# Photoelectrochemical Tandem Cells for Solar Water Splitting

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ABSTRACT: In order to be economically competitive with simple "brute force" (i.e., PV + electrolyzer) strategies or the production of promising solar fuels, like  $H_2$ , from fossil fuels, a practical photoelectrochemical device must optimize cost, longevity, and performance. A promising approach that meets these requirements is the combination of stable and inexpensive oxide semiconductor electrodes in a tandem photoelectrochemical device. In this article, we give an overview of the field including an examination of the potential solar-to-fuel conversion efficiency expected in a device with realistic losses. We next discuss recent advances with increasing the performance of promising semiconductor electrode materials and highlight how these advances have led to state-of-the-art solar-to-chemical efficiencies in the 2−3% range in real devices. Challenges for further optimization are further outlined.



## 1. INTRODUCTION

The realization of a sustainable energy economy is contingent on developing an energy vector that can be efficiently (re)generated from renewable sources, easily stored, and straightforwardly transported to the point of use. Chemical fuels, which store energy in covalent bonds, are especially attractive for this purpose, as the infrastructure for the widespread use of fossil fuels is already in place. Solar fuels produced by photon-to-chemical energy conversion are promising substances to fulfill this need. In particular, producing  $H_2$  from another renewable resource, water, using only sunlight as input energy has been thought of as an attractive goal for decades. Indeed, solar hydrogen is considered the central element in a multifaceted and interconnected chemical network-a "solar refinery"-which will ultimately convert photons from the sun into raw materials for industrial synthesis and carbon-based chemical fuels. $<sup>1</sup>$  The overall</sup> electrochemical reaction of water splitting consists of both reduction and oxidation half-reactions as follow[s](#page-11-0) (with reaction potentials against the reversible hydrogen electrode, RHE):

Reduction reaction:

$$
2H^+ + 2e^- \rightarrow H_2
$$
  $(E_{red}^0 = 0.00 \text{ V vs RHE})$ 

Oxidation reaction:

 $2H_2O + 4h^+ \rightarrow O_2 + 4H^+$  ( $E_{ox}^0 = -1.23$  V vs RHE)

Overall reaction:

$$
2\mathrm{H}_2\mathrm{O} \rightarrow 2\mathrm{H}_2 + \mathrm{O}_2 \quad (\Delta E = -1.23 \mathrm{~V})
$$

Since the overall reaction has a negative standard potential energy must be added for water splitting to occur. We need only to consider how to use solar energy to supply the energy need to drive this reaction. While this possibility seems straightforward, special attention is needed if this scheme is to

be considered as a viable route for converting solar energy on a scale commensurate with the global energy demand. For instance, any system for converting solar energy must find a balance between minimizing system complexity (i.e., device cost) and maximizing device performance (i.e., energy conversion efficiency and device longevity) given the relatively low energy density of solar irradiation. Moreover, any economically feasible system for solar hydrogen production must also compete with the price of  $H_2$  generated from conventional sources (US\$ 2–3 kg<sup>-1</sup> for the steam reforming of natural gas). $<sup>2</sup>$ </sup>

Traditionally, the electrical potential required to drive the overall water s[p](#page-11-0)litting reaction can be supplied by any direct current (DC) source to an electrolyzer, where the reduction reaction takes place at the cathode and the oxidation reaction takes place at the anode. While a difference in bias of only 1.23 V should be sufficient to split water into  $H_2$  and  $O_2$ , due to the entropic increase needed to drive this process as well as the overpotential required to overcome kinetics of the oxygen and hydrogen evolution reactions, modern alkaline electrolyzers usually operate at voltages above  $1.8 \,$  V.<sup>3</sup> The most obvious approach to generate sufficient voltage for water splitting with solar energy is to connect multiple standa[rd](#page-11-0) photovoltaic (PV) cells in series. For example, a traditional pn-junction silicon PV produces a potential of 0.5−0.6 V at its maximum power point under standard conditions. Thus, three or four of these connected electrically in series would create sufficient potential to split water (depending on the type of electrolyzer employed). This "brute force" PV + electrolysis approach is limited by the price and availability of PV devices and electrolyzers, and currently results in a price of  $H_2$  produced



around US\$10 kg<sup>−</sup><sup>1</sup> assuming an installed cost of \$2 per peak watt<sup>4</sup> (which is the expected target for installed residential PV by the year 2020 according to the US DOE SunShot initi[at](#page-11-0)ive<sup>5</sup>). While this price can certainly decrease as the PV market grows, a major and general drawback comes from the voltage [o](#page-11-0)utput of a tradition pn-junction solar cell, which is strongly dependent on the illumination intensity. This implies that the optimum number of cells required to generate sufficient potential is highly variable due to variations in light intensity caused by haze, cloud cover, or time of day. This important disadvantage can result inasmuch as a 50% energy loss, and challenges the viability of the PV + electrolysis approach. Then again, this has also inspired researchers to develop systems that can potentially avoid this drawback and also convert solar energy at a price competitive with fossil sources. Developing systems that meet these goals remains a major challenge, but the severity of the global energy situation has stimulated the development of many promising routes. In this feature, we describe progress toward developing an inexpensive device for the direct conversion of solar energy into hydrogen fuel using a photoelectrochemical tandem cell.

## 2. DIRECT SOLAR WATER SPLITTING WITH PHOTOELECTROCHEMICAL CELLS

Compared to the PV + electrolysis approach, a more straightforward path to light-driven chemical transformations is to directly interface a semiconductor and the electrolyte as in a photoelectrochemical (PEC) device. Not only does this route eliminate the need for a separate electrolyzer (reducing system complexity), fewer electron transfer steps are also required, reducing the number of potential loss channels in the system. When a semiconductor is placed in direct contact with an electrolyte, the equilibration of the semiconductor's chemical potential (the Fermi level) with the oxidation/reduction potential of the electrolyte can generate an electric (spacecharge) field in the semiconductor of width W, at the semiconductor-liquid junction (SCLJ). Upon the absorption of a solar photon with energy  $h\nu > E_{\varrho}$  (the semiconductor band gap energy), this space-charge field can separate photogenerated electrons in the semiconductor's conduction band from their corresponding holes in the valence band. In contrast to a traditional photovoltaic cell where the photogenerated charge carriers are extracted by electrical contacts into an electric circuit, excited charge carriers in a PEC electrode travel to the SCLJ to perform redox chemistry directly. For example, with an n-type photoanode under illumination, photogenerated holes directly oxidize water into  $O_2$ , while, for a p-type photocathode, photogenerated electrons can directly reduce water into  $H_2$ . In either case, the single photoelectrode absorbs two photons to produce one molecule of  $H<sub>2</sub>$  (the so-called S2 approach), and must generate sufficient external chemical potential (free energy,  $\Delta \mu_{\rm ex}$ ) with these photons to overcome the 1.23 V required to split water plus the electrochemical overpotentials for the oxidation and reduction reactions,  $\eta_{\text{ox}}$ and  $\eta_{\text{red}}$ , respectively.

Historically, interest in performing inexpensive solar water splitting using a PEC device goes back decades, and was first demonstrated using  $TiO<sub>2</sub>$  as a single semiconductor absorber in an S2 approach over 40 years ago.<sup>6</sup> As an oxide semiconductor,  $TiO<sub>2</sub>$  offers excellent stability in the relatively harsh aqueous conditions of water splitting. How[e](#page-11-0)ver, this material possesses a semiconductor band gap energy,  $E_{\rm g}$ , around 3.2 eV. Thus, it cannot harvest a significant portion of the solar spectrum and therefore its potential solar-to-hydrogen conversion efficiency is very low (less than 2%). Because of this, following the demonstration with  $TiO<sub>2</sub>$ , substantial effort was invested toward developing ideal PEC materials. To date, the challenge of identifying a single semiconducting material that can offer substantial solar light harvesting, adequate stability in the harsh aqueous electrolytes required, and appropriate conduction and valence band energy levels straddling the water reduction and oxidation potentials has proven very difficult. However, since the water splitting reaction inescapably requires two separate half-reactions, it seems obvious to use two light absorbers for PEC water splitting.

