0$ .

Clearly, if  $\Delta G_{12}^0 = 0$ , there is no interaction between ligands; binding of each proceeds in a truly independent fashion. For other cases, the sign of the coupling free energy determines whether the interaction between ligands is cooperative or antagonistic. If  $\Delta G_{12}^0 < 0$ , then binding of either L<sub>1</sub> or L<sub>2</sub> facilitates binding of the other ligand. Conversely, when  $\Delta G_{12}^0 > 0$ , there is antagonism between the bindings of the ligands.

There is still another way to look at the coupling free energy. To do this, we write out the three relevant equilibria and their associated free energy changes:

$$L_1 + L_2 + M \rightleftharpoons L_2ML_1 \qquad \Delta G^0(1,2)$$
 (15-87a)

$$L_1 + M \rightleftharpoons ML_1 \qquad \Delta G_1^0 \tag{15-87b}$$

$$L_2 + M \rightleftharpoons L_2 M \qquad \Delta G_2^0 \qquad (15-87c)$$

Subtracting Equation 15-87b,c from 15-87a, we obtain

$$ML_1 + L_2M \rightleftharpoons M + L_3ML_1$$
  $\Delta G^0(1,2) - \Delta G_1^0 - \Delta G_2^0 = \Delta G_{12}^0$  (15-88)

Thus,  $\Delta G_{12}^0$  is the free energy change for a kind of disproportionation reaction. This reaction has an equilibrium constant  $K_{12}$  given by

$$K_{12} = e^{-\Delta G_{12}^0/RT} = (L_2 M L_1)(M)/(M L_1)(L_2 M)$$
 (15-89)

From this analysis, it is clear that, if  $\Delta G_{12}^0 < 0$ , then  $K_{12} > 1$ , and the species  $L_2ML_1$ and M are favored over the partially saturated forms ML<sub>1</sub> and L<sub>2</sub>M. The reverse holds true when  $\Delta G_{12}^0 > 0$ .

## Effect of coupling energy on distribution of bound ligands

It is of interest to inquire what magnitude of  $\Delta G_{12}^0$  is required to alter significantly the distribution of L<sub>1</sub> and L<sub>2</sub> among the species ML<sub>1</sub>, L<sub>2</sub>M, and L<sub>2</sub>ML<sub>1</sub>, as compared with the distribution when there is no coupling ( $\Delta G_{12}^0 = 0$ ). For this purpose, we define the fractional saturations  $\overline{y}_1$ ,  $\overline{y}_2$ , and  $\overline{y}_{12}$ :

$$\overline{y}_1 = [(L_2ML_1) + (ML_1)]/(M)_{Tot}$$
 (15-90a)

$$\bar{y}_2 = [(L_2ML_1) + (L_2M)]/(M)_{Tot}$$
 (15-90b)

$$\overline{v}_{12} = (L_2 M L_1)/(M)_{\text{Tel}}$$
 (15-90c)

where  $(M)_{Tot} = (M) + (ML_1) + (L_2M) + (L_2ML_1)$ . Clearly,  $\overline{y}_1$  and  $\overline{y}_2$  are the overall fractional saturations with respect to  $L_1$  and  $L_2$ , and  $\overline{y}_{12}$  is the degree of double saturation.

Consider a situation where  $(L_1)$  and  $(L_2)$  are so adjusted that one-half of the  $L_1$ sites and one-half of the L2 sites are filled. Under these conditions, it is easy to show (see Problem 15-4) that

$$K_{12} = \vec{y}_{12}^2 / [(1/2) - \vec{y}_{12}]^2$$
 (15-91)

and

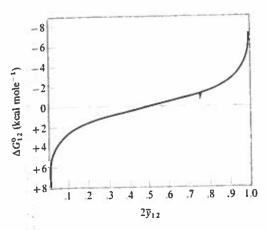
$$\bar{y}_{12} = (1/2)K_{12}^{1/2}/(1 + K_{12}^{1/2})$$
 (15-92)

Substituting Equation 15-91 into Equation 15-89, we obtain

$$\Delta G_{12}^0 = -2RT \ln[2\bar{y}_{12}/(1-2\bar{y}_{12})]$$
 (15-93)

From Equation 15-93 we can obtain a plot of  $\Delta G_{12}^0$  versus  $2\bar{y}_{12}$  (Fig. 15-9). When  $2\overline{y}_{12} = 1$ , all of the bound ligands are in the form of  $L_2ML_1$ . When  $2\overline{y}_{12} = 0$ , all of the bound ligands are in the form of ML<sub>1</sub> and L<sub>2</sub>M. At the point  $2\vec{y}_{12} = 0.5$ , we see that  $\Delta G_{12}^0 = 0$  (no coupling); this is the result expected for a simple unbiased statistical distribution of the ligands among ML<sub>1</sub>, L<sub>2</sub>M, and L<sub>2</sub>ML<sub>1</sub>, and where each species is present in equal amounts. Note that the plot in Figure 15-9 is symmetric about the point  $2\bar{y}_{12} = 0.5$ .

When  $\Delta G_{12}^0 = -2$  kcal mole<sup>-1</sup>, then  $2\bar{y}_{12}$  is greater than 0.8; when  $\Delta G_{12}^0 =$ -3 kcal mole  $^{-1}$ , then  $2\overline{y}_{12}$  is over 0.9. In the latter case, over 90% of the bound L<sub>1</sub> and L<sub>2</sub> is in the form of the double-saturated species L<sub>2</sub>ML<sub>1</sub>. In this instance, ligand



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Figure 15-9 Relation between degree of double saturation  $(\overline{y}_{12})$  and free energy coupling  $(\Delta G_{12}^0)$  of the bound ligands, when the degree of saturation of each ligand (y1, y2) equals 0.5. [After G. Weber,

Adv. Protein Chem. 29:1 (1975).]

binding proceeds largely from the species M to L2ML1, with little formation of ML1 and  $L_2M$ . Conversely, when  $\Delta G_{12}^0 = +2$  or +3 kcal mole<sup>-1</sup>, most of the species at half-saturation are the monoliganded forms  $ML_1$  and  $L_2M$ . Thus, a coupling energy of only about  $\pm 2$  kcal mole<sup>-1</sup> is sufficient to cause a substantial skewing of the distribution of liganded forms away from that obtained on a random basis.

# Coupling free energies found in biological systems

Table 15-1 gives several examples of values for the coupling free energy between two different ligands that interact with a protein. Both positive and negative energies are found, corresponding to antagonistic and cooperative effects, respectively. The

Table 15-1 Free energy coupling between ligands

Protein	Ligand couple⁵	$\Delta G_{12}^0$ (kcal mole <sup>-1</sup> )
Hemoglobin	Oxygen, 2,3-DPG	+1.3
Hemoglobin	Oxygen, IHP	+2,3
Serum albumin, bovine	ANS, 3,5-dihydroxybenzoate	+1.5
Pyruvate kinase	Phosphoenol pyruvate, K+	-1.2
Pyruvate kinase	K <sup>+</sup> , Mn <sup>2+</sup>	-1.4
	Phenylalanine, Mn <sup>II</sup>	+0.8
Pyruvate kinase Aspartate transcarbamoylase	CTP, succinate	+0.5
Lactate dehydrogenase, chicken heart	NADH, oxalate	-1.5

HP = inositol hexaphosphate; CTP = cytidine triphosphate; 2,3-DPG = 2,3-diphosphoglycerate; ANS = 1-anilinonaphthalene 8-sulfonate.

Source: After G. Weber, Adv. Protein Chem. 29:1 (1975).

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