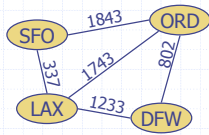


Graphs

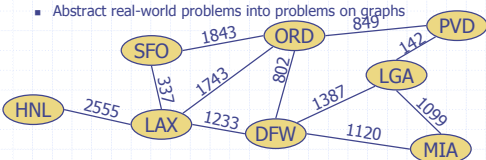


Outline and Reading

- ◆ Graphs (§6.1)
 - Definitions
 - Applications
 - Terminology
 - Properties
 - ADT
- ◆ Data structures for graphs (§6.2)
 - Edge list structure
 - Adjacency list structure
 - Adjacency matrix structure

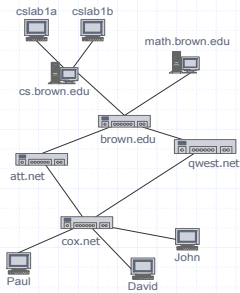
Graph

- ◆ A graph is a pair (V, E) , where
 - V is a set of nodes, called **vertices**
 - E is a collection of **edges** (pairs of vertices)
 - Vertices and edges are positions and store elements
- ◆ Graphs useful for representing real-world relationships:
 - vertex = airport
 - edge = flight route, storing mileage
 - Abstract real-world problems into problems on graphs



Applications

- ◆ Electronic circuits
 - Printed circuit board
 - Integrated circuit
- ◆ Transportation networks
 - Highway network
 - Flight network
- ◆ Computer networks
 - Local area network
 - Internet
 - Web
- ◆ Databases
 - Entity-relationship diagram



Graphs version 1.3

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Sample problems

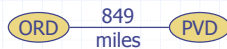
- ◆ What is cheapest way to fly from X to Y?
- ◆ If airport X closes from bad weather, can I still fly between every other pair of cities?
- ◆ Many classes have prereqs; in what order can I take the classes for my major?
- ◆ How much traffic can flow between intersection X and intersection Y
- ◆ How can I minimize the amount of wiring needed to connect some outlets together?

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Edge Types

- ◆ Directed edge
 - ordered pair of vertices (u, v)
 - first vertex u is the origin
 - second vertex v is the destination
 - e.g., a flight
- ◆ Undirected edge
 - unordered pair of vertices (u, v)
 - e.g., a flight route
- ◆ Directed graph
 - all the edges are directed
 - e.g., route network
- ◆ Undirected graph
 - all the edges are undirected
 - e.g., flight network

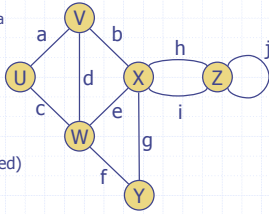


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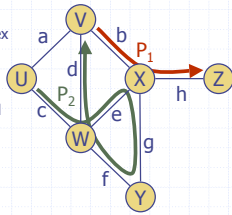
Terminology

- ◆ End vertices (or endpoints) of an edge
 - U and V are the endpoints of a
- ◆ Edges incident on a vertex
 - a, d, and b are incident on V
- ◆ Adjacent vertices
 - U and V are adjacent
- ◆ Degree of a vertex
 - X has degree 5
- ◆ Parallel edges (typically not used)
 - h and i are parallel edges
- ◆ Self-loop (typically not used)
 - j is a self-loop



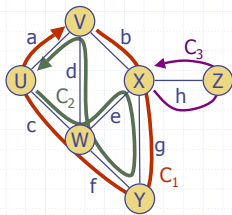
Terminology (cont.)

- ◆ Path
 - sequence of alternating vertices and edges
 - begins and ends with some vertex
 - each edge is preceded and followed by its endpoints
- ◆ Simple path
 - path such that all its vertices and edges are distinct
- ◆ Reachable
 - path exists
- ◆ Examples
 - $P_1=(V,b,X,h,Z)$ is a simple path
 - $P_2=(U,c,W,e,X,g,Y,f,W,d,V)$ is a path that is not simple
 - Z is reachable from U



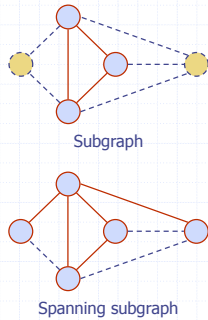
Terminology (cont.)