Indeed, a simple two-photoelectrode approach to construct a PEC water splitting cell is to use an n-type semiconductor photoanode together with a p-type semiconductor cathode. The electrochemical energy scheme for this type of device in a "wireless" configuration is shown in Figure 1 for a photoanode/



Figure 1. Electron energy scheme of PEC water splitting using a dualabsorber tandem cell. The absorption of a photon  $(h\nu)$  by a semiconductor with a band gap  $(E_{\mathrm{g}})$  creates an electron−hole pair that can be separated by the space charge layer to generate a photopotential (as indicated by the splitting of the Fermi level, broken line). The combination of two absorbers as shown will increase the free energy  $(\Delta \mu_{ex})$  available. This free energy must be greater than the energy needed for water splitting (1.23 eV) plus the overpotential losses at both the anode and the cathode,  $\eta_{ox}$  and  $\eta_{red}$ , for the water splitting reaction to occur. Four photons must be absorbed in this mechanism to produce one molecule of  $H_2$ .

photocathode type tandem cell with band gap energies  $E_{\text{g1}}$  an  $E_{g2}$ , respectively, and assuming  $E_{g1} > E_{g2}$ . In this approach with two photoelectrodes where each absorbed photon creates one excited electron−hole pair, four photons (two in each absorber) must be absorbed to create one molecule of  $H_2$ (called a D4 approach, accordingly). In addition, to optimize solar photon harvesting, the cells can be placed one on top of the other (i.e., in tandem) and photons not absorbed by the first cell are transmitted to and absorbed by the second. To motivate the development of this type of device, Weber and Dignam<sup>7</sup> evaluated the potential solar to hydrogen conversion efficiency,  $\eta_{STH}$ , of this tandem approach compared to simply placing [t](#page-11-0)wo cells of the same band gap energy side by side. While the evaluation of the side-by-side approach gave an upper limit of 16.6% with  $E_{\text{g1}} = E_{\text{g2}} = 1.4 \text{ eV}$  (a moderate increase over the 11.6% predicted with a single absorber approach), using the integrated tandem approach, 22%  $\eta_{STH}$  was predicted to be possible with  $E_{g1} = 1.8$  eV and  $E_{g2} = 1.15$  eV. Since it is important to know a reasonable value for the maximum

<span id="page-2-0"></span>expected solar energy conversion with reasonably foreseen losses in a real system, a more extensive analysis by Bolton plotted the maximum  $\eta_{\text{STH}}$  with respect to different absorber band-gaps and realistic losses.<sup>8,9</sup> In this work, it was assumed that each semiconductor absorbs all photons with energy  $h\nu$  >  $E_g$  and transmits all photons [with](#page-11-0) energy  $h\nu < E_g$ . No reflection or scattering losses were included. Following the method of Bolton, if a minimum reasonable value for the energy loss,  $U_{\text{loss}}$  $= E_{g1} + E_{g2} - \Delta\mu_{ex} + \eta_{ox} + \eta_{red}$ , is chosen to be 2.0 eV (or 1.0 eV for each semiconductor), the maximum expected  $\eta_{STH}$  as a function of the chosen band gap energies,  $E_{\text{g1}}$  and  $E_{\text{g2}}$ , can be predicted considering the distribution of photons in standard  $\,$ solar illumination (AM 1.5G 1000 W m $^{-2}$ ). Figure 2a shows a



Figure 2. (a) Contour plot (thick gray lines) showing the maximum predicted  $\eta_{\text{STH}}$  with AM 1.5G incident radiation  $(1000 \text{ W m}^{-2})$  and a total loss,  $U_{\text{loss}}$ , set at 2.0 eV as it depends on the chosen semiconductor band gap energies,  $E_{g,i}$ ,  $i = 1$ , 2 (with  $E_{g1} > E_{g2}$ ). (b) The benefits of the tandem cell approach are shown through the AM 1.5G solar photon flux as a function of wavelength and photon energy. The shaded area of the spectrum represents the photos that could be harvested using a single semiconductor absorber (yellow) and a dual absorber tandem approach (light brown and purple).

contour plot of this case based on Bolton's method using the ASTM G173-03 Reference Spectra<sup>10</sup> and further assuming that the two semiconductors are connected in series (so that the photocurrent density must be equ[al\)](#page-11-0).

The shapes of the contours (gray lines), which represent values of  $E_{g1}$  and  $E_{g2}$  that result in the same  $\eta_{STH}$ , are easily rationalized. First, no contours are present in the upper left of the plot, as this is where the set condition  $E_{g1} > E_{g2}$  is not satisfied. The upper right region, where  $\eta_{\text{STH}}$  is also not defined, represents semiconductor combinations that do not possess sufficient  $\Delta \mu_{\rm ex}$  for water splitting in a dual absorber configuration given the assumed  $U_{\text{loss}}$ . The contours also have a shape defined by an abrupt change in direction (e.g., where  $E_{\sigma 1}$  = 2.69 eV and  $E_{\sigma 2}$  = 2.34 eV on the 5% contour) where the device limiting the photocurrent switches, indicating that each absorber is producing the maximum possible amount of photocurrent at the turning point. The boundary defined by these "current matching" points divides a region to the lower left (where the predicted efficiency is limited by the light absorption of the top cell) from the upper right (where  $\eta_{STH}$  is limited by the bottom cell).

Remarkably, even with the large assumed losses in the tandem cell, a maximum  $\eta_{STH}$  of 21.6% is predicted using optimum values of  $E_{\text{gl}} = 1.89$  and  $E_{\text{g2}} = 1.34$  eV. This is a significant improvement over the single absorber case, which is predicted to give only  $\eta_{\text{STH}}$  of 12.7% under optimum conditions (using  $E_g = 2.23$  eV) with the same assumed losses (1.0 eV per photon). The photon harvesting of the standard solar spectra using the optimized tandem cell compared to the single absorber approach is shown in Figure 2b. Here, the advantage of the tandem cell can be clearly seen as the top cell harvests more of the spectra compared to the single absorber approach.

In addition to our revision of Weber and Dignam's classic analysis above with large losses, we note that, during the preparation of this work, Lewis and co-workers published detailed balance calculations that account for the Shockley− Queisser limit on the  $\Delta \mu_{\rm ex}$  of each absorber.<sup>11</sup> The maximum STH efficiency for a water splitting photoanode/photocathode tandem cell was found to be 29.7% with  $E_{\text{g1}} = 1.60$  $E_{\text{g1}} = 1.60$  $E_{\text{g1}} = 1.60$  and  $E_{\text{g2}} =$ 0.95 eV. Thus, while the conditions and losses specified in a model can lead to a varied maximum predicted  $\eta_{\text{STH}}$ , it is clear that a quite attractive solar-to-hydrogen conversion efficiency (at least) over 20% and possibly even up to 30% can be expected with a tandem PEC cell. However, the optimum band gap energy range for these maximum efficiencies is narrow, and presents a significant challenge for materials development. Then again, considering that standard single crystal silicon PV modules coupled to high-pressure electrolysis give only up to about 9.3%,<sup>12</sup> a PEC tandem cell with over 10%  $\eta_{STH}$  is a reasonable goal, and this relaxed constraint leaves a wide window of [sui](#page-11-0)table  $E_{\sigma}$ 's to choose from. Of course, achieving this still depends on identifying materials with the correct band gap energies and band positions suitable for the water oxidation and reduction reactions. Notably, this has been accomplished using monolithic, epitaxially grown III−V semiconductor systems and high-performance PEC tandem cells have been demonstrated with impressive  $\eta_{\rm STH}$  up to 12.5%.<sup>13</sup> However, the  $\operatorname{GalnP}_2$  photocathode used quickly corrodes when in contact with the aqueous electrolyte. $14$  Indeed[, r](#page-11-0)ealizing a practical device further requires that the materials employed are widely available, inexpensive to prepar[e, a](#page-11-0)nd stable in a water splitting PEC cell. This goal has been the focus of our research at EPFL as well as many other groups.

