

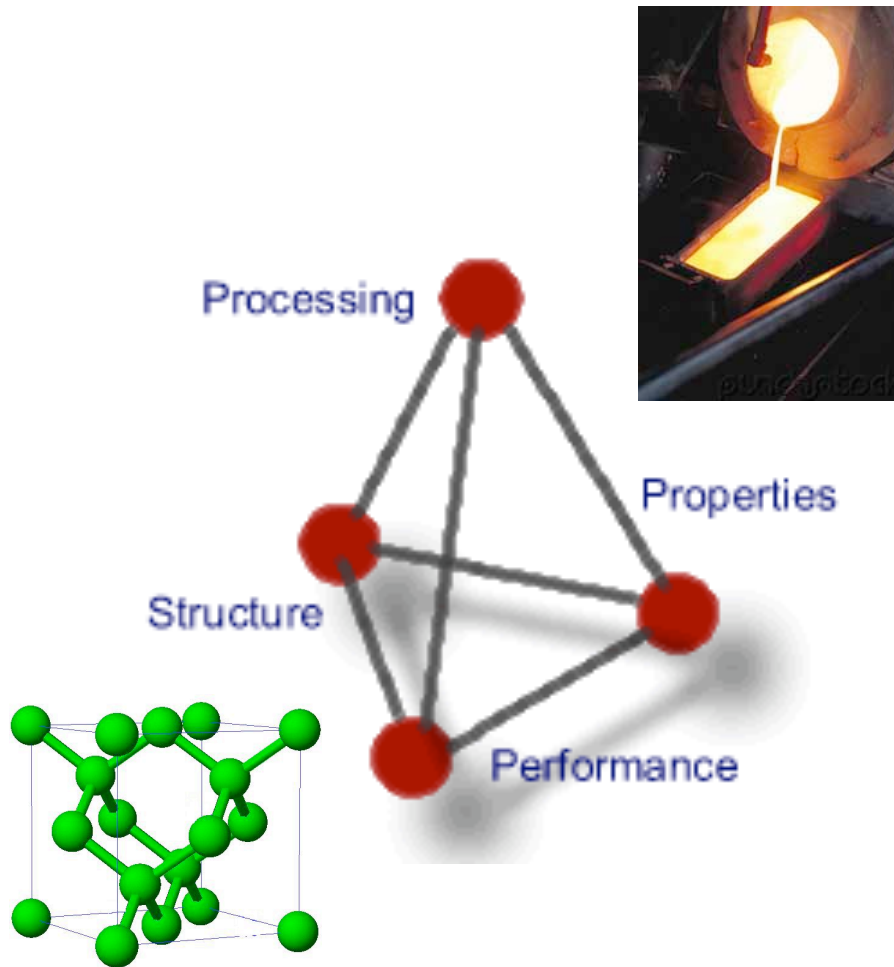
MSE 170: Introduction to Materials Science and Engineering

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Office hours	10:00am – 12:00pm, Monday, or by appointment
Course website	http://courses.washington.edu/mse170

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Steven Hau	skhau@u.washington.edu	Mueller 168	T 10:30-11:30am

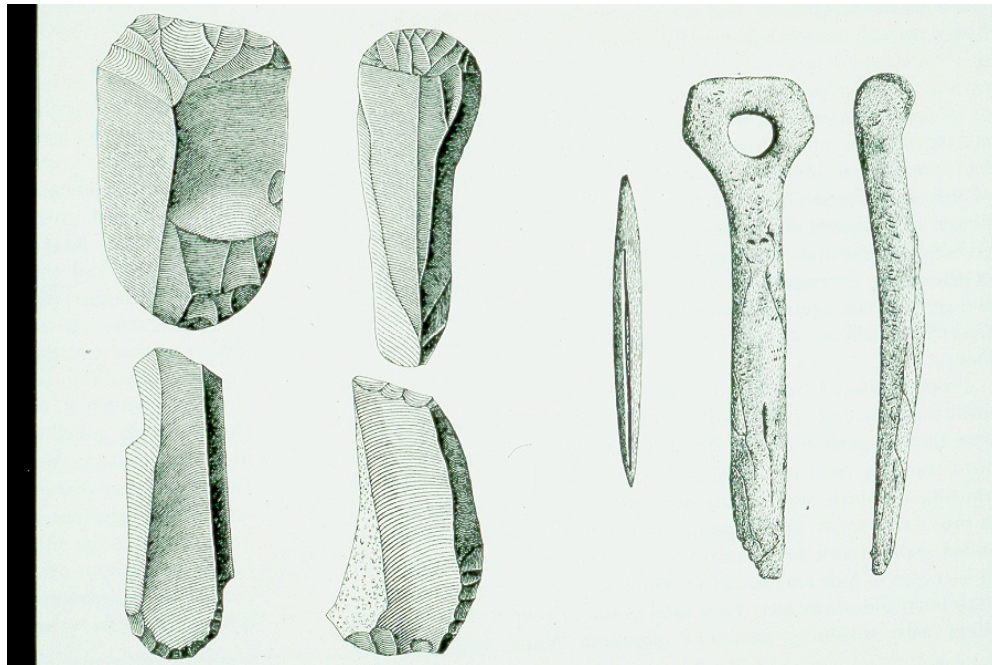
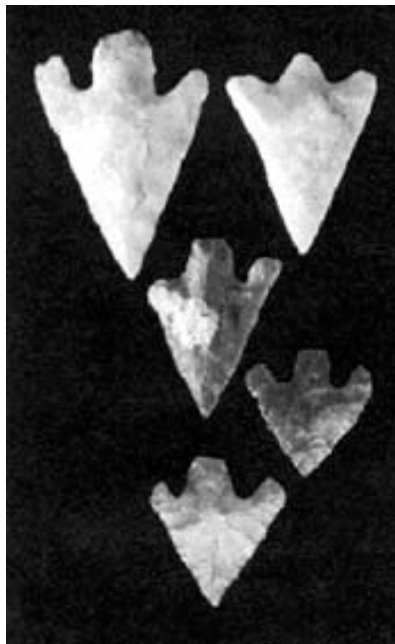
What is Materials Science and Engineering?



An interdisciplinary field that addresses the fundamental relationships between the **Processing, Structure and Properties** of materials and develops them for the desired technological application (**Performance**).

Materials through the ages

Stone Age (beginning of life – 3000 BC)



Feature: Using naturally occurring materials with only changes in shape

Materials through the ages

Bronze Age (3000 BC – 1200 BC)

Copper and Tin alloy



Ability to modify materials by refining (using heat), chemical modifications (alloying) and mechanical deformation (cold working)

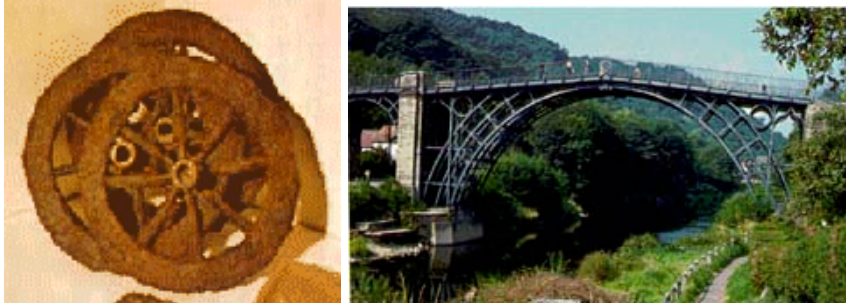
Imperfection (Ch. 4)

Diffusion (Ch. 5)

Phase diagram (Ch. 9)

Metal Processing (Ch. 11)

Materials through the ages



Iron Age (1200 BC – Present)

Casting and alloying wasn't perfected until 16th century

Mastery of Steel (Iron alloy) technology enables Industrial Revolution in the 18th and 19th century

Ability to heat treat at high temperature, control microstructure at different length scale and ability to design specific microstructures for specific properties

Phase transformation (Ch. 10)

Materials through the ages

Plastic Age (1940 - Present)

Discovery of polymers, and the ability to synthesize and process polymers.



Materials through the ages

Silicon Age (1950 - Present)

Commercialization of silicon technology (integrated circuits, electronic devices, etc...) leads to the information age, which gives boost to human productivity

Electronic Prop. (Ch.18)

Thermal Prop. (Ch.19)

Magnetic Prop. (Ch.20)

Optical Prop. (Ch.21)