- ◆ Cycle
 - circular sequence of alternating vertices and edges
 - each edge is preceded and followed by its endpoints
 - edges traversed only in one direction
- ◆ Simple cycle
 - cycle such that all its vertices and edges are distinct
- ◆ Examples
 - $C_1=(V,b,X,g,Y,f,W,c,U,a,⋯)$ is a simple cycle
 - $C_2=(U,c,W,e,X,g,Y,f,W,d,V,a,⋯)$ is a cycle that is not simple
 - $C_3=(X,h,Z,h,X)$ is not a cycle



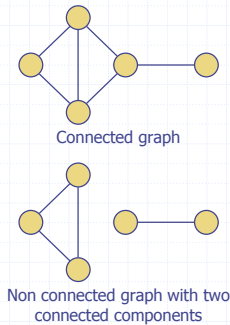
Subgraphs

- ◆ A subgraph S of a graph G is a graph such that
 - The vertices of S are a subset of the vertices of G
 - The edges of S are a subset of the edges of G
- ◆ A spanning subgraph of G is a subgraph that contains all the vertices of G



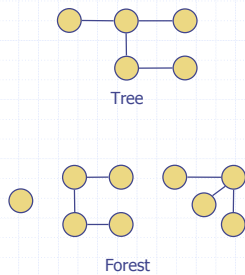
Connectivity

- ◆ A graph is connected if there is a path between every pair of vertices
- ◆ A connected component of a graph G is a maximal connected subgraph of G



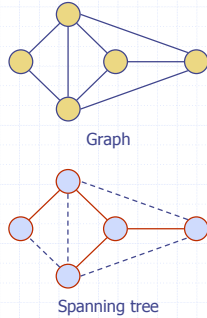
Trees and Forests

- ◆ A (free) tree is an undirected graph T such that
 - T is connected
 - T has no cycles
 Different definition than a rooted tree
- ◆ A forest is an undirected graph without cycles
- ◆ The connected components of a forest are trees



Spanning Trees and Forests

- ◆ A spanning tree of a connected graph is a spanning subgraph that is a tree
- ◆ A spanning tree is not unique unless the graph is a tree
- ◆ Spanning trees have applications to the design of communication networks
- ◆ A spanning forest of a graph is a spanning subgraph that is a forest



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Properties

Property 1

$$\sum_v \text{deg}(v) = 2m$$

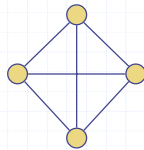
Proof: each edge is counted twice

Property 2

In an undirected graph (with no self-loops or parallel edges)

$$m \leq n(n-1)/2$$

Proof: at most one edge for every unique combination of 2 vertices



Notation

n number of vertices
 m number of edges
 $\text{deg}(v)$ degree of vertex v

Example

- $n = 4$
- $m = 6$
- $\text{deg}(v) = 3$ for all vertices

What is the bound on m for a directed graph?

Graphs version 1.3

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Main Methods of the Graph ADT

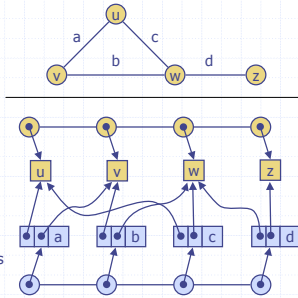
- ◆ Vertices and Edges accessor method:
 - Object `element()`
- ◆ Update methods
 - Vertex `insertVertex(o)`
 - Edge `insertEdge(v, w, o)`
 - void `removeVertex(v)`
 - void `removeEdge(e)`
- ◆ Accessor methods
 - int `numVertices()`
 - int `numEdges()`
 - Vertex `aVertex()`
- ◆ Accessor methods
 - Iterator `vertices()`
 - Iterator `edges()`
 - Iterator `incidentEdges(v)`
 - Vertex[2] `endVertices(e)`
 - Vertex `opposite(v, e)`
 - boolean `areAdjacent(v, w)`
- ◆ Methods for directed edges
 - Vertex `origin(e)`
 - Vertex `destination(e)`
 - boolean `isDirected(e)`
 - Edge `insertDirectedEdge(v, w, o)`

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Edge List Structure

- ◆ Vertex object
 - element
- ◆ Edge object
 - element
 - origin vertex object
 - destination vertex object
 - directed boolean flag
- ◆ Vertex sequence
 - sequence of vertex objects
- ◆ Edge sequence
 - sequence of edge objects



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Graph ADT with Positions

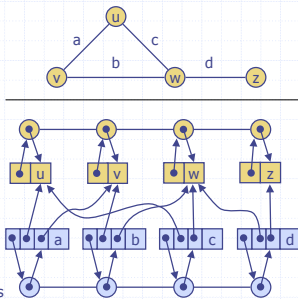
- ◆ Recall
 - Position = place where item is stored in a sequence
- ◆ In Goodrich's book:
 - A Vertex is a Position
 - An Edge is a Position
- ◆ Features of Positions
 - Enables faster removal
 - Implementation slightly more complex
 - Unnecessary when removeVertex and removeEdge are not used