#### 3. OXIDE SEMICONDUCTORS AS PHOTOANODES

While many n-type photoanodes have been considered for the water oxidation half-reaction, identifying a material that remains stable in the harsh conditions of water oxidation has proved to be quite difficult. Transition metal oxide semiconductors have, however, excelled in this aspect, with the prototype being

<span id="page-3-0"></span>TiO $_2$ .<sup>6,15</sup> Moreover, solution-based processes like sol−gel, spray pyrolysis, or hydrothermal routes can be easily used followed by ther[mal a](#page-11-0)nnealing to obtain oxide thin film electrodes without expensive processing techniques. For  $TiO<sub>2</sub>$ , the rutile or anatase phases exhibit a band gap energy in the range 3.0−3.2 eV which unfortunately limits its potential solar-to-hydrogen conversion efficiency to around 2%, even if used in a current-matched tandem cell. However, due to its favorable aspects, stability, and activity for photoelectrochemical water oxidation, an intense amount of effort has been focused on increasing the light absorption of  $TiO<sub>2</sub>$  with research reports appearing as early as 1977.<sup>16</sup> However, the lack of success in increasing the energy conversion efficiency of TiO<sub>2</sub> using sunlight to above  $~1\%$  over the l[ast](#page-11-0) ∼30 years has resulted in skepticism concerning the potential with this approach.<sup>17</sup> Despite this, interest remains and some recent reports have renewed promise. For example, Chen et al. suggested a [po](#page-11-0)tentially successful route to enhancing the light absorption by using hydrogenated anatase  $TiO<sub>2</sub>$  nanocrystals and tuning the amount of lattice disorders.<sup>18</sup> In this way, midgap electronic states were created, accompanied by a reduced band gap. The resulting black  $TiO<sub>2</sub>$  nanocryst[als](#page-11-0) exhibited substantial activity and stability (over 22 days) in the photocatalytic production of hydrogen from water under sunlight. Another modern strategy to increase light absorption on TiO2 has been to harness plasmonic resonance.19−<sup>21</sup> In one report, Cronin and co-workers prepared  $TiO<sub>2</sub>$  photoanodes with integrated Au nanoparticles and demonstrat[ed a](#page-11-0) 66-fold increase in photocurrent using photons with energy 1.96 eV (well below the band gap energy of  $TiO<sub>2</sub>$ ).<sup>21</sup> In general, commercializing plasmonically enhanced electrodes becomes more interesting with the possibility to emp[loy](#page-11-0) non-noble metals to reduce electrode  $cost.^{22}$ 

A perhaps simpler alternative to searching for a method to increase the light absorption of  $TiO<sub>2</sub>$  is to employ a different stable oxide with a smaller band gap energy. Generally, the band gap energy of a transition metal oxide is defined by oxygen 2p states on the valence band and metal d orbitals for the conduction band. An oxide with a smaller band gap energy will thus most probably have a similar valence band but a conduction band at a lower energy. This is in fact ideal for the use of transition metal oxides as photoanodes in tandem cells, and while there are numerous binary and hundreds of possible ternary transition metal oxides, relatively few have been identified as suitable for the water oxidation reaction. These few notable examples like  $\text{WO}_{3}^{\;\;23}\:\text{BiVO}_{4}^{\;\;24}$  and  $\text{Fe}_{2}\text{O}_{3}^{\;\;25}$  have been well-developed by a number of groups. The maximum possible solar-to-hydrogen conv[ers](#page-12-0)ion effi[cie](#page-12-0)ncy as a f[un](#page-12-0)ction of the oxide band gap energy, assuming it is used as a top cell and the same reasonable losses are present that were used for Figure 2, is shown in Figure 3. Typically, research on these oxide materials has focused on increasing the photocurrent density [\(](#page-2-0)in units of mA cm<sup>−</sup><sup>2</sup> ) measured with respect to an applied potential (reported versus a known reference) under standard solar illumination. This photocurrent is a good metric of the number of photogenerated charge carriers that reach the SCLJ and participate in an oxidation reaction. As long as the Faradaic efficiency of water oxidation is unity, $26$  the photocurrent corresponds directly to the rate of water splitting. The maximum photocurrent possible for a phot[oan](#page-12-0)ode under standard conditions is also shown in Figure 3.

As the original low-band gap oxide alternative to titanium dioxide, tungsten trioxide was first reported shortly after the initial demonstration with  $\text{TiO}_2$ .<sup>27</sup> With a band gap energy of



Figure 3. Maximum solar-to-hydrogen conversion efficiency and solar photocurrent as a function of the top cell band gap energy in a tandem cell with the loss assumptions identical to Figure 2. The band gap energies and efficiency range for commonly used semiconductor oxide photoanodes are also shown.

2.6−2.8 eV, it could be the top absorber in a tandem cell that could potentially convert up to about 6% of the standard sunlight into hydrogen. In the past decade, Augustynski and others have optimized the solution-based preparation of nanostructured thin film electrodes of electrodes of  $WO<sub>3</sub>$  that achieve incident photon-to-electric current efficiency (IPCE) of up to 90% and solar photocurrents in the 2–3 mA cm<sup>-2</sup> range with the application of an external bias (customarily reported at the water oxidation potential, 1.23 V vs RHE).<sup>28</sup> Similar to  $TiO<sub>2</sub>$ , more recent efforts with this material have focused on increasing its photon harvesting ability. For exa[mp](#page-12-0)le, a small amount of additional photocurrent can also be extracted using a plasmonic approach, $29$  and doping has been extensively investigated.<sup>30</sup> However, while  $\overline{WO}_3$  remains an important model compound, t[he](#page-12-0) high IPCE values already reported suggest that [th](#page-12-0)e return on further optimization of this material is limited.

Bismuth vanadate is a comparatively more recent material exploited as a photoanode. The monoclinic scheelite phase of BiVO4, with a band gap of about 2.4 eV, was shown to be photoactive for water oxidation in 1999. $31$  From Figure 3, this material could reach solar-to-hydrogen efficiencies of over 9% in a ta[nd](#page-12-0)em cell when used with a second absorber of  $E_g < 1.9$ eV. Over the past decade, rapid development has shown improvements of the photocurrent of  $\text{BiVO}_4$  based photoanodes resulting mostly from the incorporation of substitutional dopants like  $W^{32,33}$  and  $Mo^{34}$  to increase the majority carrier transport. Water oxidation photocurrents have been observed up to 2.8 m[A cm](#page-12-0)<sup>−</sup><sup>2</sup> unde[r s](#page-12-0)tandard conditions using Mo as a dopant.<sup>35</sup> One drawback exhibited by this material has been the large overpotential required to drive the oxygen evolution reacti[on](#page-12-0) (OER). To overcome this, OER catalysts like  $RhO_2^{35}$  and cobalt-phosphate  $(Co-Pi)^{36-38}$  have been applied to give a remarkable reduction of overpotential and reasonable [p](#page-12-0)hotocurrent (over 1 mA cm<sup>−</sup><sup>2</sup> [unde](#page-12-0)r AM 1.5G illumination) at potentials under 1.23 V vs RHE. This is shown in Figure 4 with the Co-Pi catalyst where the authors also compare the photocurrent with a one-electron sacrificial probe  $(H_2O_2^{39})$  [to](#page-4-0) demonstrate that the Co-Pi/BiVO<sub>4</sub> electrode

<span id="page-4-0"></span>

Figure 4. J–V curves measured for a W:BiVO<sub>4</sub> photoanode in 0.1 M KPi buffer (pH 8) under 1 sun AM 1.5 front-side illumination (solid) and in the dark (dotted). The red curve describes PEC water oxidation by the W:BiVO<sub>4</sub> photoanode, and the green curve describes the PEC behavior after addition of 0.1 M  $H_2O_2$  to the electrolyte solution. The blue curve describes PEC water oxidation by the  $W:BiVO<sub>4</sub>$ photoanode after Co-Pi deposition. Reprinted from ref 37.

almost completely eliminates losses due to electron−hole recombination at the SCLJ (but recombination in the bulk remains).<sup>37</sup> Overall, the relatively low IPCEs observed with this material (<50%) suggest that further research could push photocur[ren](#page-12-0)ts over the 5 mA cm<sup>−</sup><sup>2</sup> benchmark under standard conditions if the bulk recombination can be reduced.