Ability to control alloying accurately,
ability to make thin films



Future

1. Nanotechnology

- Synthesis and characterizations of nanomaterials and nanostructure

2. Biotechnology

- biomimetics and biomaterials

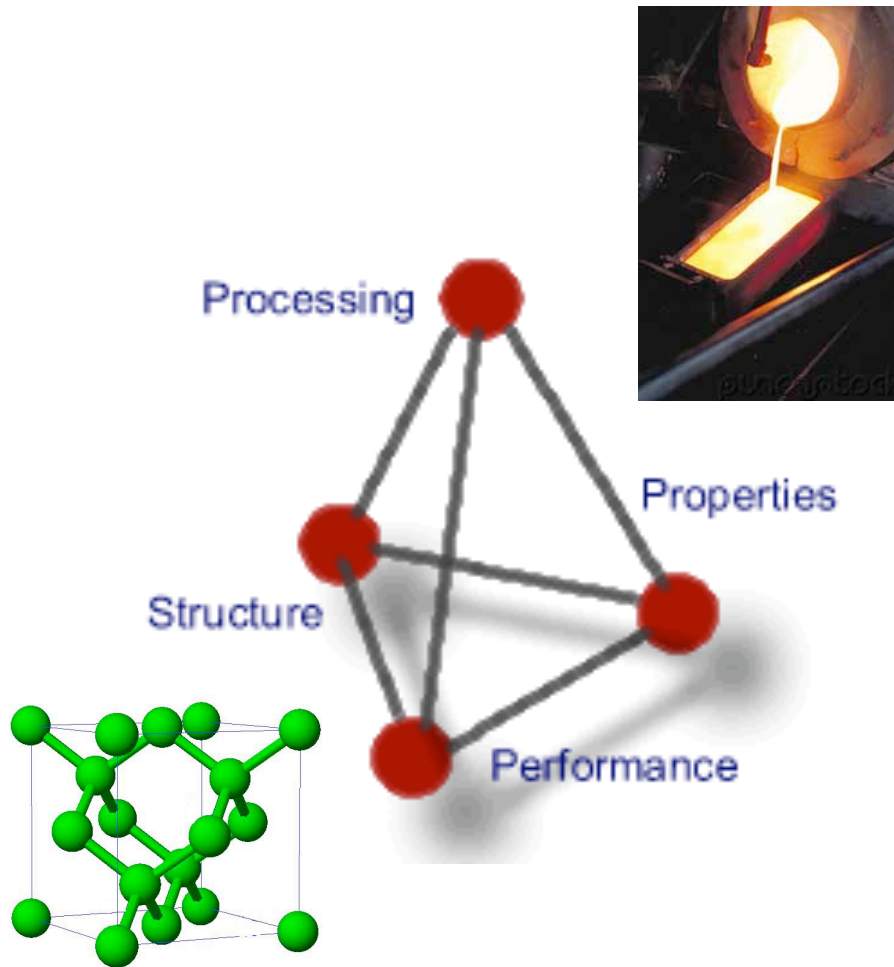
3. Energy/Environmental

- Next generation energy conversion

4. Information Technology

- Materials informatics

What is Materials Science and Engineering?



An interdisciplinary field that addresses the fundamental relationships between the **Processing, Structure and Properties** of materials and develops them for the desired technological application (**Performance**).

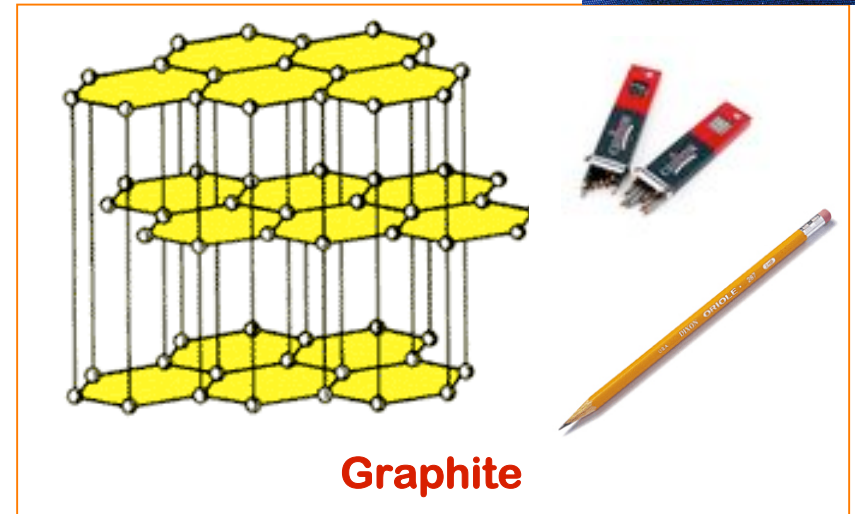


Two Forms of Carbon



- A structure of carbon only produced at high temperature and pressure.
- The hardest known material.

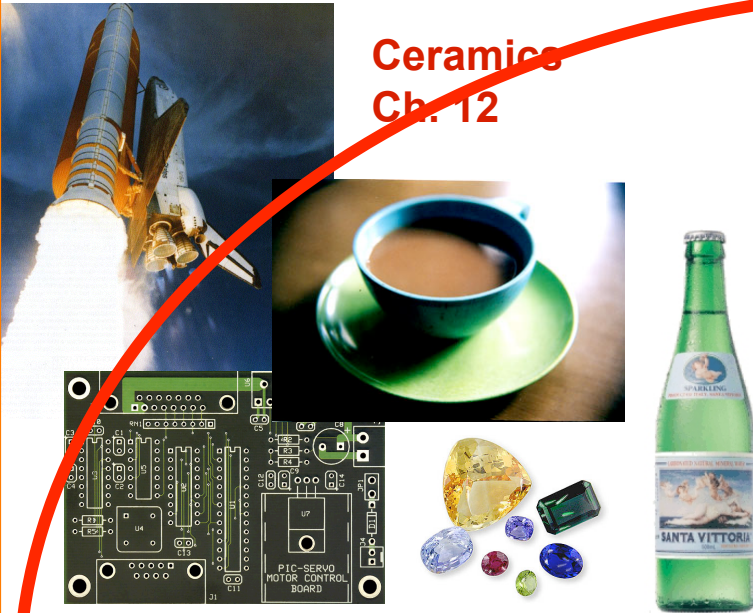
VS.



- A structure of carbon that is in equilibrium (it is stable and will not change form over time).
- It is soft.

Materials

Ceramics Ch. 12



Metals Ch. 11

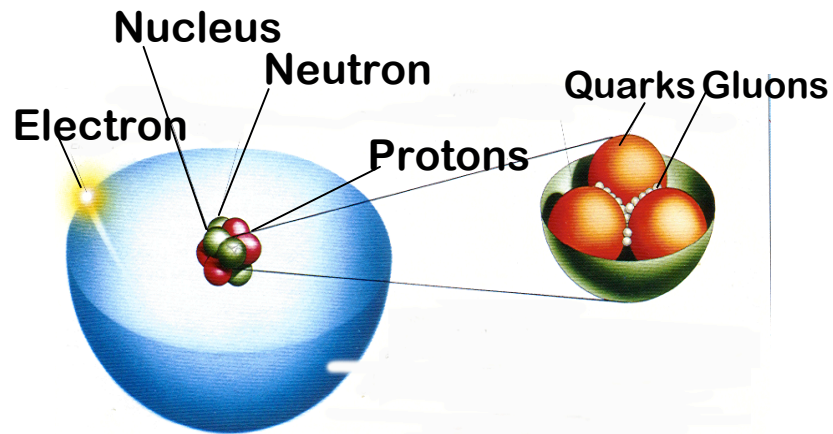


Polymers Ch.14 and 15



Composite Ch. 16

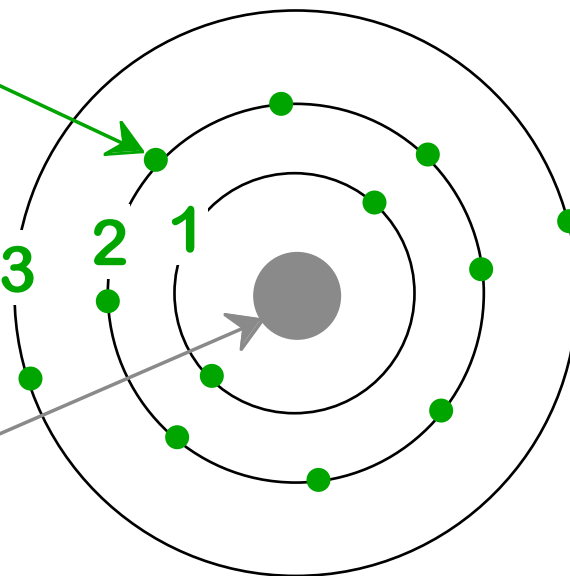
Atomic structure



BOHR ATOM

orbital electrons:
 n = principal
quantum number

$n=3$



Nucleus: Z = # protons

= 1 for hydrogen to 94 for plutonium

Atomic mass $A \approx Z + N$ N = # neutrons

Electronic structure

Valence electrons determine all of the following properties:

- Chemical
- Electrical
- Thermal
- Optical

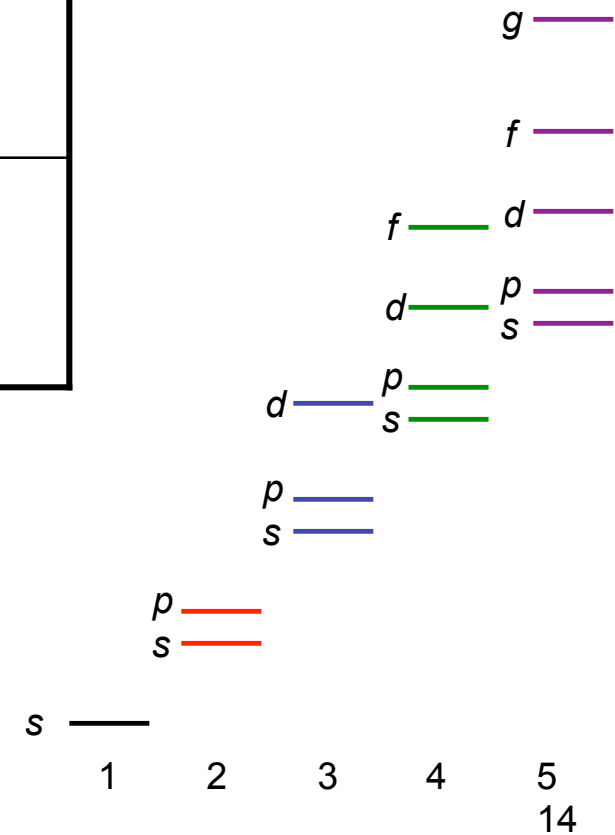
Electrons have wavelike and particulate properties.

- This means that electrons are in **orbitals** defined by a probability.
- Each orbital at discrete energy level determined by **quantum numbers**.