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Edge List Structure (w/ Positions)

- ◆ Vertex object
 - element
 - reference to position in vertex sequence
- ◆ Edge object
 - element
 - origin vertex object
 - destination vertex object
 - reference to position in edge sequence
- ◆ Vertex sequence
 - sequence of vertex objects
- ◆ Edge sequence
 - sequence of edge objects

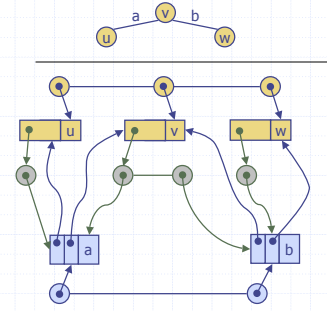


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Adjacency List Structure

- ◆ Edge list structure
- ◆ Each Vertex now stores incidence sequence
 - sequence of references to edge objects of incident edges

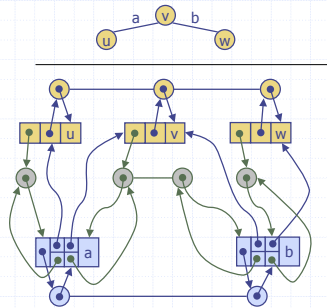


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Adjacency List Structure (w/ Positions)

- ◆ Edge list structure (w/ Positions)
- ◆ Incidence sequence for each vertex
 - sequence of references to edge objects of incident edges
- ◆ Augmented edge objects
 - references to associated positions in incidence sequences of end vertices

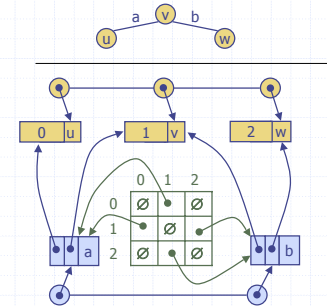


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Adjacency Matrix Structure

- ◆ Edge list structure
- ◆ Augmented vertex objects
 - Integer key (index) associated with vertex
- ◆ 2D-array adjacency array
 - Reference to edge object for adjacent vertices
 - Null for non adjacent vertices
- ◆ The "old fashioned" version just has 0 for no edge and 1 for edge



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Asymptotic Performance

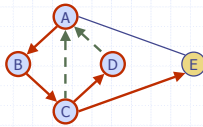
n vertices, m edges	Edge List	Adjacency List	Adjacency Matrix
Space	$O(n + m)$	$O(n + m)$	$O(n^2)$
Iterating through <code>incidentEdges(v)</code>	$O(m)$	$O(\deg(v))$	$O(n)$
<code>areAdjacent(v, w)</code>	$O(m)$	$O(\min(\deg(v), \deg(w)))$	$O(1)$
<code>insertVertex(o)</code>	$O(1)$	$O(1)$	$O(n^2)$
<code>insertEdge(v, w, o)</code>	$O(1)$	$O(1)$	$O(1)$
<code>removeVertex(v)</code>	$O(m)$	$O(\deg(v))$	$O(n^2)$
<code>removeEdge(e)</code>	$O(1)$	$O(1)$	$O(1)$

Notes: Assuming no parallel edges or self-loops
Using Positions (for `removeVertex` and `removeEdge`)

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Depth-First Search



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Outline and Reading

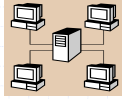
- ◆ Depth-first search (§6.3.1)
 - Algorithm
 - Example
 - Properties
 - Analysis
- ◆ Applications of DFS (§6.5)
 - Path finding
 - Cycle finding



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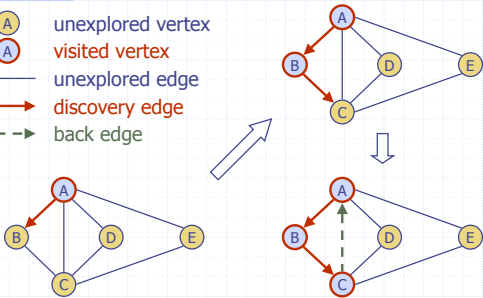
Depth-First Search