Hematite  $(\alpha$ -Fe<sub>2</sub>O<sub>3</sub>) is also a promising transition metal oxide with a band gap of ca. 2.1 eV. This corresponds to a maximum  $\eta_{STH}$  of ca. 15% according to Figure 3, if a second absorber with  $E_{g2}$  < 1.5 eV is used. Besides its relatively small band gap,  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> is the most stable form of ir[on](#page-3-0) oxide under ambient conditions, and since iron is ubiquitous in the earth's upper crust, hematite is an outstanding candidate for solar energy conversion on a scale commensurate with the global energy demand. Hardee and Bard<sup>40</sup> first turned to  $Fe<sub>2</sub>O<sub>3</sub>$  as a material for water photolysis in 1976 seeking a photoanode material that was both stable u[nde](#page-12-0)r anodic polarization and capable of absorbing light with wavelengths longer than 400 nm. Over the next decade, numerous reports were published describing continuing studies with pure and doped  $Fe<sub>2</sub>O<sub>3</sub>$  made by various routes. Noteworthy photoelectrochemical studies were performed on Ti doped polycrystalline sintered hematite pellets<sup>41,42</sup> and Nb doped single crystals,<sup>43</sup> which led to IPCEs up to about 40% at 370 nm and 1.23 V vs RHE in 1 M NaOH. Howe[ver,](#page-12-0) the first decade of work [id](#page-12-0)entified the many challenges of employing this material for photoelectrochemical water splitting, most notably a large overpotential and slow water oxidation kinetics, $42$  a low absorption coefficient, requiring relatively thick films,<sup>44,45</sup> poor majority carrier conductivity, <sup>43,46</sup> and a s[ho](#page-12-0)rt diffusion length of minority carriers.<sup>41</sup> These limitations sugge[sted](#page-12-0) that a compact thin film geometry w[as no](#page-12-0)t suitable for hematite, and indeed, modern reports [w](#page-12-0)ith hematite have shown that photocurrents can be drastically increased by careful nanostructuring. A review of this work has been recently published.<sup>25</sup> At EPFL, we have demonstrated solar photocurrents in excess of 3.0 mA cm<sup>−</sup><sup>2</sup> at 1.23 V vs RHE with nanostructu[red](#page-12-0) films prepared using atmospheric pressure chemical vapor deposition (APCVD) and coated with an OER catalyst  $(IrO<sub>2</sub>)<sub>1</sub><sup>47</sup>$  and comparable

photocurrents have been achieved with a solution-based colloidal approach.<sup>48,49</sup>

Given the poor charge carrier conductivity of  $Fe<sub>2</sub>O<sub>3</sub>$ , one approach that has [gain](#page-12-0)ed recent success is the extremely thin absorber (ETA) or host−guest approach. We have first demonstrated this using nanostructed  $WO_3$ <sup>50</sup> Here, a thin but conformal layer of the light absorbing iron oxide was coated on the oxide scaffold, eliminating the requirem[en](#page-12-0)t of the  $Fe<sub>2</sub>O<sub>3</sub>$ to transport charges long distances. More recently, photocurrents have been demonstrated over 1 mA  $cm^{-2}$  at 1.23 V vs RHE using atomic layer deposition with this approach on  $TiSi<sub>2</sub>$ "nanonets",<sup>51</sup> and 2.5 mA cm<sup>-2</sup> at 1.4 V vs RHE using APCVD films on a high surface area conducting  $Nb:SnO<sub>2</sub>$  scaffold.<sup>52</sup> The drastic [e](#page-12-0)ffect that the scaffold has on the photocurrent in this approach is shown in Figure 5. However, in general wi[th](#page-12-0)



Figure 5. A "guest" material, hematite, deposited by APCVD into the Nb:SnO<sub>2</sub> host as evidenced by cross-sectional SEM (a). Current− voltage measurements under AM 1.5 simulated light in 1 M NaOH resulted in high photocurrents for the host−guest structure (black) and negligible photocurrents for the host-only and guest-only control samples (gray) (b). Dark currents are shown as dashed lines. Reprinted from ref 52.

this method, the [in](#page-12-0)crease in the interfacial area between the iron oxide and the scaffold has been shown to limit the photocurrent due to recombination.<sup>53</sup> This has instigated a line of research focused on engineering the interface between the iron oxide and the scaffold materia[l.](#page-12-0)<sup>54–56</sup> SiOx,<sup>56</sup> SnO<sub>2</sub>,<sup>53</sup> and  $\rm Ga_2O_3^{~55}$  interfacial layers have been shown as promising buffers that decrease recombination. Most [rece](#page-12-0)ntly, [Hi](#page-12-0)satomi [e](#page-12-0)t al. report[ed](#page-12-0) absorbed-photon-to-current efficiency (APCE) exceeding 40% under 400 nm illumination using films of  $Fe<sub>2</sub>O<sub>3</sub>$ only 9 nm thick. This impressive APCE performance, which rivals the best nanostructure thin films with optimized thickness over 500 nm, was enabled with a  $Nb<sub>2</sub>O<sub>5</sub>$  underlayer deposited by ALD.<sup>54</sup> Further development of the ETA approach will no doubt lead to further increase in photocurrent with  $Fe<sub>2</sub>O<sub>3</sub>$ based el[ect](#page-12-0)rodes. For example, further development of ternary overlayers like the  $\text{ZnFe}_2\text{O}_4$  employed by Choi and co-workers seems particularly promising.<sup>5</sup>

In addition to the morphology and interfacial recombination, other factors critical to the p[erf](#page-12-0)ormance of hematite electrodes have been shown to be majority carrier transport and recombination at the SCLJ. The former issue is most typically addressed by substitutional cation doping,<sup>58,59</sup> and the latter issue was initially attributed to poor OER kinetics.<sup>60</sup> However, most recently, the role of recombination [at su](#page-12-0)rface trapping states has been recognized. $61$  Surface passivatio[n t](#page-12-0)echniques using (non-OER promoting) overlayers like  $Al_2O_3^{\ 62}$  and  $In_2O_3^{63}$  have been shown t[o e](#page-12-0)liminate some overpotential by reducing Fermi level pinning in these electrodes, a[nd](#page-12-0) the subse[qu](#page-13-0)ent addition of an OER catalyst can further reduce the overpotential.<sup>64</sup> Despite this, a large overpotential remains even in hematite electrodes with optimized surface treatments, and this has spar[ked](#page-13-0) debate over the nature of the role of the OER catalyst and the surface passsivating overlayers.<sup>60,65</sup> As will also be emphasized later on, the further reduction of the overpotential for water oxidation is of primar[y](#page-12-0) [im](#page-13-0)portance to hematite for its application in a water splitting device. Advancement on this front will make a large impact on the overall solar to hydrogen efficiency in real devices. In addition and in general for photoanode research, identifying stable oxide semiconductors with activity for the water oxidation reaction and a band gap energy around 1.9 eV is also a major goal in the  $field.<sup>66</sup>$ 

## 4. [PH](#page-13-0)OTOCATHODES AND PHOTOANODE/PHOTOCATHODE TANDEM CELLS

While numerous n-type semiconductors have been well-studied as photoanodes for water oxidation, comparatively very little work has been done on photocathodes for water reduction. To function as a photocathode, a semiconductor has to have a conduction band located cathodic of the water reduction potential and also exhibit p-type behavior, so, when it is immersed in water, minority carriers (electrons) are driven to the surface of the electrode at the SCLJ. In addition, it is necessary to find materials with a small enough band gap energy, so a significant portion of the visible light is harvested. Because the reductive side of the cell is not submitted to conditions as harsh as the ones present on the oxidative side (indeed, this electrode is cathodically protected against oxidation), the search for efficient photocathodes has not been limited to oxide materials. The very first photocathode for water splitting, reported by Yoneyama et al. in 1975, was a single crystal of p-type GaP, with a band gap of 2.38  $eV.<sup>67</sup>$  Since this first report, many different semiconductors were suggested as photocathodes for water reduction. It is possible t[o](#page-13-0) group these materials in different categories: p-doped phosphides, pdoped chalcogenides, p-doped silicon, and p-doped oxides. Materials from these four categories have been suggested over the past decades, with increasing performances, in the search for low-cost and efficient photocathodes for water reduction.