<u>Quantum #</u>	<u>Designation</u>
n = principal (energy level-shell)	K, L, M, N, O (1, 2, 3, etc.)
l = subsidiary (orbitals)	s, p, d, f (0, 1, 2, 3,..., $n - 1$)
m_l = magnetic	1, 3, 5, 7 (- l to + l)
m_s = spin	$\frac{1}{2}, -\frac{1}{2}$

Electronic structure

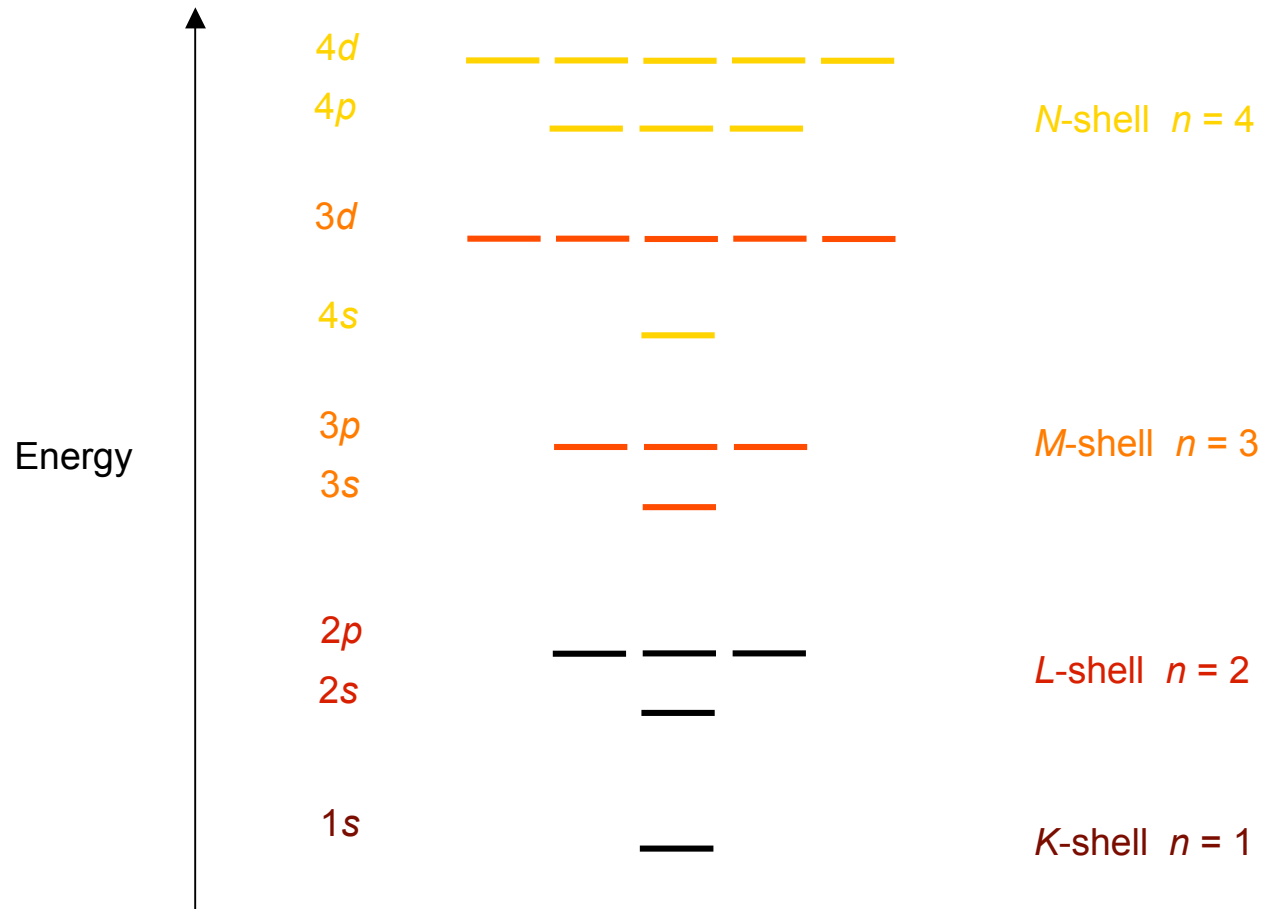
Principal quantum no.	Shell designation	Subshells	No. of states	Number of electrons	
				Per subshell	Per shell
1	<i>K</i>	<i>s</i>	1	2	2
2	<i>L</i>	<i>s</i>	1	2	8
		<i>p</i>	3	6	
3	<i>M</i>	<i>s</i>	1	2	18
		<i>p</i>	3	6	
		<i>d</i>	5	10	
4	<i>N</i>	<i>s</i>	1	2	32
		<i>p</i>	3	6	
		<i>d</i>	5	10	
		<i>f</i>	7	14	



Electron energy states

Electrons...

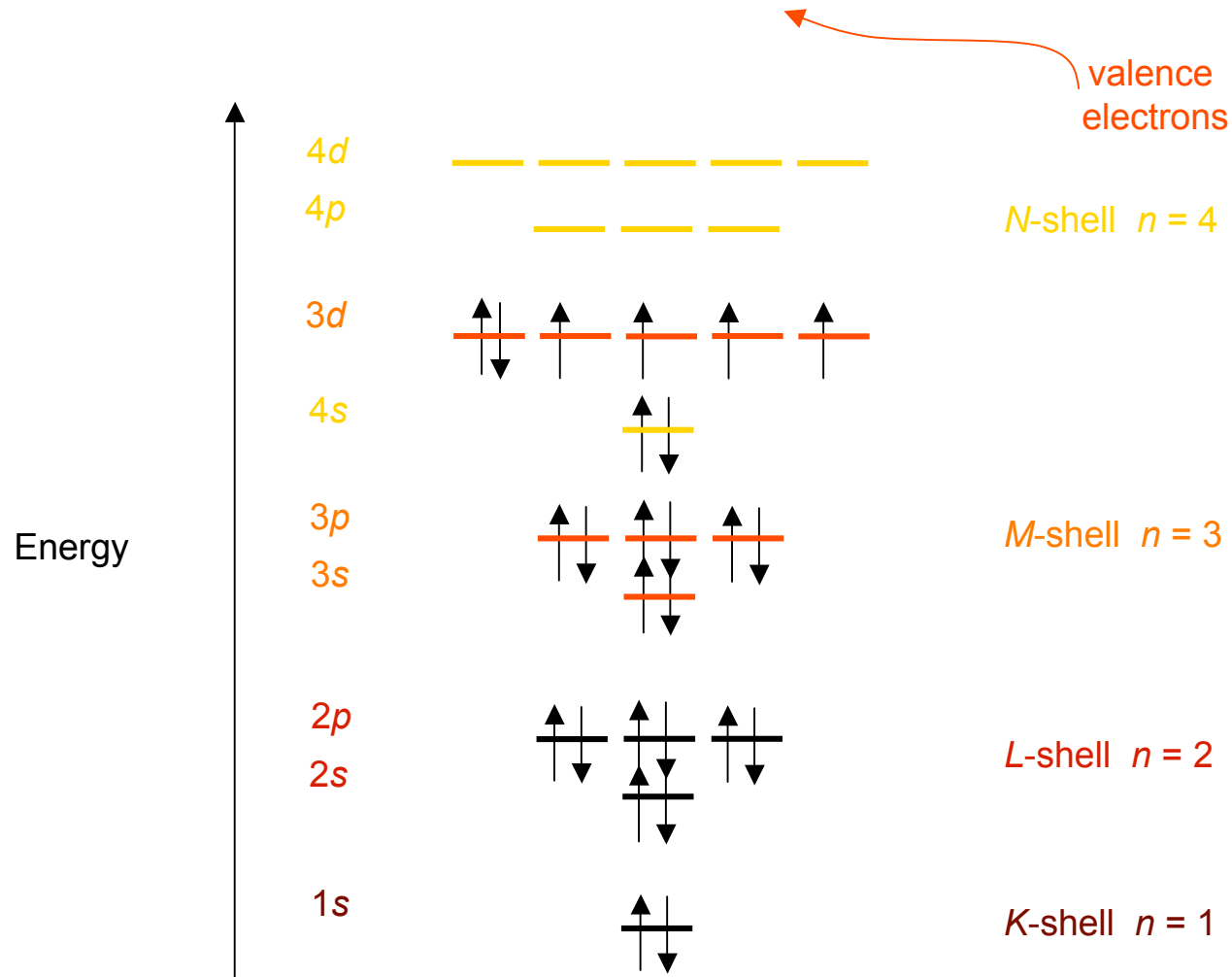
- have discrete **energy states**
- tend to occupy lowest available energy state.



Adapted from Fig. 2.4,
Callister 7e.

Electronic configuration

ex: Fe - atomic # = 26 $1s^2$ $2s^2 2p^6$ $3s^2 3p^6$ $3d^6 4s^2$



Adapted from Fig. 2.4,
Callister 7e.

Survey of elements

- Most elements: Electron configuration **not stable**.

Element	Atomic #	Electron configuration
Hydrogen	1	$1s^1$
Helium	2	$1s^2$ (stable)
Lithium	3	$1s^2 2s^1$
Beryllium	4	$1s^2 2s^2$
Boron	5	$1s^2 2s^2 2p^1$
Carbon	6	$1s^2 2s^2 2p^2$
...
Neon	10	$1s^2 2s^2 2p^6$ (stable)
Sodium	11	$1s^2 2s^2 2p^6 3s^1$
Magnesium	12	$1s^2 2s^2 2p^6 3s^2$
Aluminum	13	$1s^2 2s^2 2p^6 3s^2 3p^1$
...
Argon	18	$1s^2 2s^2 2p^6 3s^2 3p^6$ (stable)
...
Krypton	36	$1s^2 2s^2 2p^6 3s^2 3p^6 3d^{10} 4s^2 4p^6$ (stable)

- Why? **Valence** (outer) shell usually not filled completely.