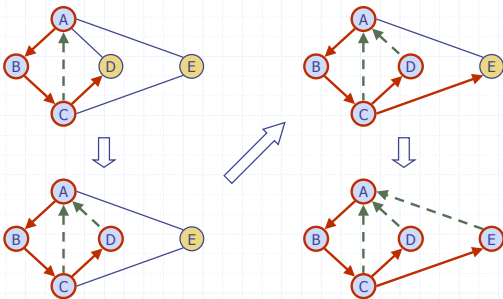
- ◆ Depth-first search (DFS) is
 - general graph traversal technique
 - visits all the vertices and edges of G
 - with n vertices and m edges takes $O(n + m)$ time
 - a recursive traversal like Euler tour for binary trees
- ◆ A DFS traversal of a graph G can be used to
 - Determines whether G is connected
 - Computes the connected components of G
 - Computes a spanning forest of G
 - Find and report a path between two given vertices
 - Find a cycle in the graph

Example

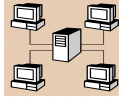
- unexplored vertex
- visited vertex
- unexplored edge
- discovery edge
- - - back edge



Example (cont.)



DFS Algorithm



The algorithm uses a mechanism for setting and getting "labels" of vertices and edges

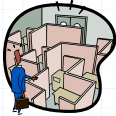
```

Algorithm DFS_Sweep(G)
Input graph G
Output labeling of the edges of G
as discovery edges and
back edges
for all u ∈ G.vertices()
  setLabel(u, UNEXPLORED)
for all e ∈ G.edges()
  setLabel(e, UNEXPLORED)
for all v ∈ G.vertices()
  if getLabel(v) = UNEXPLORED
    DFS(G, v)
    
```

```

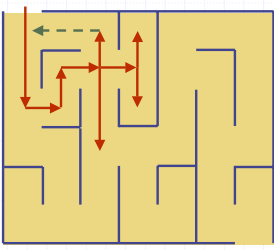
Algorithm DFS(G, v)
Input graph G and a start vertex v of G
Output labeling of the edges of G
in the connected component of v
as discovery edges and back edges
setLabel(v, VISITED)
for all e ∈ G.incidentEdges(v)
  if getLabel(e) = UNEXPLORED
    w ← G.opposite(v,e)
    if getLabel(w) = UNEXPLORED
      setLabel(e, DISCOVERY)
      DFS(G, w)
    else
      setLabel(e, BACK)
    
```

DFS and Maze Traversal

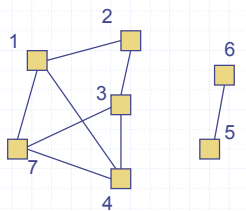


The DFS algorithm is similar to a classic strategy for exploring a maze

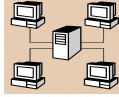
- We mark each intersection, corner and dead end (vertex) visited
- We mark each corridor (edge) traversed
- We keep track of the path back to the entrance (start vertex) by means of a rope (recursion stack)



Another Example of Depth First Search



DFS Algorithm



The algorithm uses a mechanism for setting and getting "labels" of vertices and edges

```

Algorithm DFS_Sweep(G)
Input graph G
Output labeling of the edges of G
as discovery edges and
back edges
for all u  $\in$  G.vertices()
  setLabel(u, UNEXPLORED)
for all e  $\in$  G.edges()
  setLabel(e, UNEXPLORED)
for all v  $\in$  G.vertices()
  if getLabel(v) = UNEXPLORED
    DFS(G, v)
    
```

```

Algorithm DFS(G, v)
Input graph G and a start vertex v of G
Output labeling of the edges of G
in the connected component of v
as discovery edges and back edges
setLabel(v, VISITED)
for all e  $\in$  G.incidentEdges(v)
  if getLabel(e) = UNEXPLORED
    w  $\leftarrow$  G.opposite(v,e)
    if getLabel(w) = UNEXPLORED
      setLabel(e, DISCOVERY)
      DFS(G, w)
    else
      setLabel(e, BACK)
    
```

Analysis of DFS



- ◆ Setting/getting a vertex/edge label takes $O(1)$ time
- ◆ Each vertex is labeled twice
 - once as UNEXPLORED
 - once as VISITED
- ◆ Each edge is labeled twice
 - once as UNEXPLORED
 - once as DISCOVERY or BACK
- ◆ $DFS(G, v)$ called once for each vertex v
- ◆ Inner loop in $DFS(G, v)$ runs in $O(\deg(v))$ time
 - Not counting time inside recursive calls
 - Assuming adjacency list implementation
- ◆ DFS runs in $O(n + m)$ time
 - Recall that $\sum_v \deg(v) = 2m$