Single-crystalline phosphides, such as p-GaP, p-InP, or p- $\text{GalnP}_2$ , are good solar absorbers, with relatively low band gap energy, making them potential candidates for photoinduced water reduction. One of the first tandem PEC cells reported,

with an n-SrTiO<sub>3</sub> photoanode and a p-GaP photocathode achieved, an overall 0.67% efficiency.<sup>68</sup> In 1982, Heller and coworkers reported that single-crystalline InP ( $E<sub>g</sub> = 1.35$  eV), once passivated by an oxide layer a[nd](#page-13-0) coated with Rh or Re, achieved respectively 13.3 and 11.4% photocathodic conversion efficiencies in 1 M HClO<sub>4.</sub><sup>69</sup> Bockris and co-workers later reported 8.2% overall conversion efficiency for a PEC cell made of an n-GaAs photoanode [a](#page-13-0)nd a single-crystalline p-InP photocathode.<sup>70</sup> GaInP<sub>2</sub> ( $E_{\rm g}$  = 1.83 eV) has been studied by Turner and co-workers, and was shown to be reasonably stable under acidic c[on](#page-13-0)ditions.<sup>14</sup> In 1998, they created a device made of a p−n GaAs photovoltaic junction connected with a crystalline  $p$ -GaIn $P_2$  ph[oto](#page-11-0)cathode, and achieved a benchamrk 12.4% conversion efficiency in 3 M  $H_2SO_4$ .<sup>13</sup> However, despite high efficiencies, gallium and indium phosphides are not ideal for inexpensive large-scale production, du[e](#page-11-0) to the scarcity of their components and the need for them to be singlecrystalline.

Chalcogenide p-type materials, initially developed for thin film photovoltaics, have also been explored as potential photocathodes for water reduction. Early studies showed that ZnTe  $(E_g = 2.25 \text{ eV})$  was unstable, whereas single-crystalline CdTe showed a relatively low band gap ( $E<sub>g</sub>$  = 1.5 eV) and good stability at cathodic potentials under acidic conditions.<sup>71</sup> However, the expensive material achieved relatively low performances when used in combination with n-SrTiO<sub>3</sub>: o[nly](#page-13-0) 0.18% overall conversion efficiency (3.7 times lower than the p-GaP cathode). Finally, Zoski and co-workers reported a Ptcoated tungsten disulfide (p-WS<sub>2</sub>,  $E_g = 1.3$  eV) electrode with a cathodic conversion efficiency of  $6-7\%$  under 50 mW cm<sup>-2</sup> (632.8 nm) light intensity, in 6 M  $\text{H}_2\text{SO}_4$ .<sup>72</sup> These early studies have served as an important foundation for the recent increase in interest in chalcogenides for photoca[th](#page-13-0)odes. For example, Lewis and co-workers very recently revisited  $p-WSe<sub>2</sub>$  and coated a crystalline ( $E<sub>g</sub>$  = 1.2 eV) photocathode with platinum and ruthenium to achieve cathodic conversion efficiencies higher than 7%.<sup>73</sup> The authors also stressed the importance of the purity of the crystalline phase to achieve good minoritycarrier diffusio[n le](#page-13-0)ngths. CuIn<sub>1−x</sub>Ga<sub>x</sub>Se<sub>2</sub> (CIGS) is a modern and interesting chalcogenide because its band gap can be tuned between 1.0 and 1.7 eV, by modifying its Ga:In ratio. Domen and co-workers coated a p-CIGS electrode with a thin n-type CdS layer, and platinized it to produce a 12 mA cm<sup>−</sup><sup>2</sup> cathodic photocurrent at pH 9.5, although the light intensity was not specified. $74$  The same group later successfully used the same approach with p-CuGaSe<sub>2</sub> ( $E<sub>g</sub>$  = 1.65 eV),<sup>75</sup> a cheaper material initially [rep](#page-13-0)orted by Miller and co-workers as suitable for photoinduced hydrogen production, $7^6$  [with](#page-13-0) photocurrent as high as 13 mA cm<sup>-2</sup> in 0.5 M H<sub>2</sub>SO<sub>4</sub> under 1 sun illumination. Finally, a recent report by Matsumur[a](#page-13-0) and co-workers showed that Pt-CdS-coated electrodes of p-CuInS<sub>2</sub> (p-CIS,  $E_g = 1.5 \text{ eV}$ ) and p-Cu(In,Ga)S<sub>2</sub> (p-Ga:CIS,  $E<sub>g</sub>$  =1.5 eV), deposited by spray pyrolysis, were able to work as photocathodes for water reduction in 0.1 M europium nitrate.<sup>77</sup> Given these recent demonstrations, it is clear that several chalcogenide materials are very promising p-type semiconduct[ors](#page-13-0) for water reduction. Requirements for small band gap and easy-to-process electrodes are met, and it should be possible to reach high efficiencies with cheap materials, based on abundant elements. While shortterm stability studies seem promising with these materials, the long-term stability of these materials under operation remains an important issue for further development as well as replacing the n-type CdS overlayer used to promote charge extraction.

<span id="page-6-0"></span>

Figure 6. Top line: (a) Schematic representation of the Cu<sub>2</sub>O electrode structure. (b) Scanning electron micrograph showing a top view of the electrode after ALD of ZnO:Al<sub>2</sub>O<sub>3</sub> (20 nm)/TiO<sub>2</sub> (11 nm) followed by electrodeposition of Pt nanoparticles. Bottom line: Current–potential characteristics in 1 M Na<sub>2</sub>SO<sub>4</sub> solution, under chopped AM 1.5 light illumination for the bare Cu<sub>2</sub>O electrode (c) and for the as-deposited Cu<sub>2</sub>O/  $ZnO:Al_2O_3$  (20 nm)/11 nm TiO<sub>2</sub>/Pt electrode (d). E is the electrochemical potential of the electrode. The insets show the respective photocurrent transients for the electrodes held at 0 V versus RHE in chopped light illumination with  $N_2$  purging. Reprinted with permission from ref 92. Copyright 2011 Nature Publishing Group.

Because of its abundance and small band gap energy (1.12 eV), p-Si makes a good candidate for use in a tandem PEC cell. However, we note that, given the losses assumed for the efficiency prediction in Figure 2, a tandem cell will not generate sufficient  $\Delta \mu_{\rm ex}$  with a silicon photocathode unless a photoanode of  $E_g$  $E_g$  > 2.2 eV is used, limiting the conversion efficiency to ca. 15% at maximum. Even so, a lot of effort has been placed into improving the performances of silicon photocathodes since their first report in 1976.<sup>78</sup> An early study from Wrighton's group showed that, when functionalized with a bipyridinium compound and platinum [ac](#page-13-0)ting as catalysts, a p-Si electrode achieved 5% efficiency when illuminated at 632.8 nm, as opposed to essentially no hydrogen generation for the bare material. However, the device showed poor stability, with a decrease of 20% in efficiency in the first hour.<sup>79</sup> In 1984, Tsubomura and co-workers reported increased efficiency, achieved by diffusing phosphorus into the mate[ria](#page-13-0)l from its surface. $80$  The resulting  $n^{\frac{1}{T}}p$ -Si material, when coated with platinum, showed 7.8% conversion efficiency in a 3 M HBr solutio[n,](#page-13-0) oxidizing I<sup>−</sup> from a HI solution at the anode. Again, the photocurrent was reported to decrease quickly, because of the formation of reduced species on the surface of the electrode. More recently, employing nanostructuring and morphology control techniques have led to important advances with Si photocathodes. For example, in 2011, Lewis and coworkers reported a microwire array of platinized n<sup>+</sup>p-Si prepared by a templated vapor−liquid−solid growth process, which allowed the use of lower-purity Si compared to other deposition techniques.<sup>81</sup> Increased conversion efficiency of this material compared to pure p-Si was attributed to a higher band