Adapted from Table 2.2,
Callister 7e.

The periodic table

- Columns: Similar **Valence** Structure


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Adapted from Fig.
2.6, Callister 7e.


Electronegativity

- Ranges from 0.7 to 4.0,
- Large values: tendency to acquire electrons.

IA																	0
H																	He
2.1																	–
IIA												IIIA	IVA	VA	VIA	VIIA	
Li	Be											B	C	N	O	F	Ne
1.0	1.5											2.0	2.5	3.0	3.5	4.0	–
Na	Mg											Al	Si	P	S	Cl	Ar
0.9	1.2											1.5	1.8	2.1	2.5	3.0	–
		IIIB	IVB	VB	VIB	VIIB	VIII			IB	IIB						
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
0.8	1.0	1.3	1.5	1.6	1.6	1.5	1.8	1.8	1.8	1.9	1.6	1.6	1.8	2.0	2.4	2.8	–
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
0.8	1.0	1.2	1.4	1.6	1.8	1.9	2.2	2.2	2.2	1.9	1.7	1.7	1.8	1.9	2.1	2.5	–
Cs	Ba	La–Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
0.7	0.9	1.1–1.2	1.3	1.5	1.7	1.9	2.2	2.2	2.2	2.4	1.9	1.8	1.8	1.9	2.0	2.2	–
Fr	Ra	Ac–No															
0.7	0.9	1.1–1.7															



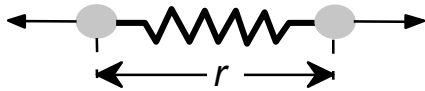
Smaller electronegativity



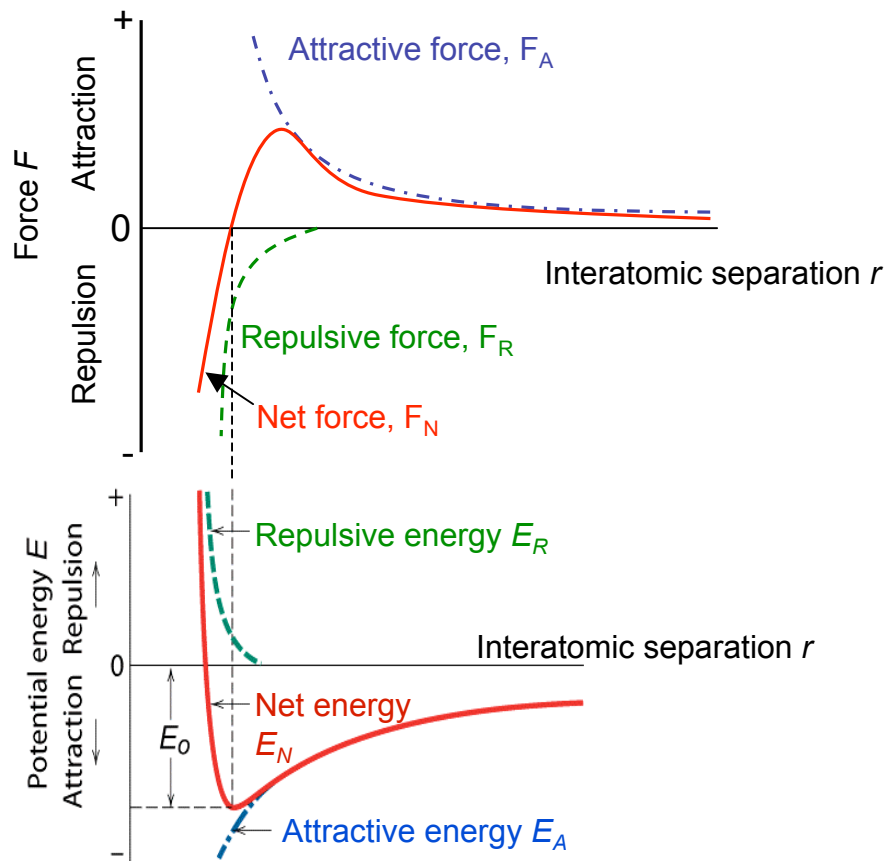
Larger electronegativity

Adapted from Fig. 2.7, *Callister 7e*. (Fig. 2.7 is adapted from Linus Pauling, *The Nature of the Chemical Bond*, 3rd edition, Copyright 1939 and 1940, 3rd edition. Copyright 1960 by Cornell University.

Bonding forces and energies



- Attractive force, F_A
- Repulsive force, F_R



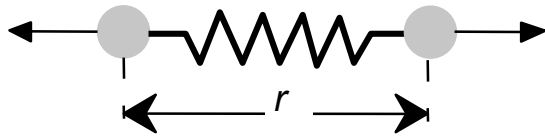
$$E = \int F dr$$

$$E_N = \int_{\infty}^r F_N dr = \int_{\infty}^r F_A dr + \int_{\infty}^r F_R dr$$

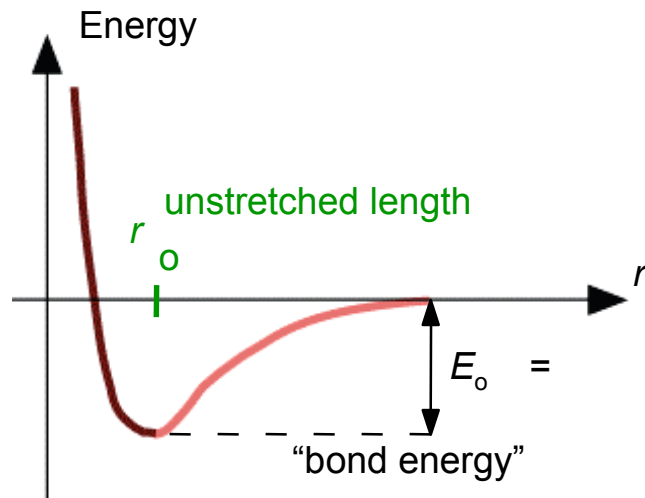
$$= E_A + E_R$$

Properties from bonding

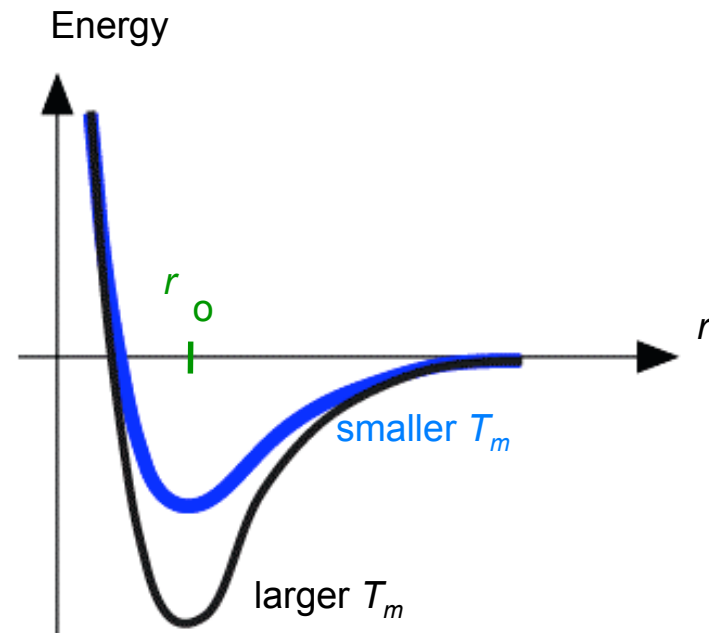
- Bond length, r



- Bond energy, E_o



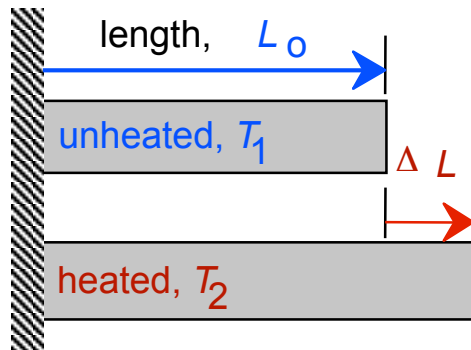
- Melting Temperature, T_m



T_m is larger if E_o is larger.

Properties from bonding: thermal expansion coefficient

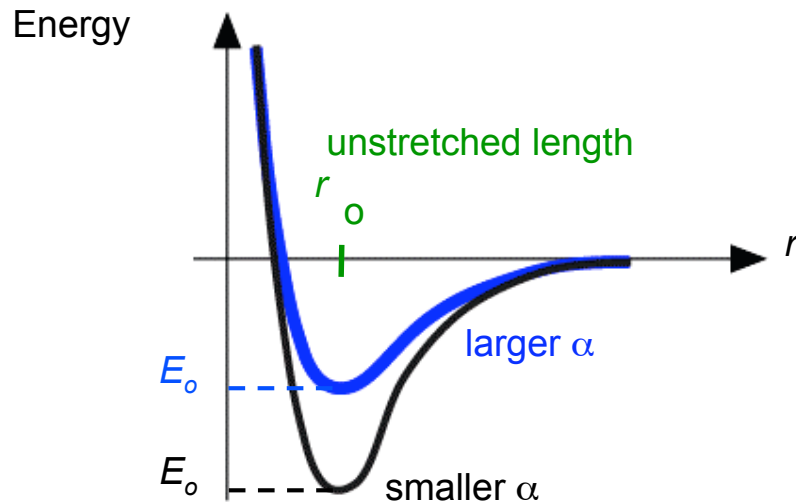
- Coefficient of thermal expansion, α



coeff. thermal expansion

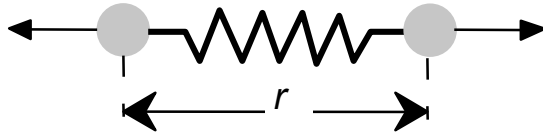
$$\frac{\Delta L}{L_0} = \alpha (T_2 - T_1)$$

- $\alpha \sim$ symmetry at r_0

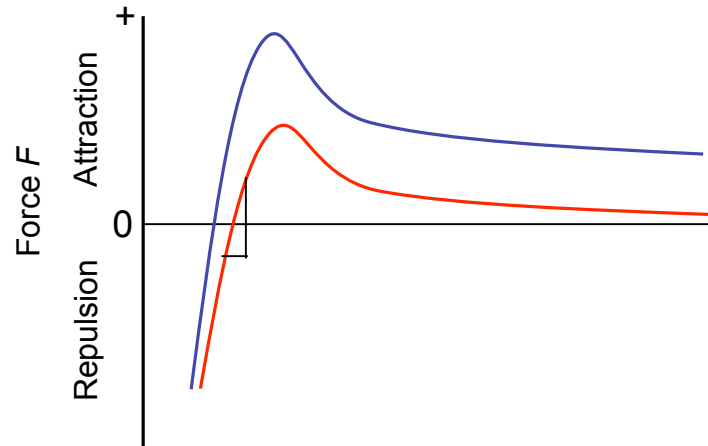


α is larger if E_0 is smaller.