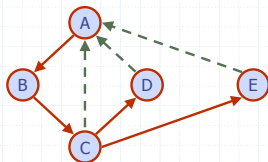
Properties of DFS

Property 1

$DFS(G, v)$ visits all the vertices and edges in the connected component of v

Property 2

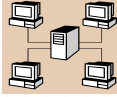
The discovery edges labeled by $DFS(G, v)$ form a spanning tree of the connected component of v called DFS tree, rooted at v



Property 3

$DFS_Sweep(G)$ visits all vertices and edges of G

Connected Components & DFS Spanning Forest



```

Algorithm ccDFS_Sweep(G)
Input graph G
Output labeling of the vertices
and edges of G based on
component number.
for all u ∈ G.vertices()
  setLabel(u, UNEXPLORED)
for all e ∈ G.edges()
  setLabel(e, UNEXPLORED)
comp_num ← 1
for all v ∈ G.vertices()
  if getLabel(v) = UNEXPLORED
    perform DFS(G, v) search,
    labeling vertices and edges
    found in the search with
    comp_num.
  comp_num ← comp_num + 1
  
```

- ◆ Use *DFS*(*G*, *v*) to label all edges and vertices in one connected component (property 1)
- ◆ *DFS_Sweep* can label all connected components (property 3)
- ◆ In a *DFS_Sweep* call, consider subgraph of
 - all vertices
 - all DISCOVERY Edges
- ◆ By DFS property 2 and 3, this subgraph is a Spanning Forest of *G*.

More Properties of DFS

Classifying Edges by DFS

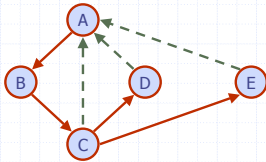
Edge (*v*,*w*) type:

- tree** = in the DFS tree
- back** = *w* is ancestor of *v* in DFS tree
- forward** = *w* is descendent of *v* in DFS tree
- cross** = *w* is neither ancestor nor descendant of *v* in DFS tree

(Assuming edge first explored from *v* to *w*).

Property 4: edges labeled BACK are in fact back edges

Property 5: back edges form a cycle



Path Finding



- ◆ Specialize DFS to find a path between two given vertices *v* and *z*
- ◆ Call *DFS*(*G*, *v*, *z*) where
 - *G* is the graph
 - *v* is the start vertex
 - *z* is the destination vertex
- ◆ Use a stack *S* to keep track of the path between the start vertex and the current vertex
- ◆ As soon as destination vertex *z* is encountered, we return the path as the contents of the stack

```

Algorithm pathDFS(G, v, z)
  setLabel(v, VISITED)
  S.push(v)
  if v = z
    return S.elements()
  for all e ∈ G.incidentEdges(v)
    if getLabel(e) = UNEXPLORED
      w ← opposite(v,e)
      if getLabel(w) = UNEXPLORED
        setLabel(e, DISCOVERY)
        S.push(e)
        pathDFS(G, w, z)
        S.pop(e)
      else
        setLabel(e, BACK)
  S.pop(v)
  
```

Cycle Finding



- ◆ Specialize DFS to find a simple cycle
- ◆ We use a stack S to keep track of the path between the start vertex and the current vertex
- ◆ As soon as a back edge (v, w) is encountered, we return the cycle as the portion of the stack from the top to vertex w

```
Algorithm cycleDFS(G, v)
  setLabel(v, VISITED)
  S.push(v)
  for all e ∈ G.incidentEdges(v)
    if getLabel(e) = UNEXPLORED
      w ← opposite(v,e)
      S.push(e)
      if getLabel(w) = UNEXPLORED
        setLabel(e, DISCOVERY)
        cycleDFS(G, w)
        S.pop(e)
      else
        T ← new empty stack
        repeat
          a ← S.pop()
          T.push(a)
        until a = w
        return T.elements()
  S.pop(v)
```

Graphs version 1.3

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abstract DFS Template

- ◆ Use Template Method Design Pattern to implement DFS
- ◆ Extend template to implement any algorithm that uses DFS.
- ◆ Extensions need to define the following:
 - `startVisit()`
 - `traverseDiscovery()`
 - `traverseBack()`
 - `isDone()`
 - `finishVisit()`
 - a method that returns results.

```
Algorithm DFS(G, v)
  setLabel(v, VISITED)
  startVisit(v)
  for all e ∈ G.incidentEdges(v)
    if getLabel(e) = UNEXPLORED
      w ← opposite(v,e)
      if getLabel(w) = UNEXPLORED
        setLabel(e, DISCOVERY)
        traverseDiscovery(e)
        if (not isDone())
          DFS(G, w)
      else
        setLabel(e, BACK)
        traverseBack(e)
  finishVisit(v)
```

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