bend[in](#page-13-0)g at the n<sup>+</sup>/p interface, causing a higher difference in energy between the quasi-Fermi levels under illumination. Another approach, developed by Branz and co-workers, relied on a metal-assisted etching technique to inexpensively nanostructure the surface of a Si electrode. This was shown to greatly improve the photocathode performance by both increasing its surface area and decreasing the amount of light reflected by the surface (estimated around 25% for a planar surface).<sup>82</sup> Finally, Chorkendorff and co-workers reported a greatly enhanced stability toward oxidation, obtained by coating the surf[ace](#page-13-0) of the n<sup>+</sup>p-Si electrode with a thin layer of titanium. They also reported that  $MoS<sub>x</sub>$  could be used as an efficient electrocatalyst with this system.<sup>83</sup> Overall, silicon performances as a photocathode have been recently improved using different approaches, morphology contr[ol a](#page-13-0)nd surface treatments, and to date, it remains one of the most promising materials for a largescale production due to its very high abundance. Accordingly, researchers have also begun to develop oxide photoanode/p-Si photocathode tandem cells for overall water splitting. For example, very recently, a n-WO<sub>3</sub>/p-Si device has recently been reported by Lewis and co-workers, where the semiconductors were coated on the opposite sides of the same ITO substrate.<sup>84</sup> While the photocurrents were low and no conversion efficiency was reported, this work critically stresses the significance [of](#page-13-0) semiconductor interfaces, as these were found to limit the performance.

A last class of materials that have been studied as potential photocathodes for water reduction is the p-type metal oxides. Although studied very early, it is only recently that they achieved remarkable conversion efficiencies. Indeed, in their

early work on a variety of p-type oxides, Hardee and Bard showed that only p-CuO and  $p-Bi<sub>2</sub>O<sub>3</sub>$  produced a cathodic photocurrent but were also both unstable.<sup>85</sup> Benko and coworkers later confirmed that, although having a low band gap  $(E_{\rm g}$  = 1.35 eV) suitable for water r[ed](#page-13-0)uction, p-CuO decomposed under illumination.<sup>86</sup> The first stable p-type oxide photocathode was reported by Somorjai and co-workers in 1982. In their work, they us[ed](#page-13-0) a polycrystalline  $p\text{-Fe}_2O_3$ electrode to reduce water, while the oxidation was performed at a polycrystalline n-Fe<sub>2</sub>O<sub>3</sub> electrode. Unfortunately, the performance of the device was quite poor: only 0.06% overall conversion efficiency in 0.1 M  $\text{Na}_2\text{SO}_4$ .<sup>87</sup> Another stable material, crystalline p-CaFe<sub>2</sub>O<sub>4</sub> ( $E<sub>g</sub>$  = 1.9 eV), was later reported by Sato and co-workers but again [wi](#page-13-0)th relatively poor performances: only a few  $\mu$ A·cm<sup>-2</sup> under 400 mW cm<sup>-2</sup> illumination.<sup>88</sup> Much more recently, Ishihara and co-workers reported higher photocurrents (200  $\mu$ A cm $^{-2})$  for the same material us[ed](#page-13-0) in tandem with an n-TiO<sub>2</sub> photoanode.<sup>89</sup> In 2008, Grimes' group reported a p−Cu−Ti−O nanotube array coupled with an  $n-TiO$ , photoanode.<sup>90</sup> The conv[ers](#page-13-0)ion efficiency of the device was reported to be 0.30%. Given the very few number of stable p-type oxides, o[ne](#page-13-0) recent strategy has been to afford stability with surface treatments. McFarland and co-workers published the first report of a protected oxide photocathode in 2003. They used n-TiO<sub>2</sub> to protect an electrodeposited p-type Cu<sub>2</sub>O ( $E<sub>g</sub>$  = 2.0 eV) electrode.<sup>91</sup> By using a thin protective layer of n-TiO<sub>2</sub>, McFarland's team showed reduced photocorrosion of the electrode w[ith](#page-13-0)out diminution of its performance. The potential of cuprous oxide as a material composed of readily available elements and the ability to be deposited at low temperature by scalable techniques (e.g., electrodeposition) has stimulated the further development of a stabilized  $Cu<sub>2</sub>O$  electrode. Recently, Paracchino et al. reported a highly efficient electrodeposited  $p$ -Cu<sub>2</sub>O electrode, protected by the atomic layer deposition (ALD) of nanolayers of Al-doped ZnO and TiO<sub>2</sub>, and coated with electrodeposited platinum.<sup>92,93</sup> The electrode produced photocurrents as high as 7.6 mA⋅cm<sup>-2</sup> at 0 V vs RHE, under standard illumination, in 1 M  $Na<sub>2</sub>SO<sub>4</sub>$  (see Figure 6), and its stability has been greatly improved, from lasting only seconds without protecting layers to producing photocurre[nt](#page-6-0) for days with optimized protection layers. This drastic improvement in stability and the high photocurrent it has been shown to deliver makes  $Cu<sub>2</sub>O$  arguably the state-of-the-art p-type oxide, and one of the most promising low-cost materials reported so far. Additionally, some efforts have been focused on the creation of protected p-Cu<sub>2</sub>O electrodes evolving hydrogen without the need of expensive cocatalysts. Reisner and co-workers presented a  $NiO_x$ -coated  $Cu_2O$  photocathode, working in tandem with an n-WO<sub>3</sub> photoanode at pH  $6<sup>94</sup>$  and Wang and co-worker reported a carbon-protected  $p$ -Cu<sub>2</sub>O photocathode with 0.56% conversion efficiency in 1 M  $Na<sub>2</sub>SO<sub>4</sub>$  $Na<sub>2</sub>SO<sub>4</sub>$  $Na<sub>2</sub>SO<sub>4</sub>$  under AM 1.5 illumination.<sup>95</sup> Despite its recent success in the literature, it should be pointed out that, when using  $Cu<sub>2</sub>O$  as the bottom cell in a [ta](#page-13-0)ndem configuration, the solar-to-hydrogen conversion efficiency is limited to under 9% according to Figure 2. To achieve this performance, the top cell must have a band gap energy of exactly 2.42 eV. If used as a top cell, rather, the sit[ua](#page-2-0)tion becomes less restrictive to achieve efficiency over 10%, but the availability of photoanodes with suitable band gap energy between 1.3 and 1.5 eV remains a limitation. Overall, oxide photocathodes, although considered for a long time as unstable and inefficient materials for water reduction, have

recently become increasingly popular with the development of nanostructuring and protection techniques. Indeed, low-cost, solution-processed oxides represent a very attractive type of material for a large-scale device production; however, oxides with high stability and low band gap energy are generally still needed.

#### 5. PHOTOANODE/PHOTOVOLTAIC TANDEM CELLS

Due to the difficulty in identifying stable p-type cathodes that can operate in tandem with an oxide photoelectrode to give a high performance PEC device, oxide-photoanode/photovoltaic cell tandem devices have been developed. This approach provides a good compromise between device performance, complexity, and stability. A photoelectrode/PV tandem device can be connected by simply wiring together the photoanode and PV cell, or prepared in a monolithic geometry, as shown in Figure 7. The operation conditions of a photoanode/PV



Figure 7. (a) Electron energy scheme of photoelectrode/photovoltaic tandem cells with an n-type photoanode coupled to a pn-junction photovoltaic cell. (b) The operation conditions of a photoanode/PV tandem device as shown by the idealized current−voltage curves for a PV cell (blue) on top of an n-type photoanode (red) in the dark (broken lines) and under illumination (solid lines). Relevant parameters of the curves are indicated and described in the text. Reprinted with permission from ref 111. Copyright 2010 Materials Research Society.