Properties from bonding: modulus E

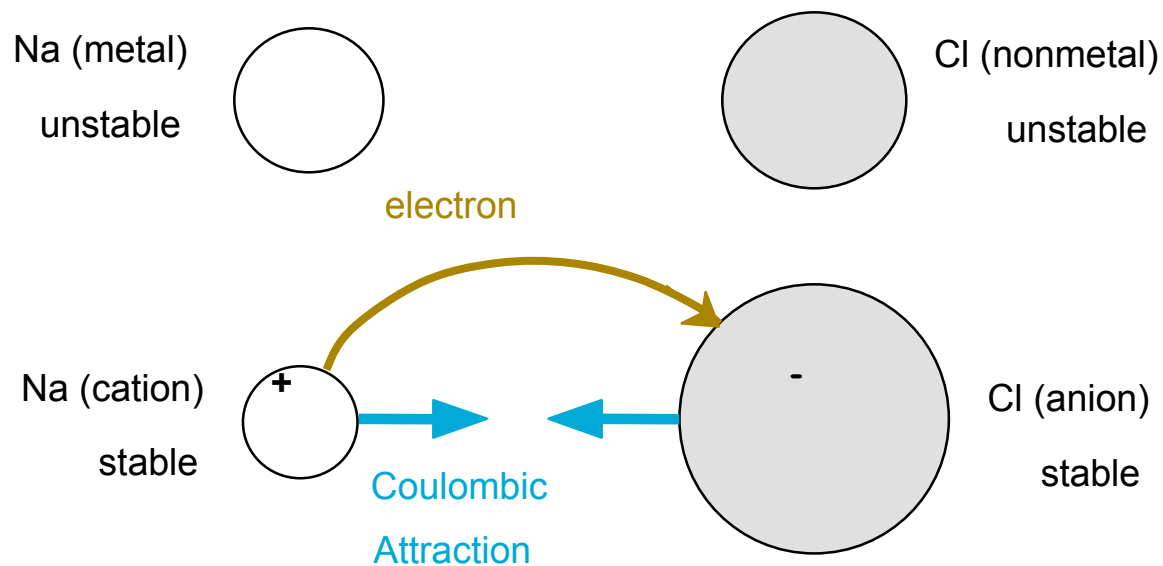


$$F = kx$$



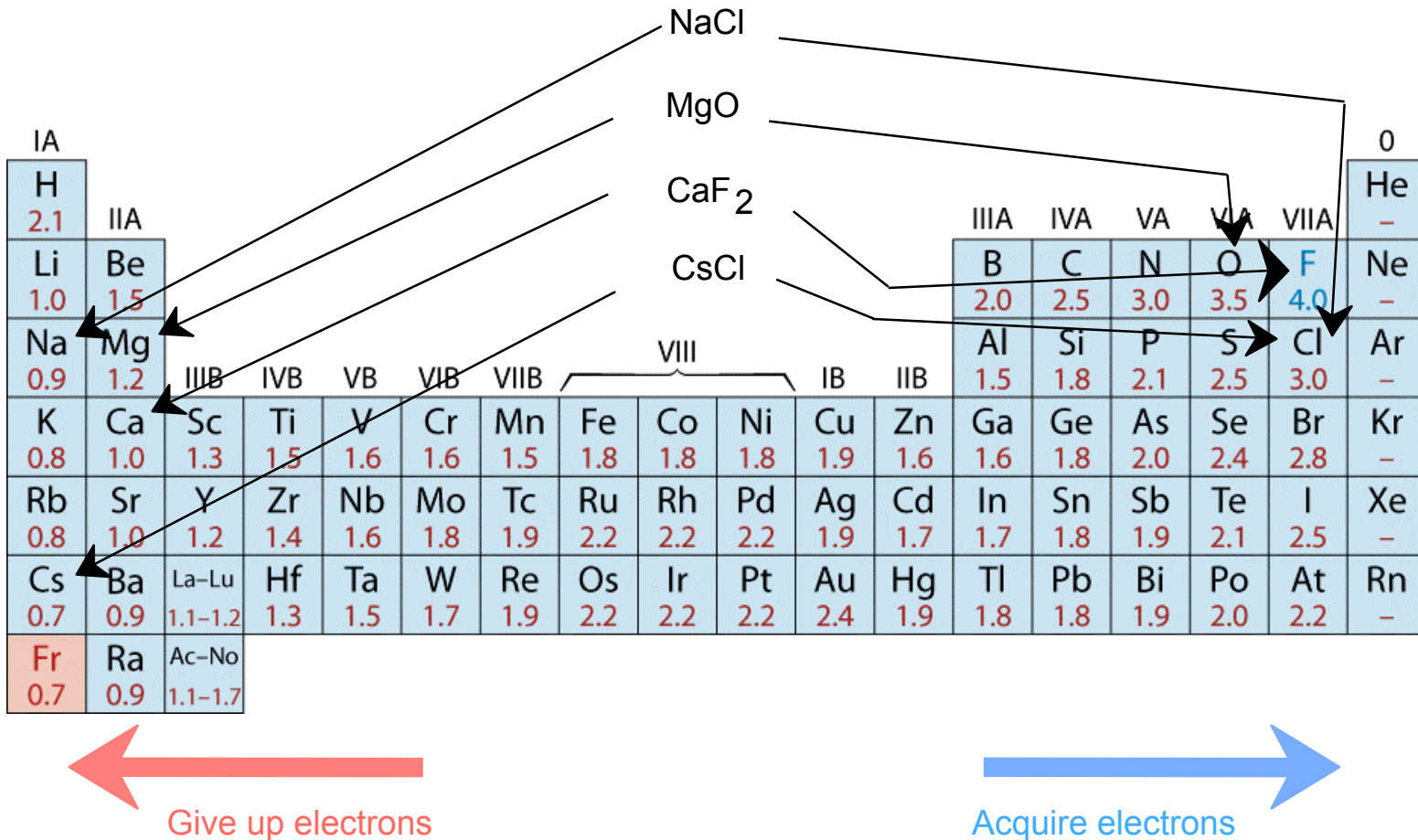
Types of bonding: ionic

- Occurs between + and - ions.
- Requires **electron transfer**.
- Large difference in electronegativity required.
- Example: NaCl



Examples of ionic bonding

- Predominant bonding in **Ceramics**



Adapted from Fig. 2.7, *Callister 7e*. (Fig. 2.7 is adapted from Linus Pauling, *The Nature of the Chemical Bond*, 3rd edition, Copyright 1939 and 1940, 3rd edition. Copyright 1960 by Cornell University.

Covalent bonding

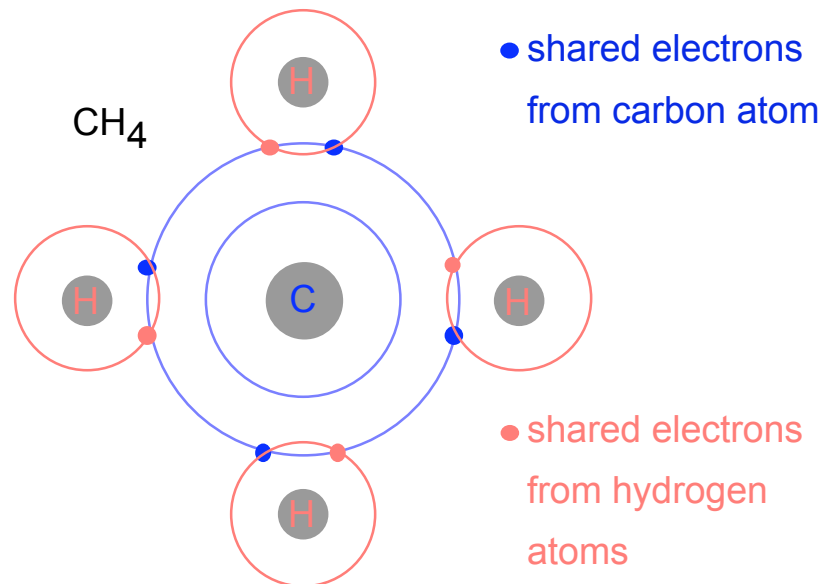
- similar **electronegativity** \therefore share electrons
- bonds determined by valence – *s* & *p* orbitals dominate bonding