tandem device can be predicte[d](#page-14-0) [b](#page-14-0)y comparing the (twoelectrode) current density−voltage characteristics of each individual cell under the actual illumination conditions of each separate part (given its position in the particular tandem configuration). $'$  Figure 7b shows the current density, J, with respect to the applied potential  $(U)$  for an arbitrary photovoltaic c[el](#page-11-0)l (solid blue curve) and a photoanode (solid red curve) under illumination conditions. The operating

potential  $U_{op}$  of the tandem cell is defined as the potential measured at the intersection between the PV and the PEC cell curves. The current density  $(A \ m^{-2})$  at this point,  $J_{\text{op}}$ , represents the electrical current that flows between the two cells and can be used to estimate the overall solar-to-hydrogen conversion efficiency through the following relation:

$$
\eta_{\text{STH}} = \frac{J_{\text{op}} \Delta G_{\text{H}_2}^0 \eta_{\text{farad}}}{2qE_{\text{S}}}
$$

where  $\Delta G_{\rm H_{2}}^{\rm 0}$  represents the standard free energy of the hydrogen produced (lower heating value, 2.46 eV per molecule of H<sub>2</sub>),  $\eta_{\text{farad}}$  is the Faradaic efficiency for the water splitting reactions, q is the elementary charge  $(1.6022 \times 10^{-19} \text{ C} = 1 \text{ eV})$  $V^{-1}$ ), and  $E_S$  represents the power flux from the sun incident on the tandem cell (1000 W m<sup>−</sup><sup>2</sup> under standard conditions, AM 1.5G). The factor of 2 in the denominator represents the stoichiometric relation between one molecule of  $H_2$  and the number of electrons needed to produce it electrochemically from H<sub>2</sub>O. In practice, the *J*−*U* properties of the individual cells can be independently varied to maximize  $J_{op}$  and, accordingly, the  $\eta_{STH}$  of the device.

It should be noted that, in a real device,  $J_{op}$  is not found by merely maximizing the current output from each cell (denoted as the short circuit current density,  $J_{\rm sc}$ , for the PV cell and the plateau photocurrent density,  $J_{\text{pl}}$ , for the photoanode).  $U_{\text{loss}}$  and other device nonidealities (such as high series and low shunt resistances) necessitate that the characteristic cell potentials (denoted as the open circuit photopotential,  $U_{\alpha\beta}$  and the photocurrent onset potential,  $U_{\text{on}}$ , for the PV and the photoanode, respectively) also be considered in device optimization. Indeed, these latter parameters become the critical factors in the operation of a tandem cell. In addition, we note that the careful measurement of the photocurrent densities under standard conditions is very important for the accurate prediction of the  $\eta_{STH}$ . Standard methods for the measurement of PEC cells have recently been reported.<sup>96</sup>

To date, there have been many demonstrations of this type of device in the literature. For example, a  $TiO<sub>2</sub>$  photoa[nod](#page-13-0)e in tandem with a thin film PV device based on  $Cu(In,Ga)Se_2/CdS$ produced hydrogen at a rate of 0.052  $\mu$ L s<sup>-1</sup> cm<sup>-2</sup> during unassisted solar water splitting (corresponding to an IPCE of  $1.02\%)$ . While less interest has been paid toward using the prototypical  $TiO<sub>2</sub>$  as a photoanode due to its large band gap, this w[ork](#page-13-0) demonstrated the importance of using optimized protective layers  $(Nb_{0.03}Ti_{0.97}O_{1.84}$  in this case) to eliminate corrosion of the PV cell in the aqueous conditions.

Research efforts have recently focused on using promising transition metal oxides like  $WO_3$  and  $Fe_2O_{3.6}$  Miller and coworkers have investigated combining tungsten<sup>98</sup> or iron<sup>99</sup> oxide photoanodes in tandem with a-Si:Ge PV. As a drawback, the large overpotential for water oxidation  $(\eta_{ox})$  [an](#page-13-0)d the r[ela](#page-13-0)tively low  $U_{\text{oc}}$  of an a-Si device requires a double junction PV in tandem to provide sufficient potential to drive the overall water splitting reaction (similar to the PV only case where 3 pnjunctions were needed with a-Si). Despite this, 3%  $\eta_{STH}$  was obtained with the  $WO_3/a-Si:Ge/a-Si:Ge$  device.<sup>98</sup> A similar device for iron oxide with only one PV (Fe<sub>2</sub>O<sub>3</sub>/a-Si:Ge) did not split water without an external bias, but it was sho[wn](#page-13-0) that a 0.65 V bias "savings" was earned under AM 1.5G illumination.<sup>99</sup> Likewise, a 0.3 V reduction in the necessary overpotential was noted when  $Fe<sub>2</sub>O<sub>3</sub>$  was deposited on n-Si.<sup>100</sup>

From a practical perspective, the attractive aspects of using a widely available, highly stable, and inexpensively produced photoanode are diminished when using a tandem component that requires relatively expensive processing techniques (e.g., the plasma-enhanced chemical vapor deposition of a-Si). Thus, many investigations have focused on using next-generation photovoltaics that can also be fabricated with inexpensive, solution processing methods. The dye-sensitized solar cell (DSSC) is the prototype example<sup>101</sup> of this class of photovoltaic device and thus has attracted significant attention for use in solar water splitting tand[em](#page-14-0) cells with a stable photoanode.<sup>102</sup> One important advantage of using a DSSC over that of a conventional pn-junction photovoltaic device is that the voltage [out](#page-14-0)put of the DSSCs is less sensitive with varying illumination intensity.<sup>103</sup> This implies that a photoanode/ DSSC tandem cell designed to operate at 1 sun illumination will maintain sufficient  $\Delta \mu_{\text{ex}}$  for water splitting compared to a photoanode/pn-junction combination during conditions of lower light intensities (e.g., during hazy or partially cloudy days).

The photoanode/DSSC combination was first suggested by Augustynski and Grätzel<sup>104</sup> with  $WO_3$  as the photoanodesuggesting that device would be capable of 4.5%  $\eta_{STH}$  based on the plateau photocurrent  $(J_{\text{pl}})$  of  $\text{WO}_{3}$ .<sup>105</sup> In practice, tandem devices with  $WO_{3,h}$  have been constructed by Park and Bard<sup>106</sup> and Arakawa et al.  $^{107}$  giving  $\eta_{\rm STH}$ 's up t[o 2.8](#page-14-0)% at AM 1.5G (100 mW cm<sup>−</sup><sup>2</sup> ) using Pt as the cathode. However, similar to the [a-Si](#page-14-0) based devices, [two](#page-14-0) DSSCs connected in series to the photoanode were necessary to afford overall water splitting. This was accomplished by positioning these two DSSCs side by side behind the  $WO<sub>3</sub>$  photoanode.

This photoanode/2×DSSC architecture does not fundamentally provide a limitation to the possible solar-to-hydrogen conversion efficiency compared to a two-absorber D4 approach for  $WO_3$  or even the more promising  $Fe<sub>2</sub>O<sub>3</sub>$ , as less than onethird of the available solar photons have a wavelength shorter than 600 nm and pan-chromatic dyes with high quantum efficiency extending past 900 nm are being developed.<sup>108</sup> However, it does present a challenge to device construction as the two DSCs need to be constructed each with half of [the](#page-14-0) active area of the photoanode in order to normalize the total area of the device.

The development of new dyes for the DSSC during the past decade has initiated work to explore alternative device architectures for optimizing light harvesting in these water splitting tandem cells. For example, all-organic dyes, such as the squaraine dye, have a narrow absorption bandwidth extending into the far red region of the visible, and have demonstrated solar power conversion efficiencies over 6% under AM 1.5G illumination.<sup>109,110</sup> Specifically, the dye coded SQ1 absorbs light with wavelengths only between 550 and 700 nm. In addition to [consid](#page-14-0)erably reducing costs when compared to a ruthenium-based dye, Brillet et al. pointed out that two new distinct tandem cell configurations become accessible when using this type of dye.<sup>111</sup> The possible configurations are shown in Figure 8. In addition to the standard photoanode/2×DSSC design (Figure 8a), [a](#page-14-0) true trilevel device (photoanode/ squaraine [D](#page-9-0)SSC/panchromatic DSSC) would also be effective (Figure 8b). Thi[s](#page-9-0) configuration would eliminate the need to construct two DSSCs side by side. A second additional possibili[ty](#page-9-0) is to arrange two side-by-side DSSCs in front of a hematite photoanode (Figure 8c). This "front DSSC" configuration is particularly attractive in view of light harvesting

<span id="page-9-0"></span>

Figure 8. Layout of three architectures for a tandem cell using a hematite photoanode and two dye-sensitized solar cells in series. (a) "back DSSC" configuration, (b) "trilevel" configuration, and (c) "front DSSC" configuration. Reprinted with permission from ref 111. Copyright 2010 Materials Research Society.

as transition metal oxides (in particular hematite) have high indexes of refraction, which increase reflection. Moreover, the front DSSC approach eliminates the need to deposit the photoanode on a transparent conducting glass and an inexpensive metal foil support could instead be used.

Brillet et al. determined how these two new photoanode/ DSSC tandem concepts performed compared to the standard architecture using nanostructured hematite photoanodes. The trilevel tandem architecture (photoanode/squaraine DSSC/ panchromatic DSSC) produced the highest operating current density, and thus the highest expected solar-to-hydrogen efficiency of 1.36%. The conventional photoanode/2×DSSC architecture gave 1.16%, and the front DSSC configuration resulted in 0.76%. It should be noted that these values were far below the expected 3.3% that should have been possible with the nanostructured hematite photoanodes used. Reduced light harvesting caused by scattering and reflection were strongly affecting the overall conversion efficiency. This is illustrated by the optical and electronic characteristics of the trilevel tandem

cell presented in Figure 9. Here, it is shown that, while the hematite photoanode only produces photocurrent for  $\lambda < 600$ 



Figure 9. Optical and electronic characteristics of the "trilevel" tandem cell. Top panel: The solid line shows the incident solar flux. The IPCE of the hematite (dashed line) is convoluted with the solar flux to give the electron flux in the photoanode (shaded area). Middle panel: The solar flux is convoluted with the transmittance of the hematite (dotted line) to give the incident irradiance on the squaraine DSSC (solid bold line). The irradiance is convoluted with the IPCE of the squaraine DSSC (dashed line) to give the electron flux in the intermediate photovoltaic device (shaded area). Bottom panel: The solar flux is convoluted with the transmittance of the squaraine dye plus hematite (dotted line) to give the incident irradiance on the black DSSC (solid bold line). The irradiance is convoluted with the IPCE of the black DSSC (dashed line) to give the electron flux in the bottom photovoltaic device (shaded area). Reprinted with permission from ref 111. Copyright 2010 Materials Research Society.

n[m, th](#page-14-0)e light transmitted to the middle and back cells is severely attenuated by scattering and reflection losses. This causes the DSSC photocurrent to limit the overall performance of the tandem cell. While device engineering should improve the operating current density by reducing reflection, scattering, and resistive losses, DSSCs with higher  $U_{\text{oc}}$ 's would enable a true D4 photoanode/DSSC water splitting tandem cell.

Indeed, in 2012, a specifically designed cobalt redox couple combined with an all-organic dye (coded Y123) gave DSSCs with  $U_{\text{oc}}$  > 1.0 V at 1 sun conditions.<sup>112</sup> This breakthrough allowed the first demonstration of a D4 photoanode/DSSC water splitting tandem cell.<sup>113</sup> Devices [w](#page-14-0)ere assembled with both nanostructured  $WO_3$  and  $Fe_2O_3$  photoandes, and  $J_{op}$  was measured to give  $\eta_{STH}$  value[s of](#page-14-0) 3.10 and 1.17% with the  $\rm \ddot{WO}_3/$ DSSC and  $Fe<sub>2</sub>O<sub>3</sub>/DSSC$  combinations, respectively. An optical analysis also compared the predicted photocurrent from the



Figure 10. General scheme (left) of a photoanode/dye-sensitized-solar-cell tandem cell. Spectral response and J–V characteristics of the WO3 (yellow)/DSSC (blue) (a, c) and Fe<sub>2</sub>O<sub>3</sub> (red)/DSSC (blue) (b, d) tandem cells. The transmittance of the photoanode (not shown) convoluted to the AM 1.5G photon flux on the photoanode (a, b black lines) allows the calculation of the photon flux incident to the DSSC (a, b gray lines). IPCE data (not shown) and the photon flux incident to each element are used to estimate the photocurrent density (shaded areas under the curves in parts a and b). J−V curves (c and d) of the cells are shown under AM 1.5G irradiation. The filled lines represent the J−V curves predicted from calculation (see ref), and the triangles represent data from in situ device measurements. Adapted from ref 113.

integration of IPCE measurements and the actual J−U behavior measured in situ in the device. This optical analysis and the J−U curves for each device are shown in Figure 10. In the case of the Fe<sub>2</sub>O<sub>3</sub>/DSSC tandem cell,  $J_{op}$  is far from the plateau region of the hematite electrode photocurrent. This results in a performance far from the maximum obtainable. The limitation of this system is clearly the late onset of the photocurrent in the photoelectrode, despite the use of state-of-the-art strategies to shift cathodically the onset of the photocurrent by means of surface catalysis and passivation. However, in the case of the WO3/DSSC tandem cell, the photocurrent onset is not a limitation and the  $J_{op}$  is very close to the plateau region of the photoanode (approximately 1000 mV). The limiting factor in this case is the low photocurrent obtainable by the photoanode due to the less than ideal absorption capability of tungsten trioxide in the visible region of the solar spectrum. Despite this, the Fe<sub>2</sub>O<sub>3</sub>/DSSC device still exhibited a near unity faradaic efficiency and a good stability over an 8 h testing period. Overall, this work suggests that the low  $\eta_{STH}$  of the D4 hematite/DSSC tandem cell offers the larger room for improvement, in particular, further reduction of the overpotential for water oxidation.

## 6. CONCLUSIONS AND OUTLOOK

Since the discovery of water photoelectrolysis as an attractive way to produce solar hydrogen, numerous cell designs have been suggested and investigated. Due to limitations in identifying ideal materials, the original single-absorber (S2) approach to achieve direct solar energy conversion has given way to the more efficient dual-absorber (D4) configuration. Using two absorbing materials indeed allows for better light harvesting properties, and better stability as the two sides of the cell are subject to different conditions and can be optimized separately. It has been further shown that placing two photoelectrodes one on top of the other allowed for higher theoretical maximum efficiencies than a "side-by-side" design

(21.6 vs 16.6%), [nota](#page-14-0)bly thanks to a reduction by half of the surface area of the device.

The practical realization of a wireless integrated tandem device composed of two semiconductor photoelectrodes comes with different issues to overcome, among which are the stability of the photoelectrodes, the poor kinetics of the water splitting half-reactions, and the cost of production. However, recent advances in protection and nanostructuring techniques have allowed the creation of increasingly efficient and robust photoelectrodes based on earth-abundant materials, through a better control of the size and shape of the materials on a microscopic level. However, long-term stability and the further reduction of electrochemical overpotentials remain major challenges in the development of photoelectrodes. The development of photocathode materials is comparably immature to that of their photoanode counterparts, and the identification of intrinsically stable photocathode material with a band gap energy in the 1.2−1.4 eV range is urgently needed.

An alternative tandem-cell design is to combine a photoelectrode with a photovoltaic device. This approach was initially not favored, due to the need to have several photovoltaic junctions to achieve a high enough voltage to split water, the often poor stability of the materials in aqueous conditions, and their relatively high cost. However, recent progress with highvoltage dye-sensitized solar cells has allowed the demonstration of a cheap photo-electrocatalytic cell, composed of one oxide photoanode and one DSSC connected together.

After 40 years of research, and thanks to great advances in the fields of nanotechnology, materials science, and electrochemistry, the goal of an efficient and stable tandem cell based on cheap and abundant materials seems to be within reach. While long-term stability and higher solar-to-hydrogen conversion efficiency are still needed, the recent and rapid development of new photoelectrode materials like BiVO<sub>4</sub>, and robust, strongly absorbing organic dyes for the DSSC,<sup>114</sup> along with the continued understanding of the photoelectrochemical processes occurring at the electrodes, $60$  suggest t[hat](#page-14-0) these

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remaining challenges can be overcome. The next decades will likely bring PEC development to economically viable solar fuel production by water splitting and the commercialization of this technology on which global-scale solar energy storage and "solar refineries" can be based.

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