• Example: CH₄

C: has 4 valence e⁻,
needs 4 more

H: has 1 valence e⁻,
needs 1 more

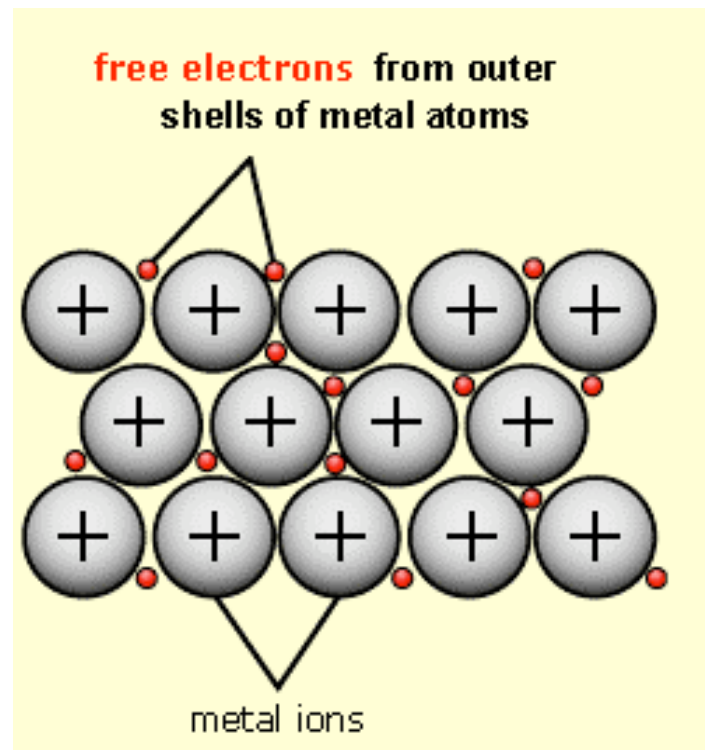
Electronegativities
are comparable.



Adapted from Fig. 2.10, *Callister 7e*.

Metallic bonding

- Ions in a sea of electrons
- Attraction between free electrons and metal ions



Ionic-covalent mixed bonding

$$\% \text{ ionic character} = \left(1 - e^{-\frac{(X_A - X_B)^2}{4}} \right) \times (100 \%)$$

where X_A & X_B are Pauling electronegativities

Example: MgO

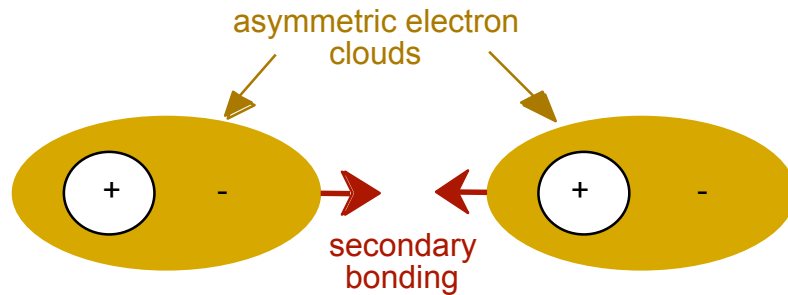
$$\begin{aligned} X_{\text{Mg}} &= 1.3 \\ X_{\text{O}} &= 3.5 \end{aligned}$$

$$\% \text{ ionic character} = \left(1 - e^{-\frac{(3.5 - 1.3)^2}{4}} \right) \times (100\%) = 70.2\% \text{ ionic}$$

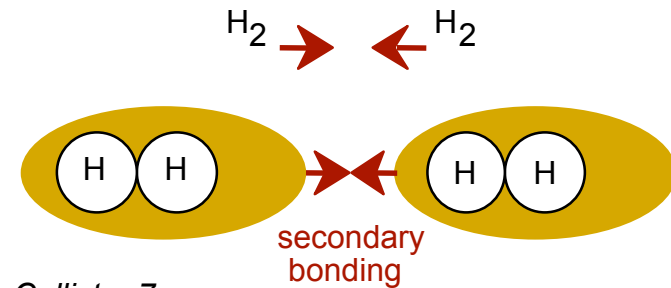
Secondary bonding

Arises from interaction between dipoles

- Fluctuating dipoles



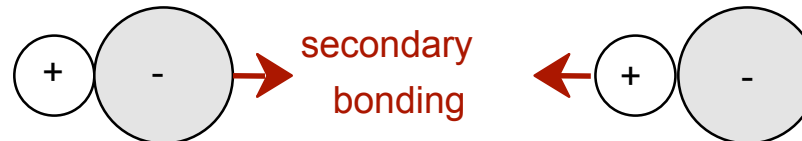
example: liquid H_2



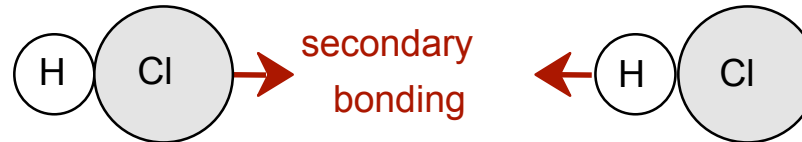
Adapted from Fig. 2.13, Callister 7e.

- Permanent dipoles-molecule induced

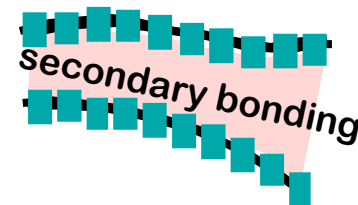
-general case:



-example: liquid HCl



-example: polymer



secondary bonding

Adapted from Fig. 2.14, Callister 7e.

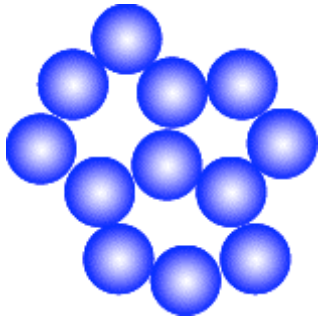
Summary

Type	Bond Energy	Comments
Ionic	Large!	Non-directional (ceramics)
Covalent	Variable Diamond (large) Bismuth (small)	Directional (semiconductors, ceramics, polymer chains)
Metallic	Variable Tungsten (large) Mercury (small)	Non-directional (metals)
Secondary	Smallest	Directional Interchain (polymer) Intermolecular

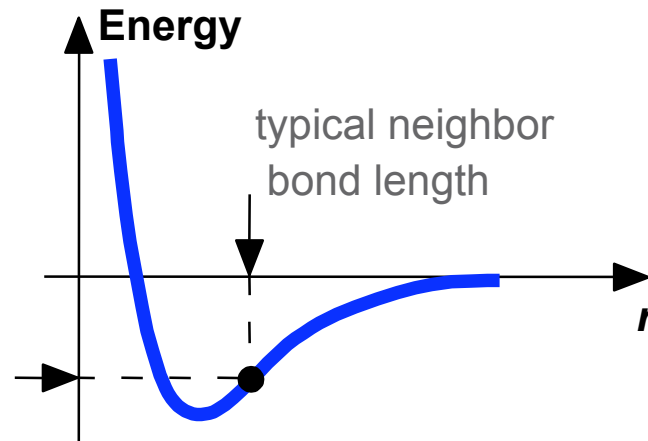
Ceramics (Ionic & covalent bonding)	Large bond energy Large T_m and E , small α
Metals (Metallic bonding)	Variable bond energy Moderate T_m , E , and α
Polymers (Covalent & secondary)	Directional properties, Secondary bonding dominates Small T_m and E , large α

Energy and packing

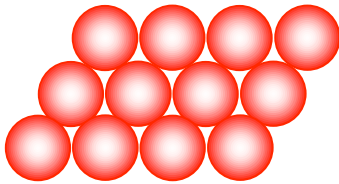
- Non dense, **random** packing



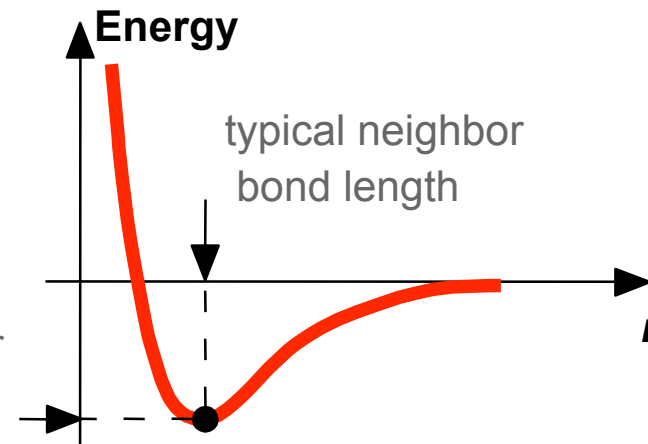
typical neighbor
bond energy



- Dense, **ordered** packing



typical neighbor
bond energy

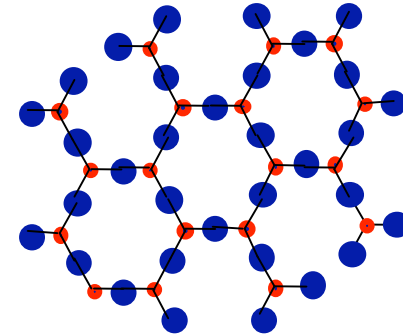


Dense, ordered packed structures tend to have lower energies.

Materials and packing

Crystalline materials...

- atoms pack in periodic, 3D arrays
- typical of:
 - metals
 - many ceramics
 - some polymers



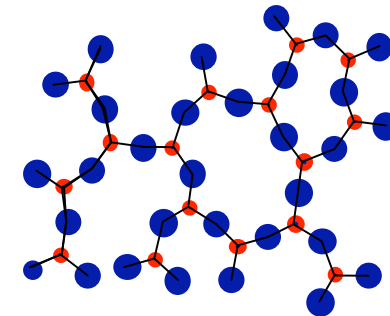
crystalline SiO₂

Adapted from Fig. 3.22(a),
Callister 7e.

● Si ● Oxygen

Noncrystalline materials...

- atoms have no periodic packing
- occurs for:
 - complex structures
 - rapid cooling



noncrystalline SiO₂

Adapted from Fig. 3.22(b),
Callister 7e.

"Amorphous" = Noncrystalline

Crystals

Unit cell: smallest repetitive volume which contains the complete lattice pattern of a crystal.

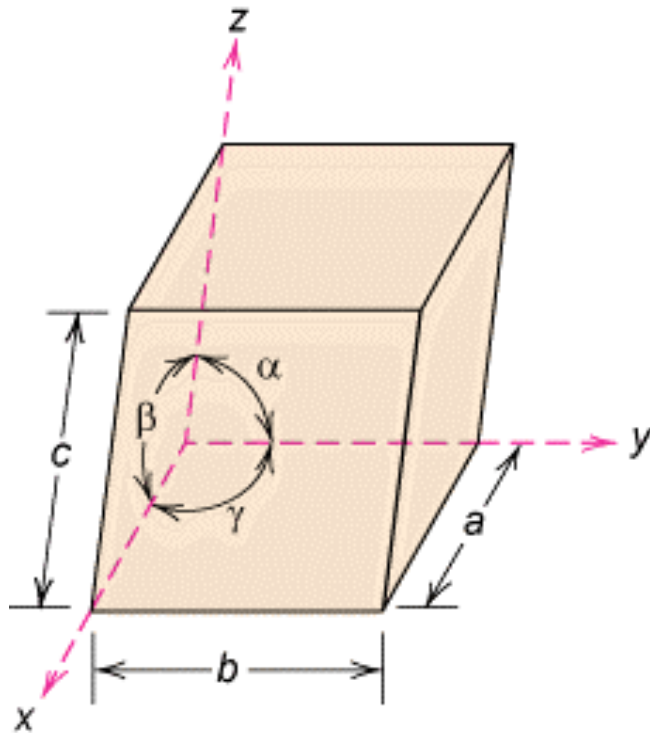


Fig. 3.4, Callister 7e.

7 crystal systems

14 crystal lattices

Lattice points: 3D array of points which coincides with atom positions.

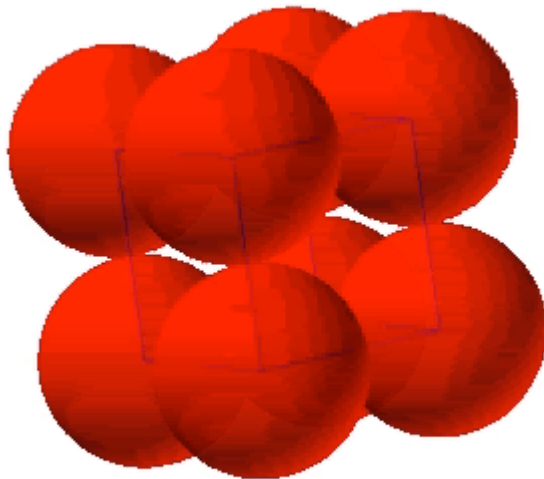
a , b , and c are the lattice constants

Simple cubic structure (SC)

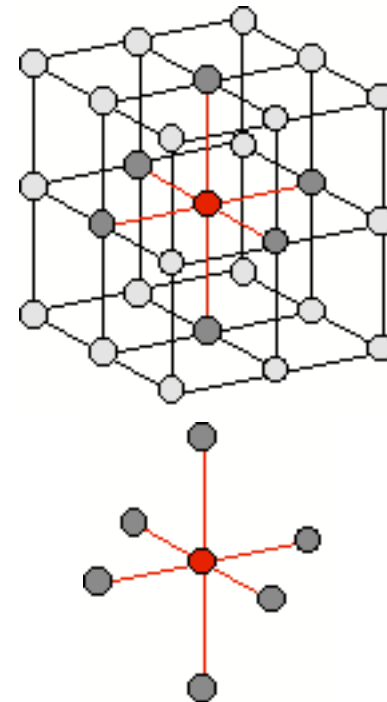
- Rare due to low packing density (only Po has this structure)
- Close-packed directions are cube edges.

- Coordination # = 6
(# nearest neighbors)

1 atoms/unit cell: $8 \text{ corners} \times 1/8$



(Courtesy P.M. Anderson)

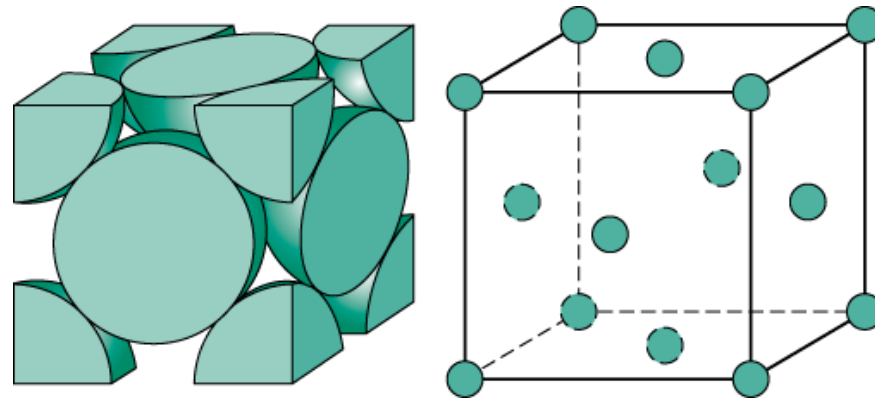
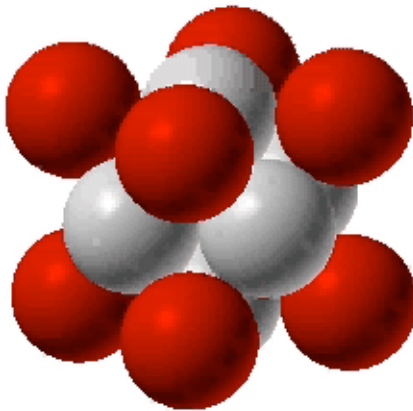


Face centered cubic structure (FCC)

- Atoms touch each other along face diagonals.
 - Note: All atoms are identical; the face-centered atoms are shaded differently only for ease of viewing.

ex: Al, Cu, Au, Pb, Ni, Pt, Ag

- Coordination # = 12



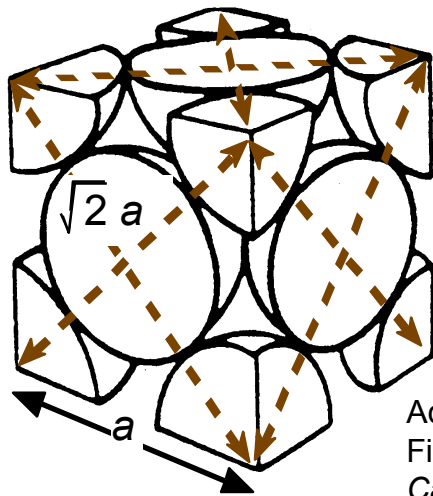
Adapted from Fig. 3.1, *Callister 7e*.

4 atoms/unit cell: $6 \text{ face} \times \frac{1}{2} + 8 \text{ corners} \times \frac{1}{8}$

Atomic packing factor (APF): FCC

$$\text{APF} = \frac{\text{Volume of atoms in unit cell}^*}{\text{Volume of unit cell}}$$

*assume hard spheres



Adapted from
Fig. 3.1(a),
Callister 7e.

close-packed directions

contains $6 \times 1/2 + 8 \times 1/8 =$

4 atoms/unit cell

$$\text{APF} = \frac{\begin{array}{|c|} \hline \text{atoms} \\ \hline \text{unit cell} \\ \hline \end{array} \begin{array}{|c|} \hline 4 \\ \hline \end{array} \begin{array}{|c|} \hline \frac{4}{3} \pi R^3 \\ \hline \end{array}}{\begin{array}{|c|} \hline a^3 \\ \hline \end{array}}$$

volume atom

volume unit cell

- APF for a FCC structure = 0.74
Maximum achievable APF

Theoretical density, ρ

$$\text{Density} = \rho = \frac{\text{Mass of atoms in unit cell}}{\text{Total volume of unit cell}}$$

$$\rho = \frac{n A}{V_C N_A}$$

where

n = number of atoms/unit cell

A = atomic weight

V_C = Volume of unit cell = a^3 for cubic

N_A = Avogadro's number

= 6.023×10^{23} atoms/mol

Ex: Cu (FCC)

$A = 63.54$ g/mol

$R = 0.128$ nm

$n = 4$

The diagram illustrates the calculation of theoretical density for Copper (Cu) with a Face-Centered Cubic (FCC) crystal structure. It shows the formula $\rho = \frac{n A}{V_C N_A}$ with color-coded boxes for each variable: n (green), A (orange), V_C (blue), and N_A (purple). Arrows point from descriptive labels to these boxes: 'atoms/unit cell' to n , 'g/mol' to A , 'volume/unit cell' to V_C , and 'atoms/mol' to N_A . The values are: $n = 4$, $A = 63.54$, $V_C = a^3$ (where $a = 2R\sqrt{2}$), and $N_A = 6.023 \times 10^{23}$. A final box on the right contains the calculated theoretical density and the actual density.

$$\rho = \frac{4 \times 63.54}{a^3 \times 6.023 \times 10^{23}}$$

where $a = 2R\sqrt{2}$

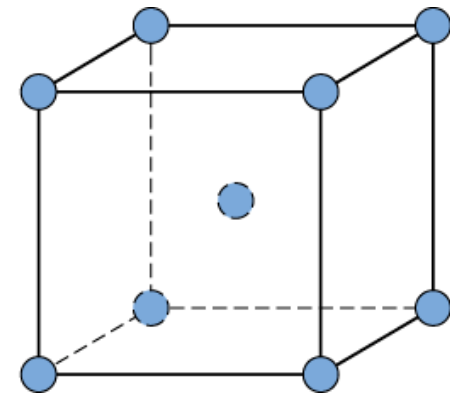
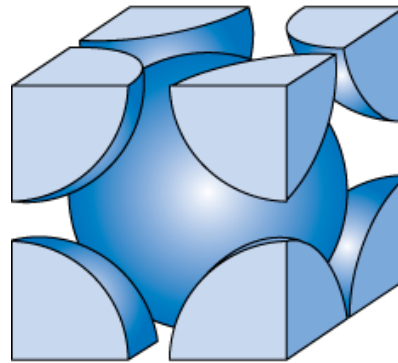
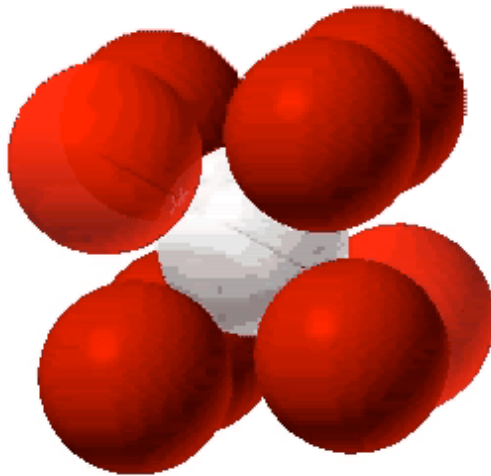
$\rho_{\text{theoretical}} = 8.9 \text{ g/cm}^3$

$\rho_{\text{actual}} = 8.94 \text{ g/cm}^3$

Body centered cubic structure (BCC)

- Atoms touch each other along cube diagonals.
 - Note: All atoms are identical; the center atom is shaded differently only for ease of viewing.

ex: Cr, W, Fe (α), Tantalum, Molybdenum

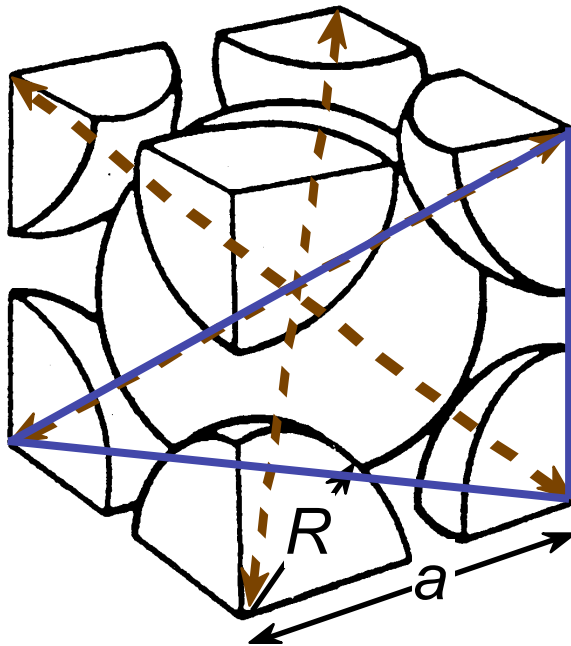


Adapted from Fig. 3.2,
Callister 7e.

2 atoms/unit cell: 1 center + 8 corners \times 1/8

- Coordination # = 8

Atomic packing factor (APF): BCC



$$\text{APF} = \frac{\text{atoms unit cell} \times \frac{4}{3} \pi \left(\sqrt{3}a/4\right)^3}{a^3}$$

Labels in the diagram:
- **atoms unit cell** (green text) points to the number 2.
- **volume atom** (brown text) points to the volume of one atom, $\frac{4}{3} \pi \left(\sqrt{3}a/4\right)^3$.
- **volume unit cell** (blue text) points to the volume of the unit cell, a^3 .

- APF for a FCC structure = 0.68

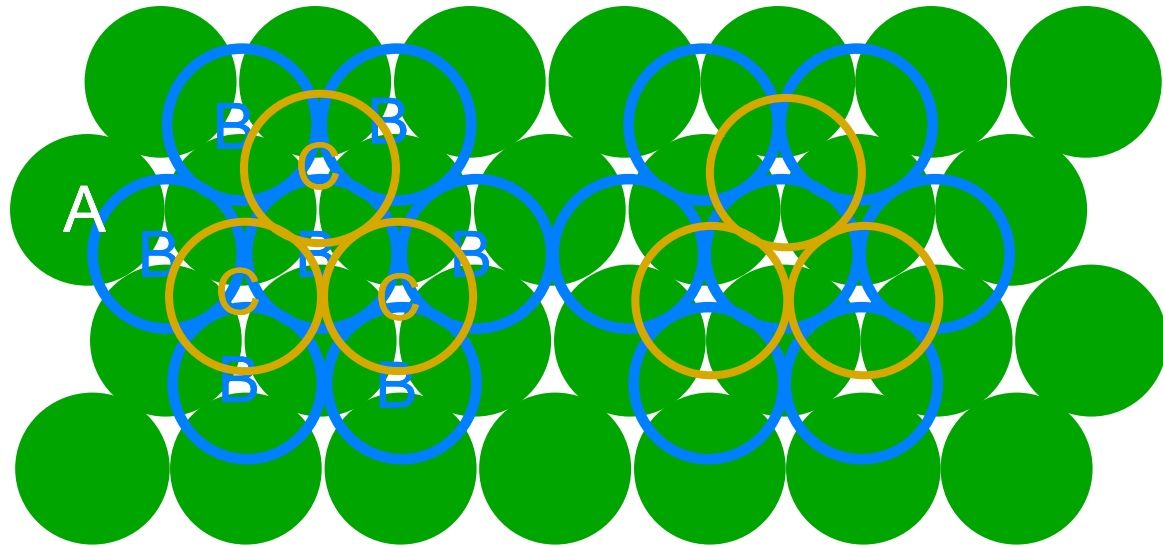
FCC stacking sequence

- ABCABC... Stacking Sequence
- 2D Projection

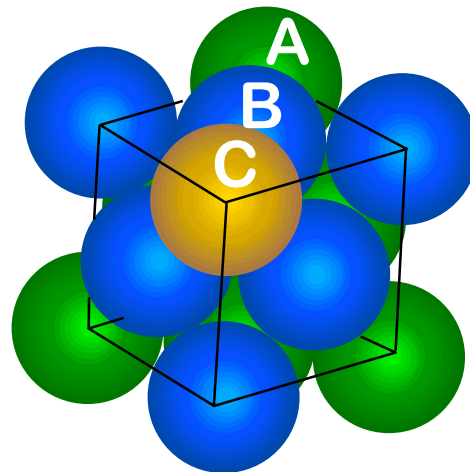
A sites

B sites

C sites



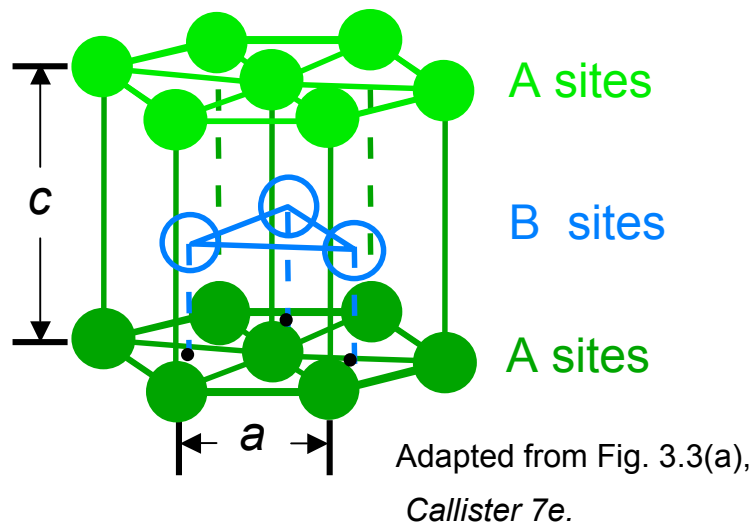
- FCC Unit Cell



Hexagonal close-packed structure (hcp)

- ABAB... Stacking Sequence

- 3D Projection

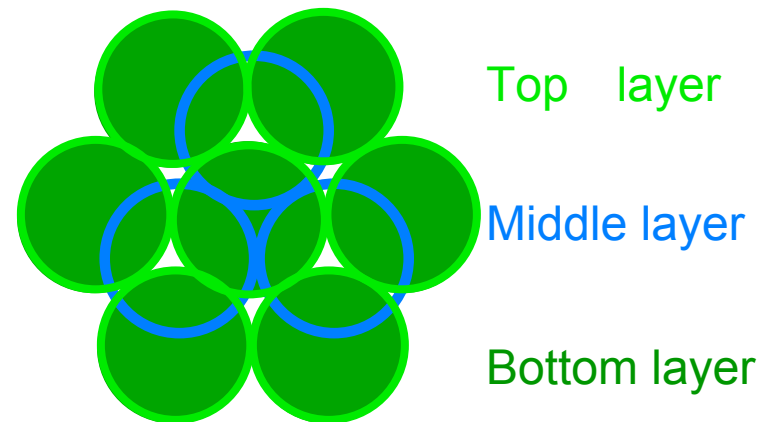


- Coordination # = 12

- APF = 0.74

- $c/a = 1.633$

- 2D Projection



6 atoms/unit cell

ex: Cd, Mg, Ti, Zn